

SUGGESTED ACTION TYPES FOR AFS REPORTING—REGION 1

FULL COMPLIANCE EVALUATIONS (FCE)

<u>Natl</u>	<u>Region</u>	<u>State</u>	<u>Regional Action Description</u>	<u>Additional Requirements</u>
FE	FE-R		EPA CONDUCTED FCE/ON-SITE	
FZ	FZ-R		EPA CONDUCTED FCE/OFF-SITE	
FS		FS-R	STATE CONDUCTED FCE/ON-SITE	
FF		FF-R	STATE CONDUCTED FCE/OFF-SITE	

INVESTIGATIONS

<u>Natl</u>	<u>Region</u>	<u>State</u>	<u>Regional Action Description</u>	<u>Additional Requirements</u>
EI	EI-R		EPA INVESTIGATION STARTED	
EC	EC-R		EPA INVESTIGATION CONDUCTED	
SI		SI-R	STATE INVESTIGATION STARTED	
SC		SC-R	STATE INVESTIGATION CONDUCTED	

PARTIAL COMPLIANCE EVALUATIONS (PCE) - (Note: reporting State PCEs are currently an option.)

<u>Natl</u>	<u>Region</u>	<u>State</u>	<u>Regional Action Description</u>	<u>Additional Requirements</u>
ES	ES-R		EPA CONDUCTED PCE/ON-SITE	
ES	M6-R		EPA CMS QA OBSERVATION (60.13)	
ES	M8-R		EPA CMS AUDIT CONDUCTED	
ES	J5-R		VISIBLE EMISSIONS EVALUATION (RM9) BY EPA	
ES	R7-R		EPA COMPLAINT INSPECTION	
PS		14-R	STATE PARTIAL INSPECTION	
PS		17-R	STATE COMPLAINT INSPECTION	
PS		PS-R	STATE PCE/ON-SITE	
PS		J7-R	VISIBLE EMISSION EVALUATION (RM9) BY STATE	
PS		A9-R	SOURCE REGISTRATION VERIFIED BY INSPECTION	
PS		M2-R	STATE CMS AUDIT CONDUCTED	
PS		36-R	SAMPLE TAKEN	
PS		SP-R	STATE ON-SITE INSPECTION – PIECE OF AN FCE	
PS		15-R	STATE VISIT FOR PERMITTING	
PS		M5-R	STATE CMS QA OBSERVATION (60.13)	
EX	EX-R		EPA CONDUCTED PEC/OFF-SITE	
PX		E4-R	EMISSION TEST RESULTS REVIEWED BY STATE	

STACK TESTS

<u>Natl</u>	<u>Region</u>	<u>State</u>	<u>Regional Action Description</u>	<u>Additional Requirements</u>
2A	73-R		EPA SOURCE TEST	Results Code:Pass(PP) or Fail(FF). Action PLLT
TO	M7-R		PERFORMANCE TEST EPA REQUIRED	Results Code:Pass(PP) or Fail(FF). Action PLLT
TE	TE-R		EPA REQ (O/O COND) STACK TEST/NOT OBSVD BUT REVWD	Results Code:Pass(PP) or Fail(FF). Action PLLT
6C		37-R	STATE SOURCE TEST	Results Code:Pass(PP) or Fail(FF).
3A		E2-R	EMISSION TEST STATE REQUIRED	Results Code:Pass(PP) or Fail(FF).
TR		TR-R	STATE REQ (O/O COND) STACK TEST/NOT OBSVD BUT REVWD	Results Code:Pass(PP) or Fail(FF).

NOTICE OF VIOLATIONS

<u>Natl</u>	<u>Region</u>	<u>State</u>	<u>Regional Action Description</u>	<u>Additional Requirements</u>
6A	L5-R		NOV ISSUED BY EPA	
6A	BA-R		EPA NOTICE OF VIOLATION	
6A	NA-R		EPA NOTICE OF NON-COMPLIANCE	
7C		AB-R	STATE NOTICE OF VIOLATION	
7C		AL-R	STATE LETTER OF DEFICIENCY	
7C		L1-R	NOV ISSUED BY STATE	
7C		AN-R	STATE NOTICE OF NON-COMPLIANCE	
7C		28-R	STATEMENT OF DEFICIENT PRACTICES	

FEDERAL ADMINISTRATIVE ORDERS AND PENALTY AMOUNTS

<u>Natl</u>	<u>Region</u>	<u>State</u>	<u>Regional Action Description</u>	<u>Additional Requirements</u>
8A	Y1-R		EPA 113A SIP VIOLATION ORDER ISSUED	PAM1
8A	Y2-R		EPA 113A NON-SIP VIOLATION ORDER ISSUED	PAM1
8A	32-R		EPA 113A SIP ORDER (ICO)	PAM1
8A	42-R		EPA NON-SIP ORDER	PAM1
8A	91-R		EPA ABATMENT ORDER	PAM1
7F	Y9-R		EPA ADMINISTRATIVE PENALTY ORDER 113(D)	PAM1
C2	SA-R		EPA CONSENT AGREEMENT FINAL ORDER	PAM1
6B	X3-R		FEDERAL COURT DECREE ISSUED	PAM1
6B	RA-R		EPA CONSENT DECREE	PAM1
6B	92-R		EPA FEDERAL COURT DECREE	PAM1

SUGGESTED ACTION TYPES FOR AFS REPORTING—REGION 1

STATE ADMINISTRATIVE ORDERS AND PENALTY AMOUNTS

(note: Administrative Orders include Penalty Notices Compliance Notices, Agreed Orders, Compliance Administrative Orders, Consent Orders or Final Orders)

<u>Natl</u>	<u>Region</u>	<u>State</u>	<u>Regional Action Description</u>	<u>Additional Requirements</u>
8C		X1-R	STATE ORDER ISSUED	PAM1(Total Assessed)
8C		19-R	STATE ORDER ISSUED	PAM1(Total Assessed)
8C		23-R	STATE 113A ORDER	PAM1(Total Assessed)
8C		56-R	STATE CONSENT AGREEMENT ISSUED	PAM1(Total Assessed)
2D		X2-R	STATE COURT DECREE ISSUED	PAM1(Total Assessed)

CIVIL AND CRIMINAL REFERRALS AND PENALTY AMOUNTS

<u>Natl</u>	<u>Region</u>	<u>State</u>	<u>Regional Action Description</u>	<u>Additional Requirements</u>
4B	DA-R		EPA CIVIL ACTION	PAM1
4B	99-R	99-R	CIVIL ACTION REFERRED TO EPA HEADQUARTERS	PAM1
1E		G1-R	REFER TO STATE ATTORNEY GENERAL	PAM1
9C		AD-R	STATE CIVIL ACTION	PAM1
9C		L2-R	CIVIL ACTION BY STATE	PAM1
3D		Z4-R	STATE CIVIL PENALTY	PAM1

