

**Drinking Water SRF Program Information
for the State of Oregon**

Federal and State Investment

For Federal Fiscal Year Ending September 30 of:

| | 1997 | 1998 | 1999 | 2000 | 2001 | 2002 | 2003 | 2004 | |
|--|---|------|--------------|--------------|--------------|--------------|--------------|--------------|---------------|
| Data Entered by EPA | | | | | | | | | |
| Federal Grants (Dollars) | | | | | | | | | |
| 1 | Date of Last Award in the Year | - | 6/19/1998 | 8/3/1999 | 4/5/2000 | 1/30/2001 | 7/31/2002 | 9/1/2003 | 9/9/2004 |
| 2 | Total Annual Federal Grants | \$0 | \$18,920,500 | \$21,643,900 | \$11,511,200 | \$11,558,800 | \$14,148,900 | \$14,063,800 | \$14,589,200 |
| 3 | *Cumulative Federal Grants | \$0 | \$18,920,500 | \$40,564,400 | \$52,075,600 | \$63,634,400 | \$77,783,300 | \$91,847,100 | \$106,436,300 |
| Transfer of Federal Funds Between DWSRF and CWSRF | | | | | | | | | |
| 4 | Amount of Federal Funds Transferred into DWSRF Fund | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 5 | Amount of Federal Funds Transferred out of DWSRF Fund | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 6 | *Net Transfer into/(out of) DWSRF | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 7 | *Cumulative Net Transfer into/(out of) DWSRF | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| Quarterly Outlays (Dollars) | | | | | | | | | |
| 8 | First Quarter Outlays | \$0 | \$0 | \$52,574 | \$557,006 | \$318,144 | \$2,224,016 | \$6,174,851 | \$5,940,649 |
| 9 | Second Quarter Outlays | \$0 | \$0 | \$278,115 | \$408,179 | \$501,332 | \$3,204,137 | \$6,393,749 | \$2,070,265 |
| 10 | Third Quarter Outlays | \$0 | \$0 | \$112,035 | \$318,496 | \$3,262,749 | \$3,950,124 | \$3,267,561 | \$1,877,376 |
| 11 | Fourth Quarter Outlays | \$0 | \$0 | \$283,820 | \$431,897 | \$1,437,404 | \$6,724,202 | \$3,275,610 | \$3,119,101 |
| 12 | *Total Annual Outlays | \$0 | \$0 | \$726,544 | \$1,715,578 | \$5,519,629 | \$16,102,479 | \$19,111,771 | \$13,007,391 |
| 13 | *Cumulative Outlays | \$0 | \$0 | \$726,544 | \$2,442,122 | \$7,961,751 | \$24,064,230 | \$43,176,001 | \$56,183,392 |

* Calculated values.

**Drinking Water SRF Program Information
for the State of Oregon**

| Federal and State Investment | | <i>For the Reporting Year Ending June 30 of:</i> | | | | | | | |
|---|--|--|--------------|---------------|---------------|---------------|--------------|---------------|---------------|
| | 1997 | 1998 | 1999 | 2000 | 2001 | 2002 | 2003 | 2004 | |
| DWSRF Fund Investment Summary (Calculated Values) | | | | | | | | | |
| 14 | *Annual Capitalization Grants | \$0 | \$18,920,500 | \$10,567,800 | \$22,587,300 | \$11,558,800 | \$0 | \$14,148,900 | \$14,063,800 |
| 15 | *Cumulative Capitalization Grants | \$0 | \$18,920,500 | \$29,488,300 | \$52,075,600 | \$63,634,400 | \$63,634,400 | \$77,783,300 | \$91,847,100 |
| Adjustments to the DWSRF Fund | | | | | | | | | |
| 16 | *Annual Net Transfers with CWSRF into/(out of) the DWSRF Fund (from line 43) | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 17 | *Annual Amount (Awarded) for Set-Asides (from line 111) | \$0 | \$0 | (\$5,609,697) | (\$2,349,476) | (\$2,310,875) | \$0 | (\$5,445,424) | (\$8,455,825) |
| 18 | *Annual Amount of Transfers into DWSRF Fund from Set-Asides (from line 113) | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$765,151 | \$0 |
| 19 | *Annual Net Federal Contributions Adjusted for Transfers and Set-Asides | \$0 | \$18,920,500 | \$4,958,103 | \$20,237,824 | \$9,247,925 | \$0 | \$9,468,627 | \$5,607,975 |
| 20 | *Cumulative Net Federal Contributions Adjusted for Transfers and Set-Asides | \$0 | \$18,920,500 | \$23,878,603 | \$44,116,427 | \$53,364,352 | \$53,364,352 | \$62,832,979 | \$68,440,954 |
| 21 | *Annual State Contributions | \$0 | \$5,897,660 | \$0 | \$0 | \$0 | \$2,215,220 | \$2,302,240 | \$2,917,840 |
| 22 | *Cumulative State Contributions | \$0 | \$5,897,660 | \$5,897,660 | \$5,897,660 | \$5,897,660 | \$8,112,880 | \$10,415,120 | \$13,332,960 |
| 23 | *Annual Net Investments for the DWSRF Fund | \$0 | \$24,818,160 | \$4,958,103 | \$20,237,824 | \$9,247,925 | \$2,215,220 | \$11,770,867 | \$8,525,815 |
| 24 | *Cumulative Net Investments for the DWSRF Fund | \$0 | \$24,818,160 | \$29,776,263 | \$50,014,087 | \$59,262,012 | \$61,477,232 | \$73,248,099 | \$81,773,914 |
| 25 | *State Contributions as a % of Grants - Annual | - | 31% | 0% | 0% | 0% | - | 16% | 21% |
| 26 | *State Contributions as a % of Grants - Cumulative | - | 31% | 20% | 11% | 9% | 13% | 13% | 15% |
| Outlays (Dollars) | | | | | | | | | |
| 27 | *Annual | \$0 | \$0 | \$442,724 | \$1,567,501 | \$4,514,122 | \$10,815,681 | \$22,560,363 | \$13,163,900 |
| 28 | *Cumulative | \$0 | \$0 | \$442,724 | \$2,010,225 | \$6,524,347 | \$17,340,028 | \$39,900,391 | \$53,064,291 |
| State Match Contributions Deposited (Dollars) | | | | | | | | | |
| 29 | Cash or Appropriations | \$0 | \$0 | \$0 | \$0 | \$0 | \$2,215,220 | \$2,302,240 | \$2,917,840 |
| 30 | Bonds Retired Outside the DWSRF Fund | \$0 | \$5,897,660 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 31 | Bonds Retired from the DWSRF Fund | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 32 | Pre-existing Loans | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 33 | Other Sources | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 34 | *Total Annual Match Contributions | \$0 | \$5,897,660 | \$0 | \$0 | \$0 | \$2,215,220 | \$2,302,240 | \$2,917,840 |
| 35 | *Cumulative Match Contributions | \$0 | \$5,897,660 | \$5,897,660 | \$5,897,660 | \$5,897,660 | \$8,112,880 | \$10,415,120 | \$13,332,960 |
| Additional Match for State Program Management Activities (Dollars) | | | | | | | | | |
| 36 | Credit for 1993 Funding Used | \$0 | \$0 | \$27,112 | \$53,025 | \$152,667 | \$210,785 | \$274,599 | \$239,732 |
| 37 | Cash Contributions Deposited | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 38 | Contributions as In-Kind Services | \$0 | \$0 | \$27,113 | \$53,025 | \$152,668 | \$210,785 | \$274,599 | \$239,732 |
| 39 | *Total Annual Additional Contribution | \$0 | \$0 | \$54,225 | \$106,050 | \$305,335 | \$421,570 | \$549,198 | \$479,464 |
| 40 | *Cumulative Additional Contribution | \$0 | \$0 | \$54,225 | \$160,275 | \$465,610 | \$887,180 | \$1,436,378 | \$1,915,842 |
| Net Transfer of Funds with CWSRF into/(out of) the DWSRF Fund | | | | | | | | | |
| 41 | *Annual Net Transfer of Federal Funds with CWSRF into/(out of) the DWSRF Fund | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 42 | Annual Net Transfer of Non-Federal Funds with CWSRF into/(out of) the DWSRF Fund | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 43 | *Total Annual Net Amount Transferred | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 44 | *Cumulative Total Net Amount Transferred | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |

* Calculated values.

