



**UNITED STATES  
NUCLEAR REGULATORY COMMISSION**  
WASHINGTON, D.C. 20555-0001

**OFFICE OF THE  
INSPECTOR GENERAL**

December 9, 2013

MEMORANDUM TO: Mark A. Satorius  
Executive Director for Operations

FROM: Stephen D. Dingbaum */RA/*  
Assistant Inspector General for Audits

SUBJECT: STATUS OF RECOMMENDATIONS: AUDIT OF NRC'S  
ISSUANCE OF GENERAL LICENSES (OIG-12-A-14)

REFERENCE: ACTING DIRECTOR, OFFICE OF FEDERAL AND STATE  
MATERIALS AND ENVIRONMENTAL MANAGEMENT  
PROGRAMS, MEMORANDUM DATED  
NOVEMBER 15, 2013

Attached is the Office of the Inspector General's analysis and status of recommendations as discussed in the agency's response dated November 15, 2013. Based on this response, recommendations 2, 3, and 4 remain in resolved status. Recommendation 1 was closed previously. Please provide an updated status of the recommendations by August 31, 2014.

If you have any questions or concerns, please call me at 415-5915 or Sherri Miotla, Team Leader, at 415-5914.

Attachment: As stated

cc: R. Mitchell, OEDO  
K. Brock, OEDO  
J. Arildsen, OEDO  
C. Jaegers, OEDO

## Audit Report

### AUDIT OF NRC'S ISSUANCE OF GENERAL LICENSES

#### OIG-12-A-14

#### Status of Recommendations

- Recommendation 2: Visit all general licensees currently in possession of general licensed devices containing IAEA Category 1, 2, or 3 sources within 2 years. Focus such visits on:
- Reviewing records of leak testing and proper operations of open-close mechanisms and indicators.
  - Device use, installations, maintenance and repair to determine that the devices are being used within authorized parameters.

Agency Response Dated  
November 15, 2013:

The staff has developed a draft Temporary Instruction to inspect IAEA Category 1, 2, and 3 general licensed devices. Once the Temporary Instruction is finalized, the regional offices will perform the inspections. The staff is on target to complete this recommendation by July 31, 2014.

Point of Contact: Hector Rodriquez, FSME

OIG Analysis:

The proposed action meets the intent of the recommendation. OIG will close this recommendation when it receives and reviews a summary report documenting the results of visits to general licensees currently in possession of general licensed devices containing IAEA Category 1, 2, or 3 sources.

**Status:**

Resolved.

## Audit Report

### AUDIT OF NRC'S ISSUANCE OF GENERAL LICENSES

#### OIG-12-A-14

#### Status of Recommendations

Recommendation 3: Monitor agency records for 2 years to identify new general licensees entering the marketplace and visit those in possession of IAEA Category 1, 2, or 3 general licensed devices to ensure that devices are properly installed and that general licensees have adequate understanding of the relevant regulations and their responsibilities.

Agency Response Dated  
November 15, 2013:

The staff implemented a procedure to monitor the General License Tracking System (GLTS) on a quarterly basis to identify new general licensees entering the marketplace with general licensed devices containing IAEA Category 1, 2, or 3 sources. To date, there have been no new devices containing Category 1, 2, or 3 sources. The staff will continue to monitor GLTS on a quarterly basis.

Point of Contact: Hector Rodriguez, FSME

OIG Analysis:

The proposed action meets the intent of the recommendation. OIG will close this recommendation when it receives and reviews 2 years of trip reports documenting the visits to general licensees identified with new fixed gauges that contain Category 1, 2, or 3 sources.

**Status:**

Resolved.

## Audit Report

### AUDIT OF NRC'S ISSUANCE OF GENERAL LICENSES

#### OIG-12-A-14

#### Status of Recommendations

Recommendation 4: Analyze information retrieved from Recommendations 1, 2, and 3 and take additional regulatory action, if needed, within 6 months after completion of data collection.

Agency Response Dated  
November 15, 2013:

The staff analyzed the information gathered from completion of Recommendation 1 and determined that no additional regulatory action is needed. The staff will reconsider the need for additional regulatory action after completing Recommendations 2 and 3.

Point of Contact: Hector Rodriquez, FSME

OIG Analysis:

The proposed action meets the intent of the recommendation. OIG will close this recommendation when it receives and reviews an analysis of data collected from the implementation of Recommendations 1, 2, and 3 to determine if additional regulatory action is needed.

**Status:**

Resolved.