

READ THIS FIRST:

Using the Electronic NCP and the NCP Index

The National Oil and Hazardous Substances Pollution Contingency Plan (NCP) is now available in WordPerfect 5.1. This guidance file, "**READ THIS FIRST**," is designed to help users easily access the NCP, as well as its accompanying Preambles and Index. Also, this file outlines how the NCP files are organized and explains how to move around in the text effectively and to locate specific page references.

Errors:

While an effort has been made to verify the accuracy of the NCP files, the final printed Federal Register copies of the NCP should be relied upon in case of any uncertainty.

Please report errors to Rhea Cohen, Office of Emergency and Remedial Response, Office of Program Management, Policy and Analysis Staff (OS-240), telephone (202)260-2200.

File Structure:

Five files comprise the complete computerized NCP document. Three of these files represent the substantive text of the NCP, while the remaining two comprise the NCP Index, which include a Table of Contents and a Key Terms Index. Although all of the files are protected against editing, they may still be searched for words or phrases using the F2 key, or marked for blocks (F4 key) to be printed (F7 key). To select a file, move the cursor to highlight the name of the file and hit the "enter" key. The five available files are:

!TABLE.CON: This file consists of three Tables of Contents. Section A is the TOC for the NCP proposed rule preamble, Section B is for the NCP final rule preamble, and Section C is for the NCP final rule. These tables provide specific Federal Register page references to the subpart and section discussions that are included in the three sources.

!PROPRE.AM: This file contains the preamble to the proposed NCP published at 53 FR 51394 on December 21, 1988 (Federal Register page numbers 51394 through 51474).

!PREAMBL.E: This file contains the preamble to the NCP final rule published at 55 FR 8666 on March 8, 1990 (Federal Register page numbers 8666 through 8812).

!FINALRUL.E: This file delineates the NCP final rule, also published at 55 FR 8666 on March 8, 1990 (Federal Register page numbers 8813 through 8865).

!NCPINDX: This file holds the NCP Key Terms Index. The index was developed with experience and knowledge gained over the past several years through the NCP revision project, and seeks to be as comprehensive as possible. The primary references included are to the NCP final rule and the preamble to the final rule, as well as selected references

to the preamble to the proposed NCP. These latter references are more general and highlight only certain sections of the preamble to the proposed rule and are not intended to be as comprehensive as those for the final rule and preamble. The references contained in the Key Terms Index appear in three different ways, in the following order, depending on the source referenced:

- (1)References to the preamble of the final NCP appear in regular, non-bold type. For example, pages 8769-8770 always appear in regular type.
- (2)References to the final NCP appear in **bold type**. For example, pages **8830-8831** always appear in **bold**.
- (3)References to the preamble of the proposed NCP appear with full Federal Register references. For example, 53 FR 51469 refers to the preamble to the proposed NCP.

The Index makes extensive use of the subheadings where appropriate in order to provide as precise and detailed references as possible. It also makes free use of cross-references, which permit the user to search for a reference under several relevant main entries. In all cases, subheadings appear in *italics* to assist the reader when searching for a cross-referenced term. If the cross-reference includes italics, it refers to a subheading under another main entry.

Page Reference Search:

To search for a specific page reference in any of the sections of the NCP, execute the following steps: retrieve the file which corresponds to the section in which you are interested, hit the search key (F2), enter the four- or five-digit Federal Register page number, and hit the search key again. Note: In order to conduct a search of the entire document, you must initiate the sequence of commands from the beginning of the file. Following execution of the search, you will automatically be shifted to the WordPerfect text which corresponds to the top of that Federal Register page.

* National Oil and Hazardous Substances Pollution Contingency Plan, Proposed Rule, 53 FR 51394, December 21, 1988.

A. NATIONAL CONTINGENCY PLAN PROPOSED RULE PREAMBLE

SUBPART/SECTION	<u>FR CITATION</u> [*]
<u>Subpart A -- Introduction</u>	51397
<u>Subpart B -- Responsibility and Organization for Response</u>	51400
<u>Subpart C -- Planning and Preparedness</u>	51403
<u>Subpart D -- Operational Response Phases for Oil Removal</u>	51403
<u>Subpart E -- Hazardous Substance Response</u>	51403
Section 300.400 General	51405
Section 300.405 Discovery or notification	51408
Section 300.410 Removal site evaluation	51408
Section 300.415 Removal action	51409
Section 300.420 Remedial site evaluation	51411
Section 300.425 Establishing remedial priorities	51414
Section 300.430 Remedial investigation/feasibility study (RI/FS) and selection of remedy	51422
A. Program management principles	51423
B. Major revisions to the RI/FS and selection of remedy process .	51424
C. Alternative selection of remedy approaches	51430
D. Special notice and moratoria	51432
E. EPA's approach for ground-water remediation under the Superfund program	51433
F. Compliance with the applicable or relevant and appropriate requirements of other laws	51435
G. Examples of potential federal and state ARARs and TBCs	51447
H. Community relations	51450
Section 300.435 Remedial design/remedial action/operation and maintenance	51458
<u>Subpart F -- State Involvement in Hazardous Substances Response</u>	51454
<u>Subpart G -- Trustees for Natural Resources</u>	51459
<u>Subpart H -- Participation by Other Persons</u>	51461
<u>Subpart I -- Administrative Record for Selection of Response Action</u>	51463
<u>Subpart J -- Use of Dispersants and Other Chemicals</u>	51471