**Drinking Water SRF Program Information
for the State of Oregon**

| Set-Asides | For the Reporting Year Ending June 30 of: | | | | | | | | |
|---|---|------|------|-------------|-------------|-------------|-------------|-------------|-------------|
| | 1997 | 1998 | 1999 | 2000 | 2001 | 2002 | 2003 | 2004 | |
| Administrative Expenses (Dollars) | | | | | | | | | |
| 45 | Amount Awarded for Inclusion in Workplans | \$0 | \$0 | \$1,492,137 | \$460,448 | \$462,352 | \$0 | \$1,128,508 | \$587,040 |
| 46 | *Annual Amount Awarded as a % of Grants Awarded | - | 0.0% | 14.1% | 2.0% | 4.0% | - | 8.0% | 4.2% |
| 47 | Amount Transferred to/(from) Administrative Expenses | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 48 | *Annual Amount Awarded and Transferred | \$0 | \$0 | \$1,492,137 | \$460,448 | \$462,352 | \$0 | \$1,128,508 | \$587,040 |
| 49 | *Cumulative Amount Awarded, Including Transfers | \$0 | \$0 | \$1,492,137 | \$1,952,585 | \$2,414,937 | \$2,414,937 | \$3,543,445 | \$4,130,485 |
| 50 | Annual Expenses - DWSRF Administration | \$0 | \$0 | \$299,850 | \$350,889 | \$691,042 | \$463,666 | \$607,660 | \$359,129 |
| 51 | Annual Expenses - Technical Assistance | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$103,863 |
| 52 | *Total Annual Administrative Expenses | \$0 | \$0 | \$299,850 | \$350,889 | \$691,042 | \$463,666 | \$607,660 | \$462,992 |
| 53 | *Cumulative Administrative Expenses | \$0 | \$0 | \$299,850 | \$650,739 | \$1,341,781 | \$1,805,447 | \$2,413,107 | \$2,876,099 |
| 54 | *Remaining Awarded Amount | \$0 | \$0 | \$1,192,287 | \$1,301,846 | \$1,073,156 | \$609,490 | \$1,130,338 | \$1,254,386 |
| Small Systems Technical Assistance | | | | | | | | | |
| 55 | Amount Awarded for Inclusion in Workplans | \$0 | \$0 | \$811,288 | \$230,224 | \$231,176 | \$0 | \$564,254 | \$300,000 |
| 56 | *Annual Amount Awarded as a % of Grants Awarded | - | 0.0% | 7.7% | 1.0% | 2.0% | - | 4.0% | 2.1% |
| 57 | Amount Transferred to/(from) Small Systems Technical Assistance | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | (\$765,151) | \$0 |
| 58 | *Annual Amount Awarded and Transferred | \$0 | \$0 | \$811,288 | \$230,224 | \$231,176 | \$0 | (\$200,897) | \$300,000 |
| 59 | *Cumulative Amount Awarded, Including Transfers | \$0 | \$0 | \$811,288 | \$1,041,512 | \$1,272,688 | \$1,272,688 | \$1,071,791 | \$1,371,791 |
| 60 | Annual Expenses - Small System Technical Assistance | \$0 | \$0 | \$48,246 | \$104,701 | \$85,035 | \$13,769 | \$118,110 | \$103,863 |
| 61 | *Cumulative Small Systems Technical Assistance Expenses | \$0 | \$0 | \$48,246 | \$152,947 | \$237,982 | \$251,751 | \$369,861 | \$473,724 |
| 62 | *Remaining Awarded Amount | \$0 | \$0 | \$763,042 | \$888,565 | \$1,034,706 | \$1,020,937 | \$701,930 | \$898,067 |
| 63 | Annual Number of Systems Receiving Assistance | 0 | 0 | 28 | 70 | 29 | 21 | 107 | 194 |
| 64 | *Cumulative Number of Small Systems Receiving Technical Assistance | 0 | 0 | 28 | 98 | 127 | 148 | 255 | 449 |
| State Program Management (Dollars) | | | | | | | | | |
| 65 | Amount Awarded for Inclusion in Workplans | \$0 | \$0 | \$592,428 | \$611,600 | \$611,600 | \$0 | \$1,430,136 | \$479,464 |
| 66 | *Annual Amount Awarded as a % of Grants Awarded | - | 0.0% | 5.6% | 2.7% | 5.3% | - | 10.1% | 3.4% |
| 67 | Amount Transferred to/(from) State Program Management | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 68 | *Annual Amount Awarded and Transferred | \$0 | \$0 | \$592,428 | \$611,600 | \$611,600 | \$0 | \$1,430,136 | \$479,464 |
| 69 | *Cumulative Amount Awarded, Including Transfers | \$0 | \$0 | \$592,428 | \$1,204,028 | \$1,815,628 | \$1,815,628 | \$3,245,764 | \$3,725,228 |
| 70 | Annual Expenses - PWSS Administration | \$0 | \$0 | \$54,225 | \$106,050 | \$305,335 | \$421,570 | \$597,235 | \$429,333 |
| 71 | *Cumulative Expenses - PWSS Administration | \$0 | \$0 | \$54,225 | \$160,275 | \$465,610 | \$887,180 | \$1,484,415 | \$1,913,748 |
| 72 | Annual Expenses - Source Water Protection Technical Assistance | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 73 | *Cumulative Expenses - Source Water Protection Technical Assistance | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 74 | Annual Expenses - Capacity Development | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$212,855 |
| 75 | *Cumulative Expenses - Capacity Development | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$212,855 |
| 76 | Annual Expenses - Operator Certification Programs | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 77 | *Cumulative Expenses - Operator Certification Programs | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 78 | *Total Annual State Program Management Expenses | \$0 | \$0 | \$54,225 | \$106,050 | \$305,335 | \$421,570 | \$597,235 | \$642,188 |
| 79 | *Cumulative State Program Management Expenses | \$0 | \$0 | \$54,225 | \$160,275 | \$465,610 | \$887,180 | \$1,484,415 | \$2,126,603 |
| 80 | *Remaining Awarded Amount | \$0 | \$0 | \$538,203 | \$1,043,753 | \$1,350,018 | \$928,448 | \$1,761,349 | \$1,598,625 |

* Calculated values.

