

**SUMMARY OF NATIONAL MINIMUM DATA REQUIREMENTS (MDRs)
FOR CLEAN AIR ACT STATIONARY SOURCE COMPLIANCE**

Unless otherwise noted, both Regions and States/Locals report their data

<u>Date Element</u>	<u>Covered by 1998 ICR</u>	<u>Note #</u>
<u>Identification</u>		
1. Facility Name	Yes	
2. State	Yes	
3. County	Yes	
4. Facility Number	Yes	
5. Street	Yes	
6. City	Yes	
7. Zip Code	Yes	
8. SIC Code	Yes	
9. Government Ownership	Yes	
10. HPV Status (replaces SV status)	Yes	#1
<u>Compliance Monitoring Strategy</u>		
11. CMS Source Category	No	#2
12. CMS Minimum Frequency Indicator	No	
<u>Regulated Air Program(s)</u>		
13. Air Program	Yes	
14. Operating Status	Yes	
<u>Regulated Pollutant(s) within Air Program(s)</u>		
15. Pollutant(s)	Yes	
16. Classification(s)	Yes	
17. Attainment Status	Yes	
18. Compliance Status	Yes	
<u>Actions Within Air Programs</u>		
19. Minimum Reportable Actions are:		
Notice of Violation(s)	Yes	
Administrative Order(s) and penalty amounts	Yes	
(Includes Enforcement Orders, Consent Decrees and Consent Agreements)		
Civil Referrals and penalties	Yes	
Day Zero	Yes	
Addressing actions	Yes	#4
Resolving actions	Yes	#5

Full Compliance Evaluations (replaces Inspection actions)	Yes	#6
Stack Tests	Yes	#7
Title V Annual Compliance Cert Received	No	#8, #13
Title V Annual Compliance Cert Reviewed	No	#9, #10
Investigations	No	#11

Additional action information

20. Key Action	No	#12
21. Results Code	No	#7, #10
22. RD08 (Certification Deviations)	No	#8, #9
23. Date Scheduled	No	#13

Notes:

1. Significant violator status (SV) is an obsolete field. It was replaced by the High Priority Violation (HPV) status in June 2000 with the implementation of the HPV tracking program. Modifications to AFS to support this program were put into production during January 2001. HPV status is system generated.
2. EPA entry into AFS; States/Locals provide information (or negotiate with EPA region for data entry rights).
3. Includes action number, action type, and date achieved. Penalty amount is also included where appropriate.
4. Examples of addressing actions include, but are not limited to: State/EPA Civil Action; Source returned to compliance by State/EPA with no further action required; State/EPA Administrative Order; State/EPA Consent Decree .
5. Examples of resolving actions include Violation Resolved by State/EPA; State/EPA Closeout Memo Issued; Section 113(d) Penalty Collected; Section 113(d) Complaint Withdrawn. HPV lead agency responsible for data entry of actions into AFS.
6. Inspection reporting for Level 2 Inspections is now replaced by Full Compliance Evaluations per the revised CMS policy.
7. Data fields reported for stack tests will now include Results code (pass/fail). Please note that an optional action pollutant field is available to report stack tests by pollutant.
8. EPA reports and enters into AFS unless otherwise negotiated.
9. Annual Compliance Certification deviation(s) will be indicated in RD08 for EPA reviews.
10. Result codes for Annual Compliance Certification reviews are: in compliance, in violation and unknown.

11. State/EPA Investigation Initiated and State/EPA Investigation Conducted. State/EPA Investigation Initiated is added for optional use and is enforcement sensitive.

12. The key action field (a Y/N field) used on a Day Zero action type will initiate a violation pathway. Violation pathways are one form of action linking and are required for the HPV tracking program. Data entry can be negotiated with State/Local agencies as they report their HPV information.

13. The due date of a Title V Annual Compliance Certification will be reported as a date scheduled on the "Title V Annual Compliance Certification Due/Received by EPA" action.