* National Oil and Hazardous Substances Pollution Contingency Plan, Proposed Rule, 53 FR 51394, December 21, 1988.

B. NATIONAL CONTINGENCY PLAN FINAL RULE PREAMBLE

SUBPART/SECTION/TOPIC	<u>FR</u> CITATION*
<u>Subpart A -- Introduction</u>	8670
300.3 Scope	8670
300.4 Abbreviations	8670
300.5 Definitions	8671
<u>Subpart B -- Responsibility and Organization for Response</u>	8671
300.105 General organization concepts	8671
300.110 National Response Team	8672
300.115 Regional Response Teams	8672
300.120 On-scene coordinators and remedial project managers: general responsibilities	8674
300.125 Notification and communications	8675
300.130 Determinations to initiate response and special conditions	8676
300.135 Response operations	8677
300.140 Multi-regional responses	8678
300.145 Special teams and other assistance available to OSCs/RPMs	8678
300.150 Worker health and safety	8679
300.155 Public information and community relations	8680
300.160 Documentation and cost recovery	8681
300.165 OSC reports	8681
300.170 Federal agency participation	8683
300.175 Federal agencies: additional responsibilities and assistance	8683
300.180 State and local participation in response	8684
300.185 Nongovernmental participation	8684
<u>Subpart C -- Planning and Preparedness</u>	8684
300.200 General	8684
300.205 Planning and coordination structure	8685
300.210 Federal contingency plans	8685
300.215 Title III local emergency response plans; Indian tribes under Title III	8685
<u>Subpart D -- Operational Response Phases for Oil Removal</u>	8686
300.300 Phase I -- Discovery or notification	8686
300.305 Phase II -- Preliminary assessment and initiation of action	8686
300.310 Phase III -- Containment, countermeasures, cleanup and disposal	8687
300.315 Phase IV -- Documentation and cost recovery	8687
300.320 General pattern of response	8687

* National Oil and Hazardous Substances Pollution Contingency Plan, Final Rule, 54 FR 8666, March 8, 1990.

300.330 Wildlife conservation..... 8687

* National Oil and Hazardous Substances Pollution Contingency Plan, Final Rule, 54 FR 8666, March 8, 1990.

300.430(a)(1)	Use of institutional controls.....	8706
SUBPART/SECTION/TOPIC		FR CITATION*
300.430(b)Scoping	8787
300.430(d)Remedial investigation	8708
300.430(d)Remedial investigation -- baseline risk assessment	8709
300.430(e)	Feasibility study.....	8711
300.430(e)(2)	Use of risk range.....	8715
300.430(e)(2)Use of point of departure	8718
300.430(e)(9)Detailed analysis of alternatives	8719
300.430(f)Remedy selection	8723
300.430(f)(5)Documenting the decision	8731
	Ground-water policy.....	8732
300.435	<i>Remedial design/remedial action, operation and maintenance</i>	
300.435(b)(1)	Environmental samples during RD/RA.....	8735
300.435(d)Contractor conflict of interest	8735
300.5;Operation and maintenance	8736
300.435(f)Notification prior to the out-of-state transfer of CERCLA wastes	8740
	<i>Applicable or relevant and appropriate requirements</i>	
	Introduction.....	8741
300.5;Definition of "applicable"	8742
300.400(g)(1)		
300.5;Definition of "relevant and appropriate"	8742
300.400(g)(2)		
300.400(g)(3)Use of other advisories, criteria or guidance to-be-considered (TBC)		8744
300.400(g)(4)and(g)(5)ARARs under state laws	8746
300.515(d)(1)Timely identification of state ARARs	8746
300.430(f)(1)(ii)(C) Circumstances in which ARARs may be waived	8747
300.430(f)(1)(ii)(C)(1)Interim measures	8747
300.430(f)(1)(ii)(C)(2)Greater risk to health and the environment	8748
300.430(f)(1)(ii)(C)(3)Technical impracticability	8748
300.430(f)(1)(ii)(C)(4)Equivalent standard of performance	8748
300.430(f)(1)(ii)(C)(5)Inconsistent application of state requirements	8749
300.430(f)(1)(ii)(C)(6)Fund-balancing	8749
300.430(e)(2)(i)(B)Use of maximum contaminant level goals for ground-water cleanups		8750
300.430(f)(5)(iii)(A)Location of point of compliance for ground-water cleanup standards		8753
300.430(e)(2)(i)(F)Use of alternate concentration limits (ACLs)	8754
300.430(e)(2)Use of federal water quality criteria (FWQC)	8754
300.435(b)(2)Compliance with applicable or relevant and appropriate requirements (ARARs) during the remedial action	8755
300.5Distinction between substantive and administrative requirements	8756
300.430(f)(1)(ii)(B)Consideration of newly promulgated or modified requirements		8757
	Applicability of RCRA requirements.....	8758