**Drinking Water SRF Program Information
for the State of Oregon**

| Set-Asides | For the Reporting Year Ending June 30 of: | | | | | | | | |
|--|--|------|------|-------------|-------------|--------------|--------------|--------------|--------------|
| | 1997 | 1998 | 1999 | 2000 | 2001 | 2002 | 2003 | 2004 | |
| Local Assistance and Other State Programs (1452(k) Activities) | | | | | | | | | |
| 81 | Amount Awarded for Inclusion in Workplans | \$0 | \$0 | \$2,713,844 | \$1,047,204 | \$1,005,747 | \$0 | \$2,322,526 | \$7,089,321 |
| 82 | *Annual Amount Awarded as a % of Grants Awarded | - | 0.0% | 25.7% | 4.6% | 8.7% | - | 16.4% | 50.4% |
| 83 | Amount Transferred to/(from) 1452(k) Activities | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 84 | *Annual Amount Awarded and Transferred | \$0 | \$0 | \$2,713,844 | \$1,047,204 | \$1,005,747 | \$0 | \$2,322,526 | \$7,089,321 |
| 85 | *Cumulative Amount Awarded, Including Transfers | \$0 | \$0 | \$2,713,844 | \$3,761,048 | \$4,766,795 | \$4,766,795 | \$7,089,321 | \$14,178,642 |
| Loans for Source Water Protection Land Acquisition/Conservation Easements | | | | | | | | | |
| 86 | Annual Dollar Amount of Loans | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 87 | *Cumulative Dollar Amount of Loans | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 88 | Annual Number of Systems Receiving Assistance | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 89 | *Cumulative Number of Systems Receiving Assistance | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 90 | Annual Number of Acres of Land Acquired for SWP | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 91 | *Cumulative Number of Acres of Land Acquired for SWP | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Loans for Incentive-Based Source Water Protection Measures | | | | | | | | | |
| 92 | Annual Dollar Amount of Loans | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 93 | *Cumulative Dollar Amount of Loans | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 94 | Annual Number of Systems Receiving Assistance | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 95 | *Cumulative Number of Systems Receiving Assistance | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Source Water Protection Area Delineation/Assessment | | | | | | | | | |
| 96 | Annual Expenses - SWP Area Delineation/Assessment | \$0 | \$0 | \$299,111 | \$544,443 | \$436,306 | \$493,268 | \$0 | \$0 |
| 97 | *Cumulative Expenses - SWP Area Delineation/Assessment | \$0 | \$0 | \$299,111 | \$843,554 | \$1,279,860 | \$1,773,128 | \$1,773,128 | \$1,773,128 |
| Wellhead Protection Programs | | | | | | | | | |
| 98 | Annual Expenses - Wellhead Protection Programs | \$0 | \$0 | \$109,984 | \$226,889 | \$185,611 | \$193,340 | \$549,198 | \$0 |
| 99 | Annual Dollar Amount of Wellhead Protection Loans | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 100 | Annual Number of Wellhead Protection Loans | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 101 | *Cumulative Expenses/Loans - Wellhead Protection Programs | \$0 | \$0 | \$109,984 | \$336,873 | \$522,484 | \$715,824 | \$1,265,022 | \$1,265,022 |
| Technical or Financial Assistance to PWSs for Capacity Development | | | | | | | | | |
| 102 | Annual Expenses - Technical or Financial Assistance to PWSs | \$0 | \$0 | \$0 | \$0 | \$0 | \$63,242 | \$202,460 | \$212,855 |
| 103 | Annual Dollar Amount of Loans under the Capacity Development Strategy | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 104 | Annual Number of Loans under the Capacity Development Strategy | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 105 | *Cumulative Expenses/Loans - Technical or Financial Assistance to PWSs | \$0 | \$0 | \$0 | \$0 | \$0 | \$63,242 | \$265,702 | \$478,557 |
| 106 | Annual Number of Systems Receiving Assistance | 0 | 0 | 0 | 0 | 0 | 5 | 4 | 13 |
| 107 | *Cumulative Number of Systems Receiving Assistance | 0 | 0 | 0 | 0 | 0 | 5 | 9 | 22 |
| 108 | *Total Annual 1452(k) Activity Dollars | \$0 | \$0 | \$409,095 | \$771,332 | \$621,917 | \$749,850 | \$751,658 | \$212,855 |
| 109 | *Cumulative 1452(k) Activity Dollars | \$0 | \$0 | \$409,095 | \$1,180,427 | \$1,802,344 | \$2,552,194 | \$3,303,852 | \$3,516,707 |
| 110 | *Remaining Awarded Amount | \$0 | \$0 | \$2,304,749 | \$2,580,621 | \$2,964,451 | \$2,214,601 | \$3,785,469 | \$10,661,935 |
| Set-Aside Summary | | | | | | | | | |
| 111 | *Annual Total Awarded Amount for Set-Asides | \$0 | \$0 | \$5,609,697 | \$2,349,476 | \$2,310,875 | \$0 | \$5,445,424 | \$8,455,825 |
| 112 | *Cumulative Total Awarded Amount for Set-Asides | \$0 | \$0 | \$5,609,697 | \$7,959,173 | \$10,270,048 | \$10,270,048 | \$15,715,472 | \$24,171,297 |
| 113 | *Annual Net Transfers from Awarded Amounts to DWSRF Fund | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$765,151 | \$0 |
| 114 | *Cumulative Net Transfers from Awarded Amounts to DWSRF Fund | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$765,151 | \$765,151 |
| 115 | *Annual Net Total Amount Awarded for Set-Asides | \$0 | \$0 | \$5,609,697 | \$2,349,476 | \$2,310,875 | \$0 | \$4,680,273 | \$8,455,825 |
| 116 | *Cumulative Net Total Amount Awarded for Set-Asides | \$0 | \$0 | \$5,609,697 | \$7,959,173 | \$10,270,048 | \$10,270,048 | \$14,950,321 | \$23,406,146 |
| 117 | *Cumulative Net Total Amount Awarded as a % of Grants Awarded | - | 0.0% | 19.0% | 15.3% | 16.1% | 16.1% | 19.2% | 25.5% |
| 118 | *Total Annual Set-Aside Activity Dollars Expended/Committed | \$0 | \$0 | \$811,416 | \$1,332,972 | \$1,703,329 | \$1,648,855 | \$2,074,663 | \$1,421,898 |
| 119 | *Cumulative Set-Aside Activity Dollars Expended/Committed | \$0 | \$0 | \$811,416 | \$2,144,388 | \$3,847,717 | \$5,496,572 | \$7,571,235 | \$8,993,133 |
| 120 | *Cumulative Remaining Awarded Amount for Set-Asides | \$0 | \$0 | \$4,798,281 | \$5,814,785 | \$6,422,331 | \$4,773,476 | \$7,379,086 | \$14,413,013 |

* Calculated values.