HIGH PRIORITY VIOLATOR (HPV) ACTION LINKING - DAY ZERO

(note: HPV cases contain a Day Zero action, Date Addressed, formal or informal action and Date Resolved. Any action can be linked. Comment on Day Zero documents the type of violation)

<u>Natl</u>	<u>Region</u>	<u>State</u>	<u>Regional Action Description</u>	<u>Additional Requirements</u>
2Z	J1-R		FEDERAL DAY ZERO	PLC1, Lead Agency
2E		W1-R	STATE DAY ZERO	PLC1, Lead Agency
2B	2B-R	2B-R	DAY ZERO – SHARED ENFORCEMENT	PLC1, Lead Agency
2U	2U-R	2U-R	DAY ZERO – LEAD ENFORCEMENT IS UNASSIGNED	LC1, Lead Agency

HIGH PRIORITY VIOLATOR (HPV) ACTION LINKING - ADDRESSING ACTIONS

<u>Natl</u>	<u>Region</u>	<u>State</u>	<u>Regional Action Description</u>	<u>Additional Requirements</u>
8A	Y1-R		EPA 113A SIP VIOLATION ORDER ISSUED	PAM1
8A	91-R		EPA ABATEMENT ORDER ISSUED	PAM1
8A	42-R		EPA NON-SIP ORDER	PAM1
8A	32-R		EPA 113A SIP ORDER (ICO)	PAM1
8A	Y2-R		EPA 113A NON-SIP VIOLATION ORDER ISSUED	PAM1
7F	Y9-R		EPA ADMINISTRATIVE PENALTY ORDER 113(D)	PAM1
2M	V7-R	V7-R	PROP SIP OR FIP REVISION WILL LEAD TO COMPLIANCE	
6B	RA-R		EPA CONSENT DECREE	PAM1
6B	92-R		EPA FEDERAL COURT DECREE	PAM1
6B	X3-R		FEDERAL COURT DECREE ISSUED	PAM1
8C		X1-R	STATE ORDER ISSUED	PAM1 (Total Assessed)
8C		19-R	STATE ORDER ISSUED	PAM1 (Total Assessed)
8C		23-R	STATE 113A ORDER	PAM1 (Total Assessed)
8C		56-R	STATE CONSENT AGREEMENT ISSUED	PAM1 (Total Assessed)
2D		X2-R	STATE COURT DECREE ISSUED	PAM1 (Total Assessed)
OT	OT-R	OT-R	OTHER ADDRESSING ACTION	PAM1 (Total Assessed)

HIGH PRIORITY VIOLATOR (HPV) ACTION LINKING - HPV RESOLVED

<u>Natl</u>	<u>Region</u>	<u>State</u>	<u>Regional Action Description</u>	<u>Additional Requirements</u>
VR	VA-R	VA-R	VIOLATION ADDRESSED	
C7	DC-R	DC-R	CLOSEOUT MEMO ISSUED	
2K		V5-R	SOURCE RETURNED TO COMPL BY ST W/NO FURTHER ACTION	
7G	V6-R		SOURCE RETURNED TO COMPL BY EPA W/NO FURTHER ACTION	

TITLE V SELF CERTIFICATIONS AND COMPLIANCE STATUS (Note: contains new CMS requirements)

<u>Natl</u>	<u>Region</u>	<u>State</u>	<u>Regional Action Description</u>	<u>Additional Requirements</u>
ER	ER-R		COMPLIANCE CERTIFICATION EPA REVIEW	Results Code: MV-in violation, MC-in Compliance, MU-Unknown. RDE8=Deviation, y-Yes or N-No
SR		SR-R	COMPLIANCE CERTIFICATION STATE REVIEW	Results Code: MV-in Violation, MC-in Compliance, MU-Unknown
CC	CC-R	CC-R	TITLE V ANNUAL COMPL CERT DUE/RECEIVED BY EPA	DTS1=Due Date. DTA1=Received Date.
CB		CB-R	TITLE V ANNUAL COMPL CERT DUE/RECEIVE BY ST/LOCAL	DTS1=Due Date. DTA1=Received Date.

SUGGESTED ACTION TYPES FOR AFS REPORTING—REGION 1

Title V Permit Program Data Elements and Events

<u>AFS Acronym</u>	<u>Region Use</u>	<u>State Use</u>	<u>Description-Data</u>	<u>Additional</u>
PAFS			Permitting Authority Name	FIPS Tables
PAPN			AFS Plant ID Number	
APC1			Permit Number	
PLAP			Permit Air Program Code	V
SCA1			Permit Pollutant Code	Facil
			Permit Program Compliance	Current
		DP	Draft Permit	Permit Number
		DQ	Draft Permit Modification	
		PP	Date Permit Recd By EPA	
		PM	Date Permit Mod Recd by EPA	
		PE	EPA 45-Day Review Period	System Generated
		IF	Date Permit Issued	
		IM	Date Permit Modification Issued	
		IR	Permit Renewal	
	PO		Date EPA Objects to Permit	
	PL		Date EPA Objects to Permit Mod	
	PG		Date EPA Denies Revised Permit	
	PH		Date EPA Denies Revised Mod	
	PT		Date Public Petitions EPA -	
	PD		Date Public Petitions EPA - Mod	
	PQ		Date EPA Action - Public Petition	

Note: please reference <http://www.epa.gov/compliance/planning/data/air/pmpolcy.pdf> for more information on the reporting of Title V data.

Data Elements for Subparts

<u>AFS</u>	<u>Region</u>	<u>State Use</u>	<u>Description-Data Elements</u>	<u>Additional</u>
SPT1 APC1=9	X	X (Optional)	40 CFR Part 60 (NSPS) Subparts- Air Program Code 9	Plant Level
SPT1 APC1=8	X	X (Optional)	40 CFR Part 61 (NonMACT NESHAP) Subparts-Air Program Code 8	Plant Level
SPT1 APC1=M	X	X (Optional)	40 CFR Part 63 (MACT NESHAP) Subparts-Air Program Code M	Plant Level
SPT1 APC1=0	X	X (Optional)	40 CFR Part 60 - Air Program Code 0	Plant Level
SPT1 APC1=1	X	X (Optional)	40 CFR Part 60 - Air Program Code 1	Plant Level

SUGGESTED ACTION TYPES FOR AFS REPORTING—REGION 2

FULL COMPLIANCE EVALUATIONS (FCE)

<u>Natl</u>	<u>Region</u>	<u>State</u>	<u>Regional Action Description</u>	<u>Additional Requirements</u>
FE	FE-R		EPA CONDUCTED FCE/ON-SITE	
FZ	F1-R		EPA CONDUCTED FCE/OFF-SITE	
FS		FS-R	STATE CONDUCTED FCE/ON-SITE	
FF		FF-R	STATE CONDUCTED FCE/OFF-SITE	

INVESTIGATIONS

<u>Natl</u>	<u>Region</u>	<u>State</u>	<u>Regional Action Description</u>	<u>Additional Requirements</u>
EI	IE-R		EPA INVESTIGATION STARTED	
EC	CE-R		EPA INVESTIGATION CONDUCTED	
SI		SI-R	STATE INVESTIGATION STARTED	
SC		CS-R	STATE INVESTIGATION CONDUCTED	

PARTIAL COMPLIANCE EVALUATIONS (PCE) - (Note: reporting State PCEs are currently an option.)