* National Oil and Hazardous Substances Pollution Contingency Plan, Final Rule, 54 FR 8666, March 8, 1990.

Determination of whether a waste is a hazardous waste....	8762
When RCRA requirements are relevant and appropriate to CERCLA actions.....	8763
Examples of potential federal and state ARARs and TBCs...	8764

* National Oil and Hazardous Substances Pollution Contingency Plan, Final Rule, 54 FR
8666,
March 8, 1990.

SUBPART/SECTION/TOPIC

FR CITATION*

Community Relations

300.430(c);	Community relations during RI/FS and selection of remedy .	8766
300.430(f)(2),(3),(6)		
300.415(m)(2)(ii);	Length of public comment period	8770
300.430(f)(3)(i)(C);		
300.435(c)(2)(ii)(C)		
300.435(c)	Community relations during remedial design/remedial action	8770
300.435(c)(2)	Changes to the ROD after its adoption	8771
	Other community relations requirements	8773

Enforcement

	Superfund enforcement program strategy	8774
	Special notice and moratoria	8774
	Exemptions for federal facilities	8774
300.420;	Early notification and involvement	8775
300.430;		
300.435		

Subpart F -- State Involvement in Hazardous Substance Response 8775

300.5	Definitions of "cooperative agreement" and Superfund state contract	8775
300.500;	EPA/State Superfund memorandum of agreement (SMOA);	
300.505;	Requirements for state involvement in absence of SMOA	8776
300.515(h)		
300.510(c)(1),(2);	State assurances -- operation and maintenance and waste capacity	
	8777	
300.510(e)		
300.510(f)	State assurances -- acquisition of real property	8778
300.515(a)	Requirements for state involvement in remedial and enforcement	
	response	8779
300.515(b)	Indian tribe involvement during response	8780
300.425(e)(2);	State involvement in PA/SI and NPL process; State review of	
300.515(c)(2);	EPA-lead documents	8780
300.515(c)(3);		
300.515(h)(3)		
300.505;	Resolution of disputes	8781
300.515(d)		
300.515(e)(1),(2)	State involvement in selection of remedy	8782
	Whether states should be authorized to select the remedy at NPL	
	sites	8783
300.515(f)	Enhancement of remedy	8783
300.515(g)	State involvement in remedial design/remedial action	8785
300.520(a) and (c)	State involvement in EPA-lead enforcement negotiations	8785
	Dual enforcement standards	8785

* National Oil and Hazardous Substances Pollution Contingency Plan, Final Rule, 54 FR 8666, March 8, 1990.

SUBPART/SECTION/TOPIC	FR CITATION*
<u>Subpart G -- Trustees for Natural Resources</u>	8786
300.600 Designation of federal trustees	8787
300.610 Indian tribes as trustees for natural resources under CERCLA	8788
300.615 Responsibilities of trustees	8789
<u>Subpart H -- Participation by Other Persons</u>	8792
300.700(c) Consistent with the NCP	8792
300.700(c) Actions under CERCLA section 107(a)	8798
300.700(e) Recovery under CERCLA section 106(b)	8799
<u>Subpart I -- Administrative Record for Selection of Response Action</u>	8799
General comments	8800
300.800(a) Establishment of an administrative record; Contents of the	
300.810(a) administrative record	8880
300.800(b) Administrative record for federal facilities	8802
300.800(c) Administrative record for state-lead sites	8802
300.800(d), (e) Applicability	8802
300.805 Location of the administrative record file	8803
300.810(a)-(d) Documents not included in the administrative record file.	8804
300.815 Administrative record file for a remedial action	8804
300.815; Administrative record file for a remedial action; Administrative	
300.820(a) record file for a removal action	8805
300.820(b) Administrative record file for a removal action -- time-critical	
and emergency	8806
300.825 Record requirements after decision document is signed	8807
<u>Subpart J -- Use of Dispersants and Other Chemicals</u>	8808
300.900-300.920 General	8808
<u>Appendix C -- Revised Standard Dispersant Effectiveness and Toxicity Tests</u>	8810
<u>Appendix D -- Appropriate Actions and Methods of Remedying Releases</u>	8810

* National Oil and Hazardous Substances Pollution Contingency Plan, Final Rule, 54 FR 8666, March 8, 1990.