**Drinking Water SRF Program Information
for the State of Oregon**

| DWSRF Fund Assistance | For the Reporting Year Ending June 30 of: | | | | | | | |
|--|---|------|--------------|--------------|--------------|--------------|--------------|--------------|
| | 1997 | 1998 | 1999 | 2000 | 2001 | 2002 | 2003 | 2004 |
| Binding Commitments | | | | | | | | |
| 121 Annual Dollar Amount | \$0 | \$0 | \$15,698,534 | \$3,533,336 | \$13,613,970 | \$19,317,305 | \$31,778,300 | \$12,115,290 |
| 122 *Cumulative Dollar Amount | \$0 | \$0 | \$15,698,534 | \$19,231,870 | \$32,845,840 | \$52,163,145 | \$83,941,445 | \$96,056,735 |
| 123 Annual Number of Binding Commitments | 0 | 0 | 9 | 6 | 12 | 10 | 15 | 8 |
| 124 *Cumulative Number of Binding Commitments | 0 | 0 | 9 | 15 | 27 | 37 | 52 | 60 |
| Type of DWSRF Assistance Provided (Dollars) | | | | | | | | |
| 125 Executed Loan Commitments | \$0 | \$0 | \$15,698,534 | \$3,533,336 | \$13,613,970 | \$19,317,305 | \$31,778,300 | \$12,115,290 |
| 126 Refinance Short-term Debt | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 127 Refinance Long-term Debt | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 128 Guarantee or Purchase Insurance | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 129 *Total Annual Assistance | \$0 | \$0 | \$15,698,534 | \$3,533,336 | \$13,613,970 | \$19,317,305 | \$31,778,300 | \$12,115,290 |
| 130 *Cumulative Assistance | \$0 | \$0 | \$15,698,534 | \$19,231,870 | \$32,845,840 | \$52,163,145 | \$83,941,445 | \$96,056,735 |
| Type of DWSRF Assistance Provided (Number of Assistance Agreements) | | | | | | | | |
| 131 Executed Loan Commitments | 0 | 0 | 9 | 6 | 12 | 10 | 15 | 8 |
| 132 Refinance Short-term Debt | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 133 Refinance Long-term Debt | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 134 Guarantee or Purchase Insurance | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 135 *Total Annual Number of Agreements | 0 | 0 | 9 | 6 | 12 | 10 | 15 | 8 |
| 136 *Cumulative Number of Agreements | 0 | 0 | 9 | 15 | 27 | 37 | 52 | 60 |
| Assistance by Population Size (Dollars) | | | | | | | | |
| 137 Less than 501 | \$0 | \$0 | \$0 | \$150,000 | \$1,956,972 | \$2,955,805 | \$2,421,000 | \$6,264,355 |
| 138 501 to 3,300 | \$0 | \$0 | \$8,541,534 | \$1,507,203 | \$7,656,998 | \$4,661,500 | \$25,357,300 | \$0 |
| 139 3,301 to 10,000 | \$0 | \$0 | \$7,157,000 | \$1,876,133 | \$0 | \$1,800,000 | \$4,000,000 | \$5,358,270 |
| 140 10,001 to 100,000 | \$0 | \$0 | \$0 | \$0 | \$4,000,000 | \$9,900,000 | \$0 | \$492,665 |
| 141 100,001 and Above | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 142 *Total Annual Assistance | \$0 | \$0 | \$15,698,534 | \$3,533,336 | \$13,613,970 | \$19,317,305 | \$31,778,300 | \$12,115,290 |
| 143 *Cumulative Assistance | \$0 | \$0 | \$15,698,534 | \$19,231,870 | \$32,845,840 | \$52,163,145 | \$83,941,445 | \$96,056,735 |
| Assistance by Population Size (Number of Assistance Agreements) | | | | | | | | |
| 144 Less than 501 | 0 | 0 | 0 | 1 | 3 | 4 | 4 | 5 |
| 145 501 to 3,300 | 0 | 0 | 7 | 4 | 8 | 2 | 10 | 0 |
| 146 3,301 to 10,000 | 0 | 0 | 2 | 1 | 0 | 1 | 1 | 2 |
| 147 10,001 to 100,000 | 0 | 0 | 0 | 0 | 1 | 3 | 0 | 1 |
| 148 100,001 and Above | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 149 *Total Annual Number of Agreements | 0 | 0 | 9 | 6 | 12 | 10 | 15 | 8 |
| 150 *Cumulative Number of Agreements | 0 | 0 | 9 | 15 | 27 | 37 | 52 | 60 |

* Calculated values.

**Drinking Water SRF Program Information
for the State of Oregon**

DWSRF Fund Assistance

For the Reporting Year Ending June 30 of:

| | 1997 | 1998 | 1999 | 2000 | 2001 | 2002 | 2003 | 2004 |
|---|------|------|--------------|--------------|--------------|--------------|--------------|---------------|
| Drinking Water System Project Assistance | | | | | | | | |
| (Dollars in each category) | | | | | | | | |
| 151 Planning and Design Only | \$0 | \$0 | \$0 | \$150,000 | \$0 | \$0 | \$181,300 | \$0 |
| Construction | | | | | | | | |
| 152 Treatment | \$0 | \$0 | \$9,721,657 | \$1,498,000 | \$7,510,014 | \$9,939,243 | \$5,565,000 | \$19,467,550 |
| 153 Transmission & Distribution | \$0 | \$0 | \$4,180,786 | \$250,922 | \$3,535,649 | \$1,265,659 | \$8,933,000 | \$0 |
| 154 Source | \$0 | \$0 | \$290,532 | \$224,247 | \$82,307 | \$3,169,744 | \$6,844,000 | \$556,200 |
| 155 Storage | \$0 | \$0 | \$1,505,559 | \$1,410,167 | \$2,486,000 | \$4,942,659 | \$10,255,000 | \$994,800 |
| 156 Purchase of Systems | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 157 Restructuring | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 158 Land Acquisition | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 159 Other | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 160 *Total Annual Dollar Amount | \$0 | \$0 | \$15,698,534 | \$3,533,336 | \$13,613,970 | \$19,317,305 | \$31,778,300 | \$21,018,550 |
| 161 *Cumulative Dollar Amount | \$0 | \$0 | \$15,698,534 | \$19,231,870 | \$32,845,840 | \$52,163,145 | \$83,941,445 | \$104,959,995 |
| Drinking Water System Project Assistance | | | | | | | | |
| (Number in each category)** | | | | | | | | |
| 162 Planning and Design Only | 0 | 0 | 0 | 1 | 0 | 0 | 1 | 0 |
| Construction | | | | | | | | |
| 163 Treatment | 0 | 0 | 8 | 4 | 7 | 8 | 5 | 8 |
| 164 Transmission & Distribution | 0 | 0 | 4 | 1 | 5 | 4 | 10 | 0 |
| 165 Source | 0 | 0 | 2 | 1 | 1 | 4 | 7 | 1 |
| 166 Storage | 0 | 0 | 2 | 2 | 2 | 6 | 6 | 1 |
| 167 Purchase of Systems | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 168 Restructuring | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 169 Land Acquisition | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 2 |
| 170 Other | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 171 *Total Annual Number | 0 | 0 | 16 | 9 | 15 | 22 | 29 | 12 |
| 172 *Cumulative Number | 0 | 0 | 16 | 25 | 40 | 62 | 91 | 103 |

* Calculated values.