<u>Natl</u>	<u>Region</u>	<u>State</u>	<u>Regional Action Description</u>	<u>Additional Requirements</u>
ES	MM-R		MULTIMEDIA INSPECTION (EPA) - LEVEL 2 OR GREATER	
ES	L4-R		EPA CASE DEVELOPMENT INSPECTION - LEVEL 2 OR GRTR	
ES	58-R		EPA PRIMACY INSPECTION - LEVEL 2 OR GREATER	
ES	50-R		EPA SOURCE INSPECTION - LEVEL 2 OR GREATER	
ES	ES-R		EPA PCE/ON-SITE	
ES	53-R		EPA SOURCE TEST	
PS		S9-R	STATE SOURCE TEST	
PS		PS-R	STATE PCE/ON-SITE	
PS		S8-R	INSPECTION BY STATE - LEVEL 2 OR GREATER	
EX	EX-R		EPA PCE/OFF-SITE	
PX		PX-R	STATE PCE/OFF-SITE	

STACK TESTS

<u>Natl</u>	<u>Region</u>	<u>State</u>	<u>Regional Action Description</u>	<u>Additional Requirements</u>
TO	TO-R		EPA REQ (O/O COND) STACK TEST/OBSERVED & REVIEWED	Results Code:Pass(PP) or Fail(FF). Action PLLT
TE	TE-R		EPA REQ (O/O COND) STACK TEST/NOT OBSV BUT REVWD	Results Code:Pass(PP) or Fail(FF). Action PLLT
3A		3A-R	STATE REQ (O/O COND) STACK TEST/OBSV & REVIEWED	Results Code:Pass(PP) or Fail(FF).
TR		TR-R	STATE REQ (O/O COND) STACK TEST/NOT OBSV BUT REVWD	Results Code:Pass(PP) or Fail(FF).

NOTICE OF VIOLATIONS

<u>Natl</u>	<u>Region</u>	<u>State</u>	<u>Regional Action Description</u>	<u>Additional Requirements</u>
6A	55-R		EPA NOTICE OF VIOLATION	
6A	6A-R		EPA NOV ISSUED	
7C		L1-R	NOV ISSUED BY STATE	

FEDERAL ADMINISTRATIVE ORDERS AND PENALTY AMOUNTS

<u>Natl</u>	<u>Region</u>	<u>State</u>	<u>Regional Action Description</u>	<u>Additional Requirements</u>
8A	Y1-R		EPA 113A SIP VIOLATION ORDER ISSUED	PAM1
8A	56-R		EPA ABATEMENT ORDER ISSUED	PAM1
8A	Y2-R		EPA 113A NON-SIP VIOLATION ORDER ISSUED	PAM1
8A	8A-R		113A ORDER ISSUED	PAM1
7F	7F-R		113D APO COMPLAINT FILED	PAM1
C2	C2-R		113(D) APO RECALCULATED	PAM1
C3	C3-R		113(D) ADMINISTRATIVE PENALTY COLLECTED	PAM1
6B	6B-R		EPA CONSENT DECREE	PAM1
6B	X3-R		FEDERAL COURT DECREE ISSUED	PAM1
7E	P1-R		167 STOP CONSTRUCTION ORDER	PAM1
7E	7E-R		EPA SECTION 167 ORDER ISSUED	PAM1

STATE ADMINISTRATIVE ORDERS AND PENALTY AMOUNTS

(note: Administrative Orders include Penalty Notices Compliance Notices, Agreed Orders, Compliance Administrative Orders, Consent Orders or Final Orders)

<u>Natl</u>	<u>Region</u>	<u>State</u>	<u>Regional Action Description</u>	<u>Additional Requirements</u>
8C		8C-R	STATE ADMINISTRATIVE ORDER	PAM1 Total Assessed)
8C		X1-R	STATE ORDER ISSUED	PAM1 Total Assessed)
8C		4L-R	NOTICE OF HEARING SENT TO SOURCE OWNER	PAM1 (Total Assessed)
2D		X2-R	STATE COURT DECREE ISSUED	PAM1 (Total Assessed)
2D		2D-R	STATE CONSENT DECREE	PAM1 (Total Assessed)

SUGGESTED ACTION TYPES FOR AFS REPORTING—REGION 2

CIVIL AND CRIMINAL REFERRALS AND PENALTY AMOUNTS

<u>Natl</u>	<u>Region</u>	<u>State</u>	<u>Regional Action Description</u>	<u>Additional Requirements</u>
5B	5B-R		EPA CRIMINAL REFERRAL	PAM1
5B	L7-R		EPA CRIMINAL ACTION	PAM1
4B	57-R		EPA CIVIL ACTION	PAM1
4B	64-R		REFERRED TO DEPARTMENT OF JUSTICE BY EPA	PAM1
4B	4B-R		EPA CIVIL ACTION	PAM1
1E		S6-R	REFER TO STATE ATTORNEY GENERAL	PAM1
1E		1E-R	CIVIL REFERRAL TO STATE AG	PAM1
9C		L2-R	CIVIL ACTION BY STATE	PAM1
9C		AG-R	REFER TO NEW YORK STATE ATTORNEY GENERAL	PAM1
9C		9C-R	STATE CIVIL ACTION	PAM1
1D		1D-R	CRIMINAL REFERRAL	PAM1
1D		L3-R	STATE CRIMINAL ACTION	PAM1

HIGH PRIORITY VIOLATOR (HPV) ACTION LINKING - DAY ZERO

(note: HPV cases contain a Day Zero action, Date Addressed, formal or informal action and Date Resolved. Any action can be linked. Comment on Day Zero documents the type of violation)

<u>Natl</u>	<u>Region</u>	<u>State</u>	<u>Regional Action Description</u>	<u>Additional Requirements</u>
2Z	FZ-R		FEDERAL DAY ZERO	PLC1, Lead Agency
2E		SZ-R	STATE DAY ZERO	PLC1, Lead Agency
2B	2B-R	2B-R	DAY ZERO – SHARED ENFORCEMENT LEAD	PLC1, Lead Agency
2U	2U-R	2U-R	DAY ZERO – LEAD ENFORCEMENT IS UNASSIGNED	PLC1, Lead Agency