C. NATIONAL CONTINGENCY PLAN FINAL RULE

SUBPART/SECTION	FR CITATION*
<u>Subpart A -- Introduction</u>	8813
300.1 Purpose and objectives	8813
300.2 Authority and applicability	8813
300.3 Scope	8814
300.4 Abbreviations	8814
300.5 Definitions	8814
300.6 Use of number and gender	8819
300.7 Computation of time	8819
<u>Subpart B -- Responsibility and Organization for Response</u>	8819
300.100 Duties of President delegated to federal agencies	8819
300.105 General organization concepts	8819
300.110 National Response Team	8826
300.115 Regional Response Teams	8826
300.120 On-scene coordinators and remedial project managers: general responsibilities 8827	8827
300.125 Notification and communications	8828
300.130 Determinations to initiate response and special conditions	8829
300.135 Response operations	8829
300.140 Multi-regional responses	8830
300.145 Special teams and other assistance available to OSCs/RPMs	8830
300.150 Worker health and safety	8831
300.155 Public information and community relations	8831
300.160 Documentation and cost recovery	8831
300.165 OSC reports	8832
300.170 Federal agency participation	8832
300.175 Federal agencies: additional responsibilities and assistance	8832
300.180 State and local participation in response	8835
300.185 Nongovernmental participation	8835
<u>Subpart C -- Planning and Preparedness</u>	8835
300.200 General	8835
300.205 Planning and coordination structure	8836
300.210 Federal contingency plans	8836
300.215 Title III local emergency response plans	8836
300.220 Related Title III issues	8837

* National Oil and Hazardous Substances Pollution Contingency Plan, Final Rule, 54 FR 8666, March 8, 1990.

SUBPART/SECTION	FR CITATION*
<u>Subpart D -- Operational Response Phases for Oil Removal</u>	8837
300.300 Phase I -- Discovery or notification.....	8837
300.305 Phase II -- Preliminary assessment and initiation of action.....	8837
300.310 Phase III -- Containment, countermeasures, cleanup, and disposal....	8838
300.315 Phase IV -- Documentation and cost recovery.....	8838
300.320 General pattern of response.....	8838
300.330 Wildlife conservation.....	8839
300.335 Funding.....	8839
<u>Subpart E -- Hazardous Substance Response</u>	8839
300.400 General.....	8839
300.405 Discovery or notification.....	8841
300.410 Removal site evaluation.....	8842
300.415 Removal action.....	8842
300.420 Remedial site evaluation.....	8844
300.425 Establishing remedial priorities.....	8845
300.430 Remedial investigation/feasibility study and selection of remedy....	8846
300.435 Remedial design/remedial action, operation and maintenance.....	8852
300.440 Procedures for planning and implementing off-site response actions [Reserved]	8853
<u>Subpart F -- State Involvement in Hazardous Substance Response</u>	8853
300.500 General.....	8853
300.505 EPA/State Superfund Memorandum of Agreement (SMOA).....	8853
300.510 State assurances.....	8854
300.515 Requirements for state involvement in remedial and enforcement response	8855
300.520 State involvement in EPA-lead enforcement negotiations.....	8856
300.525 State involvement in removal actions.....	8857
<u>Subpart G -- Trustees for Natural Resources</u>	8857
300.600 Designation of federal trustees.....	8857
300.605 State trustees.....	8857
300.610 Indian tribes.....	8857
300.615 Responsibilities of trustees.....	8857
<u>Subpart H -- Participation by Other Persons</u>	8858
300.700 Activities by other persons.....	8858

* National Oil and Hazardous Substances Pollution Contingency Plan, Final Rule, 54 FR 8666, March 8, 1990.

SUBPART/SECTION	<u>FR</u> CITATION*
<u>Subpart I -- Administrative Record for Selection of Response Action</u>	8859
300.800 Establishment of an administrative record.....	8859
300.805 Location of the administrative record file.....	8859
300.810 Contents of the administrative record file.....	8860
300.815 Administrative record file for a remedial action.....	8860
300.820 Administrative record file for a removal action.....	8860
300.825 Record requirements after the decision document is signed.....	8861
<u>Subpart J -- Use of Dispersants and Other Chemicals</u>	8861
300.900 General.....	8861
300.905 NCP Product Schedule.....	8861
300.910 Authorization of use.....	8861
300.915 Data requirements.....	8862
300.920 Addition of products to schedule.....	8864
<u>Subpart K -- Federal Facilities [Reserved]</u>	8864

* National Oil and Hazardous Substances Pollution Contingency Plan, Final Rule, 54 FR 8666, March 8, 1990.