** Assistance Agreements may be counted in more than one category when they fund more than one category.

**Drinking Water SRF Program Information
for the State of Oregon**

| DWSRF Fund Assistance | For the Reporting Year Ending June 30 of: | | | | | | | |
|--|---|------|--------------|--------------|--------------|--------------|--------------|--------------|
| | 1997 | 1998 | 1999 | 2000 | 2001 | 2002 | 2003 | 2004 |
| Number of Projects Funded | | | | | | | | |
| 173 Annual Number of Projects Receiving Assistance | 0 | 0 | 9 | 6 | 12 | 10 | 15 | 8 |
| 174 *Cumulative Number of Projects | 0 | 0 | 9 | 15 | 27 | 37 | 52 | 60 |
| DWSRF Project Starts | | | | | | | | |
| 175 Annual Dollar Amount | \$0 | \$0 | \$2,500,000 | \$9,481,145 | \$5,449,843 | \$18,346,864 | \$24,252,293 | \$12,115,290 |
| 176 *Cumulative Dollar Amount | \$0 | \$0 | \$2,500,000 | \$11,981,145 | \$17,430,988 | \$35,777,852 | \$60,030,145 | \$72,145,435 |
| 177 Annual Number of Assistance Agreements | 0 | 0 | 2 | 8 | 5 | 11 | 11 | 8 |
| 178 *Cumulative Number of Agreements | 0 | 0 | 2 | 10 | 15 | 26 | 37 | 45 |
| DWSRF Project Completions | | | | | | | | |
| 179 Annual Dollar Amount | \$0 | \$0 | \$500,000 | \$500,000 | \$0 | \$4,760,870 | \$17,866,219 | \$0 |
| 180 *Cumulative Dollar Amount | \$0 | \$0 | \$500,000 | \$1,000,000 | \$1,000,000 | \$5,760,870 | \$23,627,089 | \$23,627,089 |
| 181 Annual Number of Assistance Agreements | 0 | 0 | 1 | 1 | 0 | 6 | 11 | 0 |
| 182 *Cumulative Number of Agreements | 0 | 0 | 1 | 2 | 2 | 8 | 19 | 19 |
| 183 Number of Projects Completed | 0 | 0 | 1 | 1 | 0 | 6 | 11 | 0 |
| 184 *Cumulative Number of Projects Completed | 0 | 0 | 1 | 2 | 2 | 8 | 19 | 19 |
| Assistance to Disadvantaged Communities | | | | | | | | |
| 185 Annual Dollar Amount of Assistance to Disadvantaged Communities | \$0 | \$0 | \$11,408,534 | \$1,434,203 | \$4,340,610 | \$7,367,305 | \$25,624,000 | \$9,921,634 |
| 186 *Cumulative Dollar Amount | \$0 | \$0 | \$11,408,534 | \$12,842,737 | \$17,183,347 | \$24,550,652 | \$50,174,652 | \$60,096,286 |
| 187 Annual Number of Assistance Agreements | 0 | 0 | 7 | 4 | 3 | 5 | 11 | 6 |
| 188 *Cumulative Number of Agreements | 0 | 0 | 7 | 11 | 14 | 19 | 30 | 36 |
| Assistance with Principal Forgiveness | | | | | | | | |
| 189 Annual Dollar Amount of Assistance with Principal Forgiveness | \$0 | \$0 | \$4,268,084 | \$0 | \$1,839,900 | \$2,927,831 | \$17,641,000 | \$1,072,200 |
| 190 *Cumulative Dollar Amount | \$0 | \$0 | \$4,268,084 | \$4,268,084 | \$6,107,984 | \$9,035,815 | \$26,676,815 | \$27,749,015 |
| 191 Annual Dollar Amount of Principal Forgiven | \$0 | \$0 | \$750,000 | \$0 | \$500,000 | \$500,000 | \$1,534,000 | \$250,000 |
| 192 *Cumulative Dollar Amount | \$0 | \$0 | \$750,000 | \$750,000 | \$1,250,000 | \$1,750,000 | \$3,284,000 | \$3,534,000 |
| 193 Annual Number of Assistance Agreements with Principal Forgiveness | 0 | 0 | 3 | 0 | 2 | 2 | 9 | 1 |
| 194 *Cumulative Number of Agreements | 0 | 0 | 3 | 3 | 5 | 7 | 16 | 17 |
| Assistance with Greater than 20-Year Repayment | | | | | | | | |
| 195 Annual Dollar Amount of Assistance with > 20-Year Repayment | \$0 | \$0 | \$11,408,534 | \$1,284,203 | \$4,340,610 | \$7,367,305 | \$25,624,000 | \$9,921,634 |
| 196 *Cumulative Dollar Amount | \$0 | \$0 | \$11,408,534 | \$12,692,737 | \$17,033,347 | \$24,400,652 | \$50,024,652 | \$59,946,286 |
| 197 Annual Number of Assistance Agreements with > 20-Year Repayment | 0 | 0 | 7 | 3 | 3 | 5 | 11 | 6 |
| 198 *Cumulative Number of Agreements | 0 | 0 | 7 | 10 | 13 | 18 | 29 | 35 |
| Population Served in Disadvantaged Communities Receiving Assistance | | | | | | | | |
| 199 Population Served | 0 | 0 | 13,771 | 6,072 | 4,929 | 3,470 | 17,202 | 21,447 |
| 200 *Cumulative Population Served | 0 | 0 | 13,771 | 19,843 | 24,772 | 28,242 | 45,444 | 66,891 |

* Calculated values.

**Drinking Water SRF Program Information
for the State of Oregon**

DWSRF Fund Assistance - Specific Project Information

| | <i>For the Reporting Year Ending June 30 of:</i> | | | | | | | |
|--|--|------|--------------|--------------|--------------|--------------|--------------|--------------|
| | 1997 | 1998 | 1999 | 2000 | 2001 | 2002 | 2003 | 2004 |
| Assistance for System Compliance | | | | | | | | |
| 201 Annual Dollar Amount | \$0 | \$0 | \$11,259,790 | \$3,254,203 | \$12,597,050 | \$17,646,305 | \$31,778,300 | \$12,115,290 |
| 202 *Cumulative Dollar Amount | \$0 | \$0 | \$11,259,790 | \$14,513,993 | \$27,111,043 | \$44,757,348 | \$76,535,648 | \$88,650,938 |
| 203 Annual Number of Assistance Agreements | 0 | 0 | 9 | 6 | 9 | 9 | 11 | 8 |
| 204 *Cumulative Number of Agreements | 0 | 0 | 9 | 15 | 24 | 33 | 44 | 52 |
| 205 Annual Population Served | 0 | 0 | 25,231 | 9,105 | 28,017 | 96,180 | 24,360 | 24,965 |
| 206 *Cumulative Population Served | 0 | 0 | 25,231 | 34,336 | 62,353 | 158,533 | 182,893 | 207,858 |
| Assistance to Private Systems | | | | | | | | |
| 207 Annual Dollar Amount | \$0 | \$0 | \$0 | \$0 | \$101,972 | \$1,256,831 | \$3,505,000 | \$0 |
| 208 *Cumulative Dollar Amount | \$0 | \$0 | \$0 | \$0 | \$101,972 | \$1,358,803 | \$4,863,803 | \$4,863,803 |
| 209 Annual Number of Assistance Agreements | 0 | 0 | 0 | 0 | 1 | 1 | 1 | 0 |
| 210 *Cumulative Number of Agreements | 0 | 0 | 0 | 0 | 1 | 2 | 3 | 3 |
| Assistance to Systems by Type | | | | | | | | |
| 211 Annual Number of Community Systems | 0 | 0 | 9 | 6 | 12 | 10 | 15 | 8 |
| 212 *Cumulative Number of Community Systems | 0 | 0 | 9 | 15 | 27 | 37 | 52 | 60 |
| 213 Annual Number of non-Community Systems | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 214 *Cumulative Number of non-Community Systems | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 215 Annual Population Served by Community Systems | 0 | 0 | 30,861 | 10,646 | 31,171 | 97,760 | 24,360 | 24,965 |
| 216 *Cumulative Population Served by Community Systems | 0 | 0 | 30,861 | 41,507 | 72,678 | 170,438 | 194,798 | 219,763 |
| 217 Annual Population Served by non-Community Systems | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 218 *Cumulative Population Served by non-Community Systems | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Assistance for the Creation of New Systems | | | | | | | | |
| 219 Annual Dollar Amount | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 220 *Cumulative Dollar Amount | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 221 Annual Number of Assistance Agreements | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 222 *Cumulative Number of Agreements | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Assistance for the Consolidation of Systems | | | | | | | | |
| 223 Annual Dollar Amount | \$0 | \$0 | \$0 | \$0 | \$115,000 | \$0 | \$10,118,000 | \$0 |
| 224 *Cumulative Dollar Amount | \$0 | \$0 | \$0 | \$0 | \$115,000 | \$115,000 | \$10,233,000 | \$10,233,000 |
| 225 Annual Number of Assistance Agreements | 0 | 0 | 0 | 0 | 1 | 0 | 3 | 0 |
| 226 *Cumulative Number of Agreements | 0 | 0 | 0 | 0 | 1 | 1 | 4 | 4 |
| 227 Annual Number of Systems Eliminated | 0 | 0 | 0 | 0 | 1 | 0 | 1 | 0 |
| 228 *Cumulative Number of Systems Eliminated | 0 | 0 | 0 | 0 | 1 | 1 | 2 | 2 |
| Assistance to Indian Tribes | | | | | | | | |
| 229 Annual Dollar Amount | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 230 *Cumulative Dollar Amount | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 231 Annual Number of Assistance Agreements | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 232 *Cumulative Number of Agreements | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |

* Calculated values.

**Drinking Water SRF Program Information
for the State of Oregon**

| Fund Information | For the Reporting Year Ending June 30 of: | | | | | | | | |
|---|--|------|------|------|------|------|------|------|-----|
| | 1997 | 1998 | 1999 | 2000 | 2001 | 2002 | 2003 | 2004 | |
| Leveraged Bonds (Excludes State Match) | | | | | | | | | |
| 233 | Gross Leveraged Bonds Issued - Annual | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 234 | Net Leveraged Bonds Issued - Annual | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 235 | Cost of Leveraged Bond Issuance - Annual | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 236 | Leveraged Bond Principal Repaid - Annual | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| Debt Service Reserve for Leveraged Bonds | | | | | | | | | |
| 237 | *Annual Change | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 238 | Balance at End of Reporting Period | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 239 | Net Change in Gross Bonds Resulting from Refunding - Annual | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 240 | DWSRF Funds Used for Refunding (Excludes Bonds) - Annual | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 241 | *Gross Leveraged Bonds Issued - Cumulative | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 242 | *Net Leveraged Bonds Issued - Cumulative | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 243 | *Cost of Leveraged Bond Issuance - Cumulative | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 244 | *Leveraged Bond Principal Repaid - Cumulative | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 245 | *Net Change in Gross Bonds Resulting from Refunding - Cumulative | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 246 | *DWSRF Funds Used for Refunding (Excludes Bonds) - Cumulative | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 247 | *Leveraged Bonds Outstanding - Balance at End of Reporting Period | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| State Match Bonds to be Repaid by DWSRF Fund | | | | | | | | | |
| 248 | *Match Bonds Issued - Annual | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 249 | Match Bond Principal Repaid - Annual | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 250 | *Match Bonds Issued - Cumulative | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 251 | *Match Bond Principal Repaid - Cumulative | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 252 | *Match Bonds Outstanding - Balance at End of Reporting Period | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| Interest Paid on Leveraged and Match Bonds | | | | | | | | | |
| 253 | Interest Paid from Capitalized Interest Account and Other DWSRF Funds - Annual | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 254 | Interest Paid from Capitalized Interest Account - Annual | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| Interest Paid from DWSRF Funds, Excluding Capitalized Interest Account Funds | | | | | | | | | |
| 255 | *Annual Dollar Amount | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 256 | *Cumulative Dollar Amount | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |

*Calculated Values

**Drinking Water SRF Program Information
for the State of Oregon**

| Fund Information | For the Reporting Year Ending June 30 of: | | | | | | | |
|--|---|-----------|-----------|-------------|-------------|--------------|--------------|--------------|
| | 1997 | 1998 | 1999 | 2000 | 2001 | 2002 | 2003 | 2004 |
| DWSRF Project Assistance Disbursed | | | | | | | | |
| 257 Annual DWSRF Fund Disbursements (Excludes 1452(k) Loans) | \$0 | \$0 | \$558,735 | \$2,586,629 | \$5,688,072 | \$8,844,897 | \$22,318,182 | \$17,872,808 |
| 258 *Cumulative DWSRF Fund Disbursements | \$0 | \$0 | \$558,735 | \$3,145,364 | \$8,833,436 | \$17,678,333 | \$39,996,515 | \$57,869,323 |
| 259 Annual 1452(k) Loan Disbursements | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 260 *Cumulative 1452(k) Loan Disbursements | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| DWSRF Loans - All Loans Except 1452(k) Loans Maintained in a Separate Account | | | | | | | | |
| 261 Number of Projects Initiating Principal Repayments | 0 | 0 | 0 | 0 | 2 | 3 | 9 | 0 |
| 262 *Cumulative Number of Projects Initiating Principal Repayments | 0 | 0 | 0 | 0 | 2 | 5 | 14 | 14 |
| 263 Principal Repayments - Annual | \$0 | \$0 | \$0 | \$0 | \$33,097 | \$168,706 | \$484,958 | \$1,513,315 |
| 264 Interest Payments - Annual | \$0 | \$0 | \$0 | \$0 | \$56,941 | \$113,910 | \$324,867 | \$1,059,086 |
| 265 *Principal and Interest - Annual | \$0 | \$0 | \$0 | \$0 | \$90,038 | \$282,616 | \$809,825 | \$2,572,401 |
| 266 *Principal Repayments - Cumulative | \$0 | \$0 | \$0 | \$0 | \$33,097 | \$201,803 | \$686,761 | \$2,200,076 |
| 267 *Interest Payments - Cumulative | \$0 | \$0 | \$0 | \$0 | \$56,941 | \$170,851 | \$495,718 | \$1,554,804 |
| 268 *Principal and Interest - Cumulative | \$0 | \$0 | \$0 | \$0 | \$90,038 | \$372,654 | \$1,182,479 | \$3,754,880 |
| 269 Weighted Average Interest Rate on DWSRF Executed Loan Commitments | 0.000 | 0.000 | 1.770 | 2.870 | 3.230 | 2.970 | 1.510 | 1.630 |
| 270 State Market Interest Rate | 5.690 | 5.210 | 5.100 | 5.805 | 5.300 | 5.220 | 4.703 | 5.010 |
| DWSRF 1452(k) Loans - Separately Maintained 1452(k) Loans Only | | | | | | | | |
| 271 Principal Repayments - Annual | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 272 Interest Payments - Annual | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 273 *Principal and Interest - Annual | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 274 *Principal Repayments - Cumulative | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 275 *Interest Payments - Cumulative | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 276 *Principal and Interest - Cumulative | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 277 Weighted Average Interest Rate on 1452(k) Loans | 0.000 | 0.000 | 0.000 | 0.000 | 0.000 | 0.000 | 0.000 | 0.000 |
| Interest Earnings on Investments | | | | | | | | |
| 278 Annual Interest Earnings on Investments in DWSRF Fund (Except 1452(k) Funds) | \$0 | \$105,991 | \$306,730 | \$275,041 | \$158,305 | -\$191,230 | \$61,413 | \$137,657 |
| 279 *Cumulative Interest Earnings on Investments in DWSRF Fund | \$0 | \$105,991 | \$412,721 | \$687,762 | \$846,067 | \$654,837 | \$716,250 | \$853,907 |
| 280 Annual Interest Earnings on 1452(k) Loan Account Investments | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 281 *Cumulative Interest Earnings on 1452(k) Loan Account Investments | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| Fees Charged on DWSRF Assistance | | | | | | | | |
| 282 Annual Income from Fees Included in Loans | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 283 Annual Income from Fees not Included in Loans | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 284 Annual Interest Earnings from Fee Account | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 285 *Total Annual Income from Fees | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 286 *Cumulative Income from Fees | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| Expenses Paid from DWSRF Fee Accounts | | | | | | | | |
| 287 Annual Expenses Paid from Fee Account to Administer DWSRF Fund | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 288 *Cumulative Expenses Paid to Administer DWSRF Fund | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 289 Annual Amount Paid from Fee Account for State Match | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 290 *Cumulative Amount Paid from Fee Account for State Match | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 291 Annual Expenses Paid from Fee Account for Other Eligible DWSRF Purposes | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 292 *Cumulative Expenses Paid for Other Eligible DWSRF Purposes | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| DWSRF Administrative Expenses Paid from Funds Other than DWSRF or Fees | | | | | | | | |
| 293 Annual Other State Funded Administrative Expenses | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 294 *Cumulative Other State Funded Administrative Expenses | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |

* Calculated values.

**Drinking Water SRF Program Information
for the State of Oregon**

| Other Drinking Water Programs (Separate from DWSRF) | For the Reporting Year Ending June 30 of: | | | | | | | |
|--|---|------|--------------|--------------|--------------|--------------|--------------|--------------|
| | 1997 | 1998 | 1999 | 2000 | 2001 | 2002 | 2003 | 2004 |
| Coordinated DWSRF Funding with Other State or Federal Funding Sources | | | | | | | | |
| 295 Amount of Coordinated Funding | \$0 | \$0 | \$25,098,790 | \$3,534,134 | \$6,303,710 | \$26,996,272 | \$6,488,298 | \$17,081,860 |
| 296 *Cumulative Amount of Coordinated Funding | \$0 | \$0 | \$25,098,790 | \$28,632,924 | \$34,936,634 | \$61,932,906 | \$68,421,204 | \$85,503,064 |
| 297 Number of DWSRF Assistance Agreements Receiving Coordinated Funding | 0 | 0 | 7 | 2 | 2 | 7 | 4 | 3 |
| 298 *Cumulative Number of DWSRF Assistance Agreements Receiving Coordinated | 0 | 0 | 7 | 9 | 11 | 18 | 22 | 25 |
| 299 DWSRF Portion of Coordinated Funding | \$0 | \$0 | \$8,204,790 | \$1,962,203 | \$5,036,710 | \$16,462,805 | \$15,383,000 | \$8,038,600 |
| 300 *Cumulative DWSRF Portion of Coordinated Funding | \$0 | \$0 | \$8,204,790 | \$10,166,993 | \$15,203,703 | \$31,666,508 | \$47,049,508 | \$55,088,108 |
| State Funded Drinking Water Loan Programs (Separate from DWSRF - Similar Eligibilities) | | | | | | | | |
| 301 Annual Dollar Amount of Loans | \$0 | \$0 | \$4,756,420 | \$620,000 | \$1,026,270 | \$4,449,650 | \$508,300 | \$2,534,000 |
| 302 *Cumulative Dollar Amount | \$0 | \$0 | \$4,756,420 | \$5,376,420 | \$6,402,690 | \$10,852,340 | \$11,360,640 | \$13,894,640 |
| 303 Annual Number of Loans | 0 | 0 | 5 | 2 | 4 | 3 | 1 | 4 |
| 304 *Cumulative Number of Loans | 0 | 0 | 5 | 7 | 11 | 14 | 15 | 19 |
| State Funded Drinking Water Grant Programs (Separate from DWSRF - Similar Eligibilities) | | | | | | | | |
| 305 Annual Dollar Amount of Grants | \$0 | \$0 | \$7,221,491 | \$697,000 | \$1,836,525 | \$60,000 | \$848,250 | \$2,417,000 |
| 306 *Cumulative Dollar Amount | \$0 | \$0 | \$7,221,491 | \$7,918,491 | \$9,755,016 | \$9,815,016 | \$10,663,266 | \$13,080,266 |
| 307 Annual Number of Grants | 0 | 0 | 28 | 5 | 12 | 6 | 11 | 4 |
| 308 *Cumulative Number of Grants | 0 | 0 | 28 | 33 | 45 | 51 | 62 | 66 |
| Total State Funded Drinking Water Programs (Separate from DWSRF - Similar Eligibilities) | | | | | | | | |
| 309 *Dollar Amount of Loans and Grants - Annual | \$0 | \$0 | \$11,977,911 | \$1,317,000 | \$2,862,795 | \$4,509,650 | \$1,356,550 | \$4,951,000 |
| 310 *Dollar Amount of Loans and Grants - Cumulative | \$0 | \$0 | \$11,977,911 | \$13,294,911 | \$16,157,706 | \$20,667,356 | \$22,023,906 | \$26,974,906 |
| 311 *Number of Loans and Grants - Annual | 0 | 0 | 33 | 7 | 16 | 9 | 12 | 8 |
| 312 *Number of Loans and Grants - Cumulative | 0 | 0 | 33 | 40 | 56 | 65 | 77 | 85 |
| State Funded Drinking Water Loan Programs (Separate from DWSRF - Dissimilar Eligibilities) | | | | | | | | |
| 313 Annual Dollar Amount of Loans | \$0 | \$0 | \$0 | \$4,979,987 | \$1,694,575 | \$3,591,000 | \$1,374,000 | \$26,456,733 |
| 314 *Cumulative Dollar Amount | \$0 | \$0 | \$0 | \$4,979,987 | \$6,674,562 | \$10,265,562 | \$11,639,562 | \$38,096,295 |
| 315 Annual Number of Loans | 0 | 0 | 0 | 6 | 4 | 2 | 1 | 20 |
| 316 *Cumulative Number of Loans | 0 | 0 | 0 | 6 | 10 | 12 | 13 | 33 |
| State Funded Drinking Water Grant Programs (Separate from DWSRF - Dissimilar Eligibilities) | | | | | | | | |
| 317 Annual Dollar Amount of Grants | \$0 | \$0 | \$0 | \$1,514,000 | \$766,800 | \$20,000 | \$6,000 | \$11,881,466 |
| 318 *Cumulative Dollar Amount | \$0 | \$0 | \$0 | \$1,514,000 | \$2,280,800 | \$2,300,800 | \$2,306,800 | \$14,188,266 |
| 319 Annual Number of Grants | 0 | 0 | 0 | 5 | 4 | 2 | 1 | 18 |
| 320 *Cumulative Number of Grants | 0 | 0 | 0 | 5 | 9 | 11 | 12 | 30 |
| Total State Funded Drinking Water Programs (Separate from DWSRF - Dissimilar Eligibilities) | | | | | | | | |
| 321 *Dollar Amount of Loans and Grants - Annual | \$0 | \$0 | \$0 | \$6,493,987 | \$2,461,375 | \$3,611,000 | \$1,380,000 | \$38,338,199 |
| 322 *Dollar Amount of Loans and Grants - Cumulative | \$0 | \$0 | \$0 | \$6,493,987 | \$8,955,362 | \$12,566,362 | \$13,946,362 | \$52,284,561 |
| 323 *Number of Loans and Grants - Annual | 0 | 0 | 0 | 11 | 8 | 4 | 2 | 38 |
| 324 *Number of Loans and Grants - Cumulative | 0 | 0 | 0 | 11 | 19 | 23 | 25 | 63 |

* Calculated values.