HIGH PRIORITY VIOLATOR (HPV) ACTION LINKING - ADDRESSING ACTIONS

<u>Natl</u>	<u>Region</u>	<u>State</u>	<u>Regional Action Description</u>	<u>Additional Requirements</u>
8A	56-R		EPA ABATEMENT ORDER ISSUED	PAM1
8A	8A-R		113A ORDER ISSUED	PAM1
8A	Y1-R		EPA 113A SIP VIOLATION ORDER ISSUED	PAM1
8A	Y2-R		EPA 113A NON-SIP VIOLATION ORDER ISSUED	PAM1
7F	7F-R		113D APO COMPLAINT FILED	PAM1
2M	2M-R	2M-R	PROP SIP/FIP REVISION WILL LEAD TO COMPLIANCE	
6B	X3-R		FEDERAL COURT DECREE ISSUED	PAM1
6B	6B-R		EPA CONSENT DECREE	PAM1
8C		X1-R	STATE ORDER ISSUED	PAM1(Total Assessed)
8C	8C-R		STATE ADMINISTRATIVE ORDER	PAM1(Total Assessed)
2D		2D-R	STATE CONSENT DECREE	PAM1 (Total Assessed)
2D		X2-R	STATE COURT DECREE ISSUED	PAM1 (Total Assessed)
9C		AG-R	REFER TO NEW YORK STATE ATTORNEY	
7E	P1-R		167 STOP CONSTRUCTION ORDER	
7E	7E-R		EPA SECTION 167 ORDER ISSUED	PAM1
OT	OT-R	OT-R	OTHER ADDRESSING ACTION	
7A	Z2-R	Z2-R	NOTICE OF NON-COMPLIANCE	
8C	4L-R	4L-R	NOTICE OF HEARING SENT TO SOURCE OWNER	
7A	7A-R		EPA NOTICE OF NON-COMPLIANCE	

HIGH PRIORITY VIOLATOR (HPV) ACTION LINKING - HPV RESOLVED

<u>Natl</u>	<u>Region</u>	<u>State</u>	<u>Regional Action Description</u>	<u>Additional Requirements</u>
VR	VR-R	VR-R	VIOLATION RESOLVED	
C3	C3-R	C3-R	113(D) ADMINISTRATIVE PENALTY COLLECTED	
C7	C7-R	C7-R	CLOSEOUT MEMO ISSUED	
WD	WD-R	WD-R	WITHDRAWN	
2K		2K-R	SOURCE RET TO COMP BY STATE	
7G	7G-R		SOURCE RET TO COMP BY US EPA	

TITLE V SELF CERTIFICATIONS AND COMPLIANCE STATUS (Note: contains new CMS requirements)

<u>Natl</u>	<u>Region</u>	<u>State</u>	<u>Regional Action Description</u>	<u>Additional Requirements</u>
ER	ER-R		TITLE V COMPLIANCE CERTIFICATION REVIEW BY EPA	Results Code: MV-in Violation, MC-in Compliance, MU-Unknown. RDE8=Deviation, Y-Yes or N-No.
SR		SR-R	COMPLIANCE CERTIFICATION REVIEW	Results Code: MV-in violation, MC-in Compliance, MU-Unknown
CC	CC-R	CC-R	TITLE V COMPLIANCE CERT. DUE/RECEIVED BY EPA	DTS1=Due Date. DTA1=Received Date.
CB	CB-R	CB-R	TITLE V COMPLIANCE CERT. DUE/RECEIVED BY PERMIT AUTH.	DTA1=Received Date.

SUGGESTED ACTION TYPES FOR AFS REPORTING—REGION 2

Title V Permit Program Data Elements and Events

<u>AFS Acronym</u>	<u>Region Use</u>	<u>State Use</u>	<u>Description-Data</u>	<u>Additional</u>
PAFS			Permitting Authority Name	FIPS Tables
PAPN			AFS Plant ID Number	
APC1			Permit Number	
PLAP			Permit Air Program Code	V
SCA1			Permit Pollutant Code	Facil
			Permit Program Compliance	Current
		DP	Draft Permit	Permit Number
		DQ	Draft Permit Modification	
		PP	Date Permit Recd By EPA	
		PM	Date Permit Mod Recd by EPA	
		PE	EPA 45-Day Review Period	System Generated
		IF	Date Permit Issued	
		IM	Date Permit Modification Issued	
		IR	Permit Renewal	
	PO		Date EPA Objects to Permit	
	PL		Date EPA Objects to Permit Mod	
	PG		Date EPA Denies Revised Permit	
	PH		Date EPA Denies Revised Mod	
	PT		Date Public Petitions EPA -	
	PD		Date Public Petitions EPA - Mod	
	PQ		Date EPA Action - Public Petition	

Note: please reference <http://www.epa.gov/compliance/planning/data/air/pmpolcy.pdf> for more information on the reporting of Title V data.

Data Elements for Subparts

<u>AFS Acronym</u>	<u>Region</u>	<u>State Use</u>	<u>Description-Data Elements</u>	<u>Additional</u>
SPT1 APC1=9	X	X (Optional)	40 CFR Part 60 (NSPS) Subparts- Air Program Code 9	Plant Level
SPT1 APC1=8	X	X (Optional)	40 CFR Part 61 (NonMACT NESHAP) Subparts-Air Program Code 8	Plant Level
SPT1 APC1=M	X	X (Optional)	40 CFR Part 63 (MACT NESHAP) Subparts-Air Program Code M	Plant Level
SPT1 APC1=0	X	X (Optional)	40 CFR Part 60 - Air Program Code 0	Plant Level
SPT1 APC1=1	X	X (Optional)	40 CFR Part 60 - Air Program Code 1	Plant Level

SUGGESTED ACTION TYPES FOR AFS REPORTING—REGION 3

FULL COMPLIANCE EVALUATIONS (FCE)

<u>Natl</u>	<u>Region</u>	<u>State</u>	<u>Regional Action Description</u>	<u>Additional Requirements</u>
FE	FE-R		EPA CONDUCTED FCE/ON-SITE	
FE	10-R		EPA CONDUCTED FCE/ON-SITE	
FZ	FZ-R		EPA CONDUCTED FCE/OFF-SITE	
FZ	11-R		EPA CONDUCTED FCE/OFF-SITE	
FS		82-R	STATE CONDUCTED FCE STAGE 2 VAPOR RECOVERY	
FS		81-R	STATE CONDUCTED FCE STAGE 1 VAPOR RECOVERY	
FS		08-R	STATE CONDUCTED FCE/ON-SITE	
FS		SI-R	STATE MULTIMEDIA INSPECTION	
FS		FS-R	STATE CONDUCTED FCE/ON-SITE	
FS		PO-R	P/O INSPECTION	
FF		FF-R	STATE CONDUCTED FCE/ OFF-SITE	

INVESTIGATIONS

<u>Natl</u>	<u>Region</u>	<u>State</u>	<u>Regional Action Description</u>	<u>Additional Requirements</u>
EI	RI-R		REGION III INVESTIGATION	
EC	3R-R		EPA INVESTIGATION COMPLETED	
SI		IS-R	STATE INVESTIGATION STARTED	
SC		IC-R	STATE INVESTIGATION COMPLETED	

PARTIAL COMPLIANCE EVALUATIONS (PCE) - (Note: reporting State PCEs are currently an option.)

<u>Natl</u>	<u>Region</u>	<u>State</u>	<u>Regional Action Description</u>	<u>Additional Requirements</u>
ES	ES-R		EPA CONDUCTED PCE/ ON-SITE	
PS		PS-R	STATE CONDUCTED PCE/ ON-SITE	
EX	EP-R		EPA CONDUCTED PCE/ OFF-SITE	
PX		PX-R	STATE CONDUCTED PCE/ OFF-SITE	

STACK TESTS

<u>Natl</u>	<u>Region</u>	<u>State</u>	<u>Regional Action Description</u>	<u>Additional Requirements</u>
2A	12-R		EPA STACK TEST	Results Code:Pass(PP) or Fail(FF). Action PLLT
TO	13-R		EPA OBSERVED STACK TEST	Results Code:Pass(PP) or Fail(FF). Action PLLT
TE	14-R		EPA STACK TEST REVIEW	Results Code:Pass(PP) or Fail(FF). Action PLLT
6C		16-R	STATE STACK TEST	Results Code:Pass(PP) or Fail(FF).
3A		73-R	NEW SOURCE PERFORMANCE TEST	Results Code:Pass(PP) or Fail(FF).
3A		18-R	STATE OBSERVED STACK TEST	Results Code:Pass(PP) or Fail(FF).
TR		15-R	SOURCE OPERATOR STACK TEST	Results Code:Pass(PP) or Fail(FF).

NOTICE OF VIOLATIONS

<u>Natl</u>	<u>Region</u>	<u>State</u>	<u>Regional Action Description</u>	<u>Additional Requirements</u>
6A	23-R		EPA NOV ISSUED	
7C		49-R	STATE NOTICE OF VIOLATION ISSUED	

FEDERAL ADMINISTRATIVE ORDERS AND PENALTY AMOUNTS

<u>Natl</u>	<u>Region</u>	<u>State</u>	<u>Regional Action Description</u>	<u>Additional Requirements</u>
8A	35-R		EPA 113(A) (5) STOP CONSTRUCTION ORDER	PAM1
8A	33-R		EPA 113(A) (1) 30-DAY SIP ORDER	PAM1
8A	34-R		EPA 113(A) (3) NON-SIP ORDER	PAM1
7F	7F-R		113D APO COMPLAINT FILED.	PAM1
7F	MA-R		MULTIMEDIA ADMINISTRATIVE PENALTY ORDER	PAM1
C2	AO-R		113(D) APO RECALCULATED	PAM1
C3	AC-R		ADMINISTRATIVE CIVIL PENALTY COLLECTED	PAM1
6B	31-R		EPA COURT CONSENT DECREE	PAM1
6B	32-R		FEDERAL COURT ORDER	PAM1
6B	MD-R		MULTIMEDIA CONSENT DECREE	PAM1
7E	SC-R		SECTION 167 PSD STOP CONSTRUCTION ORDER	PAM1

STATE ADMINISTRATIVE ORDERS AND PENALTY AMOUNTS

(note: Administrative Orders include Penalty Notices Compliance Notices, Agreed Orders, Compliance Administrative Orders, Consent Orders or Final Orders)

<u>Natl</u>	<u>Region</u>	<u>State</u>	<u>Regional Action Description</u>	<u>Additional Requirements</u>
8C		48-R	STATE ADMINISTRATIVE CONSENT AGREEMENT	PAM1(Total Assessed)
8C		47-R	STATE ADMINISTRATIVE UNILATERAL ORDER	PAM1(Total Assessed)
2D		45-R	STATE COURT ORDER ISSUED	PAM1 (Total Assessed)
2D		46-R	STATE COURT CONSENT DECREE SIGNED	PAM1 (Total Assessed)

SUGGESTED ACTION TYPES FOR AFS REPORTING—REGION 3

CIVIL AND CRIMINAL REFERRALS AND PENALTY AMOUNTS

<u>Natl</u>	<u>Region</u>	<u>State</u>	<u>Regional Action Description</u>	<u>Additional Requirements</u>
5B	30-R		EPA CRIMINAL ACTION FILED	PAM1
4B	28-R		REFER TO JUSTICE	PAM1
4B	27-R		REFERRAL TO DSSE	PAM1
4B	MR-R		MULTIMEDIA REFERRAL	PAM1
4B	29-R		EPA CIVIL ACTION FILED	PAM1
1E		CR-R	STATE CIVIL REFERRAL	PAM1
1E		SM-R	STATE MULTIMEDIA CIVIL REFERRAL	PAM1
9C		43-R	STATE CIVIL ACTION FILED	Use appropriate admin order or Consent Decree
1D		44-R	STATE CRIMINAL ACTION FILED	PAM1

HIGH PRIORITY VIOLATOR (HPV) ACTION LINKING - DAY ZERO

(note: HPV cases contain a Day Zero action, Date Addressed, formal or informal action and Date Resolved. Any action can be linked. Comment on Day Zero documents the type of violation)

<u>Natl</u>	<u>Region</u>	<u>State</u>	<u>Regional Action Description</u>	<u>Additional Requirements</u>
2Z	DZ-R		FEDERAL DAY ZERO	PLC1, Lead Agency
2E		2E-R	STATE DAY ZERO	PLC1, Lead Agency
2B	2B-R	2BR	DAY ZERO – SHARED ENFORCEMENT LEAD	
2U	2U-R	2U-R	DAY ZERO – LEAD ENFORCEMENT IS UNASSIGNED	