**Drinking Water SRF Program Information
for the State of Oregon**

Lead State Agency

325 Lead State Agency Name: Health Services, Department of Human
326 Type of Agency: Public Health
327 Mailing Address: P.O. Box 14450
328 City: Portland
329 Zip Code: 97293-0450
330 Street Address: 800 N.E. Oregon Street
331 City: Portland
332 Zip Code: 97232-2162
333 Director: Gail R. Shibley
334 Director's Title: Administrator
335 Phone Number: (503) 731-3441
336 Fax Number: (503) 731-3184
337 E-mail Address: gail.r.shibley@state.or.us
338 Contact Person: David E. Leland
339 Phone Number: (503) 731-4010
340 Fax Number: (503) 731-4077
341 E-mail Address: david.e.leland@state.or.us

Secondary Agency

342 Secondary Agency Name: Economic and Community Development
343 Type of Agency: Financial
344 Mailing Address: State Lands Building, Ste 200, 775 Sum
345 City: Salem
346 Zip Code: 97301-1280
347 Street Address: State Lands Building, Ste 200, 775 Sum
348 City: Salem
349 Zip Code: 97301-1280
350 Director: Marty Brantley
351 Director's Title: Director
352 Phone Number: (503) 986-0110
353 Fax Number: (503) 986-0256
354 E-mail Address: marty.brantley@state.or.us
355 Contact Person: W. Tom Nelson
356 Phone Number: (503) 986-0171
357 Fax Number: (503) 581-5115
358 E-mail Address: w.tom.nelson@state.or.us

Other Agency

359 Other Agency Name: Department of Environmental Quality
360 Type of Agency: Environmental
361 Mailing Address: 811 S.W. 6th Avenue
362 City: Portland
363 Zip Code: 97204
364 Street Address: 811 S.W. 6th Avenue
365 City: Portland
366 Zip Code: 97204
367 Director: Stephanie Hallock
368 Director's Title: Director
369 Phone Number: (503) 229-5300
370 Fax Number: (503) 229-5850
371 E-mail Address: stephanie.hallock@state.or.us
372 Contact Person: Sheree Stewart
373 Phone Number: (503) 229-5413
374 Fax Number: (503) 229-6124
375 E-mail Address: sheree.stewart@state.or.us

**Drinking Water SRF Program Information
for the State of Oregon**

| Fund Analysis | For the Reporting Year Ending June 30 of: | | | | | | | |
|---|---|--------------|--------------|--------------|--------------|--------------|---------------|---------------|
| | 1997 | 1998 | 1999 | 2000 | 2001 | 2002 | 2003 | 2004 |
| DWSRF Funds Available for Projects | | | | | | | | |
| 376 *Annual (New Funds) | \$0 | \$24,924,151 | \$5,264,833 | \$20,512,865 | \$9,496,268 | \$2,306,606 | \$12,642,105 | \$11,235,873 |
| 377 *Cumulative | \$0 | \$24,924,151 | \$30,188,984 | \$50,701,849 | \$60,198,117 | \$62,504,723 | \$75,146,828 | \$86,382,701 |
| DWSRF Assistance as a % of Funds Available | | | | | | | | |
| 378 *Annual | - | 0% | 298% | 17% | 143% | 837% | 251% | 108% |
| 379 *Cumulative | - | 0% | 52% | 38% | 55% | 83% | 112% | 111% |
| Outlays as a % of Capitalization Grants | | | | | | | | |
| 380 *Annual | - | 0% | 9% | 8% | 49% | 488% | 192% | 154% |
| 381 *Cumulative | - | 0% | 1% | 4% | 11% | 28% | 54% | 65% |
| Disbursements as a % of Funds Available | | | | | | | | |
| 382 *Annual | - | 0% | 11% | 13% | 60% | 383% | 177% | 159% |
| 383 *Cumulative | - | 0% | 2% | 6% | 15% | 28% | 53% | 67% |
| Project Starts as a % of Funds Available | | | | | | | | |
| 384 *Annual | - | 0% | 47% | 46% | 57% | 795% | 192% | 108% |
| 385 *Cumulative | - | 0% | 8% | 24% | 29% | 57% | 80% | 84% |
| Project Completions as a % of Funds Available | | | | | | | | |
| 386 *Annual | - | 0% | 9% | 2% | 0% | 206% | 141% | 0% |
| 387 *Cumulative | - | 0% | 2% | 2% | 2% | 9% | 31% | 27% |
| Loan Principal Repayments as a % of Funds Available | | | | | | | | |
| 388 *Annual | - | 0% | 0% | 0% | 0% | 7% | 4% | 13% |
| 389 *Cumulative | - | 0% | 0% | 0% | 0% | 0% | 1% | 3% |
| Disbursements as a % of DWSRF Assistance | | | | | | | | |
| 390 *Annual | - | - | 4% | 73% | 42% | 46% | 70% | 148% |
| 391 *Cumulative | - | - | 4% | 16% | 27% | 34% | 48% | 60% |
| Project Starts as a % of DWSRF Assistance | | | | | | | | |
| 392 *Annual | - | - | 16% | 268% | 40% | 95% | 76% | 100% |
| 393 *Cumulative | - | - | 16% | 62% | 53% | 69% | 72% | 75% |
| Project Completions as a % of DWSRF Assistance | | | | | | | | |
| 394 *Annual | - | - | 3% | 14% | 0% | 25% | 56% | 0% |
| 395 *Cumulative | - | - | 3% | 5% | 3% | 11% | 28% | 25% |
| Loan Principal Repayments as a % of DWSRF Assistance | | | | | | | | |
| 396 *Annual | - | - | 0% | 0% | 0% | 1% | 2% | 12% |
| 397 *Cumulative | - | - | 0% | 0% | 0% | 0% | 1% | 2% |
| Project Completions as a % of Project Starts | | | | | | | | |
| 398 *Annual | - | - | 20% | 5% | 0% | 26% | 74% | 0% |
| 399 *Cumulative | - | - | 20% | 8% | 6% | 16% | 39% | 33% |
| Financial Indicators based on Cumulative Activity | | | | | | | | |
| 400 *Return on Federal Investment | - | - | - | 608.9% | 219.8% | 129.5% | 115.1% | 123.5% |
| 401 *Assistance Provided as a % of Funds Available | - | 0.0% | 52.0% | 37.9% | 54.6% | 83.5% | 111.7% | 111.2% |
| 402 *Disbursements as a % of Assistance Provided | - | - | 3.6% | 16.4% | 26.9% | 33.9% | 47.6% | 60.2% |
| 403 *Additional Assistance Provided Due to Leveraging | - | - | - | - | - | - | - | - |
| 404 *Net Return/(Loss) after Repaying Match Bonds and Forgiving Principal | \$0 | \$105,991 | (\$337,279) | (\$62,238) | (\$346,992) | (\$924,312) | (\$2,072,032) | (\$1,125,289) |
| 405 *Net Return on Contributed Capital | - | 1.8% | -6.1% | -1.1% | -4.0% | -4.6% | -4.8% | -2.0% |
| 406 *Set-Aside Spending Rate | - | - | 14.5% | 26.9% | 37.5% | 53.5% | 50.6% | 38.4% |

* Calculated values.