HIGH PRIORITY VIOLATOR (HPV) ACTION LINKING - ADDRESSING ACTIONS

<u>Natl</u>	<u>Region</u>	<u>State</u>	<u>Regional Action Description</u>	<u>Additional Requirements</u>
4B	29-R		EPA CIVIL ACTION FILED	PAM1
5B	30-R		EPA CRIMINAL ACTION FILED	PAM1
8A	33-R		EPA 113(A) (1) 30-DAY SIP ORDER	PAM1
8A	35-R		EPA 113(A) (5) STOP CONSTRUCTION ORDER	PAM1
8A	34-R		EPA 113(A) (3) NON-SIP ORDER	PAM1
7F	7F-R		113D APO COMPLAINT FILED.	PAM1
7F	MA-R		MULTIMEDIA ADMINISTRATIVE PENALTY ORDER	PAM1
2M	2M-R	2M-R	PROP SIP OR FIP REVISION WILL LEAD TO COMPLIANCE	
6B	MD-R		MULTIMEDIA CONSENT DECREE	PAM1
6B	31-R		EPA COURT CONSENT DECREE	PAM1
6B	32-R		FEDERAL COURT ORDER	PAM1
7A	80-R		SECTION 120 NOTICE OF NON-COMPLIANCE	
8C		48-R	STATE ADMINISTRATIVE CONSENT AGREEMENT	PAM1 (Total Assessed)
8C		47-R	STATE ADMINISTRATIVE UNILATERAL ORDER	PAM1(Total Assessed)
1D		44-R	STATE CRIMINAL ACTION FILED	PAM1
2D		46-R	STATE COURT CONSENT DECREE SIGNED	PAM1 (Total Assessed)
2D		45-R	STATE COURT ORDER ISSUED	PAM1 (Total Assessed)
OT	OT-R	OT-R	OTHER ADDRESSING ACTION	
7E		SC-R	SECTION 167 PSD STOP CONSTRUCTION ORDER	
2L		07-R	SIP REVISION PENDING	
9C		43-R	STATE CIVIL ACTION FILED	

HIGH PRIORITY VIOLATOR (HPV) ACTION LINKING - HPV RESOLVED

<u>Natl</u>	<u>Region</u>	<u>State</u>	<u>Regional Action Description</u>	<u>Additional Requirements</u>
VR	VR-R	VR-R	VIOLATION RESOLVED	
2K		2K-R	SOURCE RET TO COMPL BY STATE W/NO FURTHER ACTION REQ	
2K		2L-R	ADDRESSED/RESOLVED BY STATE-UNDEFINED ACTION	
7G	7G-R		SOURCE RET TO COMPL BY EPA W/NO FURTHER ACTION REQ	
C7	CO-R	CO-R	CLOSE OUT MEMO ISSUED	
C3	AC-R	AC-R	ADMINISTRATIVE PENALTY COLLECTED	
WD	WD-R	WD-R	HPV WITHDRAWN	

TITLE V SELF CERTIFICATIONS AND COMPLIANCE STATUS (Note: contains new CMS requirements)

<u>Natl</u>	<u>Region</u>	<u>State</u>	<u>Regional Action Description</u>	<u>Additional Requirements</u>
ER	ER-R		COMPLIANCE CERTIFICATION EPA REVIEW	Results Code: MV-in violation, MC-in Compliance, MU-Unknown. RDE8=Deviation, Y-Yes or N-No.
SR		SN-R	COMPLIANCE CERTIFICATION STATE REVIEW	Results Code: MV-in violation, MC-in Compliance, MU-Unknown.
CC	CC-R	CC-R	COMPLIANCE CERTIFICATIONS DUE/RECEIVED BY EPA	DTS1=Due Date. DTA1=Received Date.
CB		CB-R	COMPLIANCE CERTIFICATIONS DUE/RECEIVED BY ST/LOCAL	DTS1=Due Date. DTA1=Received Date.

SUGGESTED ACTION TYPES FOR AFS REPORTING—REGION 3

Title V Permit Program Data Elements and Events

<u>AFS Acronym</u>	<u>Region Use</u>	<u>State Use</u>	<u>Description-Data</u>	<u>Additional</u>
PAFS			Permitting Authority Name	FIPS Tables
PAPN			AFS Plant ID Number	
APC1			Permit Number	
PLAP			Permit Air Program Code	V
SCA1			Permit Pollutant Code	Facil
			Permit Program Compliance	Current
		DP	Draft Permit	Permit Number
		DQ	Draft Permit Modification	
		PP	Date Permit Recd By EPA	
		PM	Date Permit Mod Recd by EPA	
		PE	EPA 45-Day Review Period	System Generated
		IF	Date Permit Issued	
		IM	Date Permit Modification Issued	
		IR	Permit Renewal	
	PO		Date EPA Objects to Permit	
	PL		Date EPA Objects to Permit Mod	
	PG		Date EPA Denies Revised Permit	
	PH		Date EPA Denies Revised Mod	
	PT		Date Public Petitions EPA -	
	PD		Date Public Petitions EPA - Mod	
	PQ		Date EPA Action - Public Petition	

Note: please reference <http://www.epa.gov/compliance/planning/data/air/pmpolcy.pdf> for more information on the reporting of Title V data.

Data Elements for Subparts

<u>AFS Acronym</u>	<u>Region</u>	<u>State Use</u>	<u>Description-Data Elements</u>	<u>Additional</u>
SPT1 APC1=9	X	X (Optional)	40 CFR Part 60 (NSPS) Subparts- Air Program Code 9	Plant Level
SPT1 APC1=8	X	X (Optional)	40 CFR Part 61 (NonMACT NESHAP) Subparts-Air Program Code 8	Plant Level
SPT1 APC1=M	X	X (Optional)	40 CFR Part 63 (MACT NESHAP) Subparts-Air Program Code M	Plant Level
SPT1 APC1=0	X	X (Optional)	40 CFR Part 60 - Air Program Code 0	Plant Level
SPT1 APC1=1	X	X (Optional)	40 CFR Part 60 - Air Program Code 1	Plant Level

SUGGESTED ACTION TYPES FOR AFS REPORTING—REGION 4

FULL COMPLIANCE EVALUATIONS (FCE)

<u>Natl</u>	<u>Region</u>	<u>State</u>	<u>Regional Action Description</u>	<u>Additional Requirements</u>
FE	FE-R		EPA CONDUCTED FCE/ ON-SITE	
FZ	FZ-R		EPA CONDUCTED FCE/ OFF-SITE	
FS		FS-R	STATE CONDUCTED FCE/ ON-SITE	
FF		FF-R	STATE CONDUCTED FCE/ OFF-SITE	

INVESTIGATIONS

<u>Natl</u>	<u>Region</u>	<u>State</u>	<u>Regional Action Description</u>	<u>Additional Requirements</u>
EI	E0-R		EPA INVESTIGATION STARTED	
EC	EC-R		EPA INVESTIGATION CONDUCTED	
SI		SI-R	STATE INVESTIGATION STARTED	
SC		SC-R	STATE INVESTIGATION CONDUCTED	

PARTIAL COMPLIANCE EVALUATIONS (PCE) - (Note: reporting State PCEs are currently an option.)

<u>Natl</u>	<u>Region</u>	<u>State</u>	<u>Regional Action Description</u>	<u>Additional Requirements</u>
ES	ES-R		EPA CONDUCTED PCE/ ON-SITE	
PS		PS-R	STATE CONDUCTED PCE/ ON-SITE	
EX	EX-R		EPA CONDUCTED PCE/ OFF-SITE	
PX		PX-R	STATE CONDUCTED PCE/ OFF-SITE	

STACK TESTS

<u>Natl</u>	<u>Region</u>	<u>State</u>	<u>Regional Action Description</u>	<u>Additional Requirements</u>
2A	BA-R		EPA CONDUCTED STACK TEST	Results Code:Pass(PP) or Fail(FF). Action PLLT
TO	TO-R		EPA REQ (O/O COND) STACK TEST/ OBSE & REVIEWED	Results Code:Pass(PP) or Fail(FF). Action PLLT
TE	TE-R		EPA RED (O/O COND) STACK TEST/NOT O BUT REVWD	Results Code:Pass(PP) or Fail(FF). Action PLLT
6C		24-R	STATE CONDUCTED STACK TEST	Results Code:Pass(PP) or Fail(FF).
3A		B7-R	SOURCE TEST OBSERVED	Results Code:Pass(PP) or Fail(FF).
3A		7A-R	NSPS PERFORMANCE TEST	Results Code:Pass(PP) or Fail(FF).
3A		V4-R	NESHAPS SOURCE TEST	Results Code:Pass(PP) or Fail(FF).
3A		F2-R	OPTIMUM PERFORMANCE TEST	Results Code:Pass(PP) or Fail(FF).
3A		23-R	STATE REQ (O/O COND) STACK TEST/OBS REVIEWED	Results Code:Pass(PP) or Fail(FF).
TR		TR-R	STATE REQ (O/O COND) STACK TEST/NOT OBSV BUT REVWD	Results Code:Pass(PP) or Fail(FF).

NOTICE OF VIOLATIONS

<u>Natl</u>	<u>Region</u>	<u>State</u>	<u>Regional Action Description</u>	<u>Additional Requirements</u>
6A	P8-R		EPA NSR NOTICE OF VIOLATION	
6A	L5-R		NOV ISSUED BY EPA	
6A	J9-R		EPA PSD NOTICE OF VIOLATION	
6A	A8-R		NOTICE OF VIOLATION	
7C		56-R	STATE NOTICE OF VIOLATION	

FEDERAL ADMINISTRATIVE ORDERS AND PENALTY AMOUNTS

<u>Natl</u>	<u>Region</u>	<u>State</u>	<u>Regional Action Description</u>	<u>Additional Requirements</u>
8A	V7-R		NESHAPS ORDER BY EPA	PAM1
8A	P9-R		EPA NSR ORDER	PAM1
8A	Y2-R		EPA 113A NON-SIP VIOLATION ORDER IS	PAM1
8A	K1-R		EPA PSD ORDER	PAM1
8A	4B-R		NSPS ORDER BY EPA	PAM1
8A	B1-R		EPA 113(A) ADMINISTRATIVE ORDER ISS	PAM1
8A	Y3-R		EPA 113A PROHIBIT CONSTRUCTION ORDE ISSUED	PAM1
8A	Y1-R		EPA 113A SIP VIOLATION ORDER ISSUED	PAM1
7F	AU-R		113(D) APO COMPLAINT FILED	PAM1
7F	AB-R		113(D) ADMINISTRATIVE COMPLIANT FIL	PAM1
C2	AC-R		113(D) APO RECALCULATED	PAM1
C3	AH-R		113(D) ADMINISTRATIVE PENALTY COLLE	PAM1
6B	CB-R		FEDERAL CONSENT AGREEMENT SIGNED	PAM1
6B	X3-R		FEDERAL COURT DECREE ISSUED	PAM1
7E	K8-R		EPA 167 ORDER ISSUED	PAM1

SUGGESTED ACTION TYPES FOR AFS REPORTING—REGION 4

STATE ADMINISTRATIVE ORDERS AND PENALTY AMOUNTS

(note: Administrative Orders include Penalty Notices Compliance Notices, Agreed Orders, Compliance Administrative Orders, Consent Orders or Final Orders)

<u>Natl</u>	<u>Region</u>	<u>State</u>	<u>Regional Action Description</u>	<u>Additional Requirements</u>
8C		X1-R	STATE ORDER ISSUED	PAM1 (Total Assessed)
8C		40-R	STATE CONSENT ORDER	PAM1 (Total Assessed)
2D		31-R	CONSENT ORDER ISSUED	PAM1 (Total Assessed)
2D		X2-R	STATE COURT DECREE ISSUED	PAM1 (Total Assessed)

CIVIL AND CRIMINAL REFERRALS AND PENALTY AMOUNTS

<u>Natl</u>	<u>Region</u>	<u>State</u>	<u>Regional Action Description</u>	<u>Additional Requirements</u>
5B	CA-R		EPA CRIMINAL ACTION	PAM1
4B	B6-R		REFERRAL TO DOJ	PAM1
4B	L6-R		EPA NSR/PSD CIVIL ACTION	PAM1
4B	K2-R		EPA PSD CIVIL ACTION	PAM1
4B	5B-R		EPA NSPS CIVIL ACTION	PAM1
4B	V8-R		EPA NESHAPS CIVIL ACTION	PAM1
4B	C1-R		EPA CIVIL ACTION	PAM1
1E		60-R	STATE REFERRAL TO ATTORNEY GENERAL	PAM1
9C		64-R	STATE CIVIL SUIT FILED BY ATTORNEY GENERAL	Use appropriate admin order or Consent Decree
1D		63-R	STATE CRIMINAL SUIT FILED BY ATTORN GENERAL	PAM1
1D		L3-R	STATE CRIMINAL ACTION	PAM1

HIGH PRIORITY VIOLATOR (HPV) ACTION LINKING - DAY ZERO

(note: HPV cases contain a Day Zero action, Date Addressed, formal or informal action and Date Resolved. Any action can be linked. Comment on Day Zero documents the type of violation)

<u>Natl</u>	<u>Region</u>	<u>State</u>	<u>Regional Action Description</u>	<u>Additional Requirements</u>
2Z	01-R		FEDERAL DAY ZERO	PLC1, Lead Agency
2E		04-R	STATE DAY ZERO	PLC1, Lead Agency
2B	06-R	06-R	DAY ZERO – SHARED ENFORCEMENT LEAD	
2U	07-R	07-R	DAY ZERO – LEAD ENFORCEMENT IS UNASSIGNED	

HIGH PRIORITY VIOLATOR (HPV) ACTION LINKING - ADDRESSING ACTIONS

<u>Natl</u>	<u>Region</u>	<u>State</u>	<u>Regional Action Description</u>	<u>Additional Requirements</u>
8A	P9-R		EPA NSR ORDER	PAM1
8A	V7-R		NESHAPS ORDER BY EPA	PAM1
8A	Y2-R		EPA 113A NON-SIP VIOLATION ORDER IS	PAM1
8A	Y3-R		EPA 113A PROHIBIT CONSTRUCTION ORDE ISSUED	PAM1
8A	4B-R		NSPS ORDER BY EPA	PAM1
8A	Y1-R		EPA 113A SIP VIOLATION ORDER ISSUED	PAM1
8A	B1-R		EPA 113(A) ADMINISTRATIVE ORDER ISS	PAM1
8A	K1-R		EPA PSD ORDER	PAM1
7F	AB-R		113(D) ADMINISTRATIVE COMPLIANT FIL	PAM1
7F	AU-R		113(D) APO COMPLAINT FILED	PAM1
2M	AX-R	AX-R	PROPOSED SIP OR FIP REV WILL LEAD TO COMPLIANCE	
6B	CB-R		FEDERAL CONSENT AGREEMENT SIGNED	PAM1
6B	X3-R		FEDERAL COURT DECREE ISSUED	PAM1
8C		X1-R	STATE ORDER ISSUED	PAM1 (Total Assessed)
8C		40-R	STATE CONSENT ORDER	PAM1 (Total Assessed)
1D		63-R	STATE CRIMINAL SUIT FILED BY ATTORN GENERAL	PAM1
2D		X2-R	STATE COURT DECREE ISSUED	PAM1 (Total Assessed)
2D		31-R	CONSENT ORDER ISSUED	PAM1 (Total Assessed)
2L	AV-R	AV-R	PROPOSED SIP REVISION WILL LEAD TO COMPLIANCE	
7E	K8-R		EPA 167 ORDER ISSUED	
OT	OT-R	OT-R	OTHER ADDRESSING ACTION	
9C		64-R	STATE CIVIL SUIT FILED BY ATTORNEY	

HIGH PRIORITY VIOLATOR (HPV) ACTION LINKING - HPV RESOLVED

<u>Natl</u>	<u>Region</u>	<u>State</u>	<u>Regional Action Description</u>	<u>Additional Requirements</u>
VR	AZ-R	AZ-R	HPV RESOLVED - SOURCE RETURNED TO COMPLIANCE	
2K		AW-R	COMPL BY ST, NO ACTION REQUIRED	
7G	AT-R		COMPL BY EPA, NO ACTION REQUIRED	
VR	AS-R	AS-R	VIOLATION RESOLVED	
C3	AH-R	AH-R	113 (D) ADMINISTRATIVE PENALTY COLLECTED	
WD	AK-R	AK-R	WITHDRAWN	
C7	AQ-R	AQ-R	CLOSEOUT MEMO ISSUED	

TITLE V SELF CERTIFICATIONS AND COMPLIANCE STATUS (Note: contains new CMS requirements)

<u>Natl</u>	<u>Region</u>	<u>State</u>	<u>Regional Action Description</u>	<u>Additional Requirements</u>
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SUGGESTED ACTION TYPES FOR AFS REPORTING—REGION 4

ER	ER-R		TITLE V COMPLIANCE CERTIFICATION RE BY EPA	Results Code: MV-in violation, MC-in Compliance, MU-Unknown. RDE8=Deviation, Y-Yes or N-No.
SR		SR-R	TITLE V COMPLIANCE CERTIFICATION RE BY STATE	Results Code: MV-in violation, MC-in Compliance, MU-Unknown.
CC	CC-R	CC-R	TITLE V COMPLIANCE CERTIFICATION DUE/RECVD BY EPA	DTS1=Due Date. DTA1=Received Date.
CB		CS-R	TITLE V COMPLIANCE CERTIFICATION DUE/RECVD BY ST/LOCAL	DTS1=Due Date. DTA1=Received Date.

Title V Permit Program Data Elements and Events

<u>AFS Acronym</u>	<u>Region Use</u>	<u>State Use</u>	<u>Description-Data</u>	<u>Additional</u>
PAFS			Permitting Authority Name	FIPS Tables
PAPN			AFS Plant ID Number	
APC1			Permit Number	
PLAP			Permit Air Program Code	V
SCA1			Permit Pollutant Code	Facil
			Permit Program Compliance	Current
		DP	Draft Permit	Permit Number
		DQ	Draft Permit Modification	
		PP	Date Permit Recd By EPA	
		PM	Date Permit Mod Recd by EPA	
		PE	EPA 45-Day Review Period	System Generated
		IF	Date Permit Issued	
		IM	Date Permit Modification Issued	
		IR	Permit Renewal	
	PO		Date EPA Objects to Permit	
	PL		Date EPA Objects to Permit Mod	
	PG		Date EPA Denies Revised Permit	
	PH		Date EPA Denies Revised Mod	
	PT		Date Public Petitions EPA -	
	PD		Date Public Petitions EPA - Mod	
	PQ		Date EPA Action - Public Petition	

Note: please reference <http://www.epa.gov/compliance/planning/data/air/pmpolcy.pdf> for more information on the reporting of Title V data.

Data Elements for Subparts

<u>AFS Acronym</u>	<u>Region</u>	<u>State Use</u>	<u>Description-Data Elements</u>	<u>Additional</u>
SPT1 APC1=9	X	X (Optional)	40 CFR Part 60 (NSPS) Subparts- Air Program Code 9	Plant Level
SPT1 APC1=8	X	X (Optional)	40 CFR Part 61 (NonMACT NESHAP) Subparts-Air Program Code 8	Plant Level
SPT1 APC1=M	X	X (Optional)	40 CFR Part 63 (MACT NESHAP) Subparts-Air Program Code M	Plant Level
SPT1 APC1=0	X	X (Optional)	40 CFR Part 60 - Air Program Code 0	Plant Level
SPT1 APC1=1	X	X (Optional)	40 CFR Part 60 - Air Program Code 1	Plant Level

SUGGESTED ACTION TYPES FOR AFS REPORTING—REGION 5

FULL COMPLIANCE EVALUATIONS (FCE)

<u>Nat</u>	<u>Region</u>	<u>State</u>	<u>Description</u>	<u>Additional Requirements</u>
FE	L6-R		EPA CONDUCTED FCE/ON-SITE	
FZ	L7-R		EPA CONDUCTED FCE/OFF-SITE	
FS		81-R	STATE CONDUCTED FCE/ON-SITE	
FF		82-R	STATE CONDUCTED FCE/OFF-SITE	

INVESTIGATIONS

<u>Nat</u>	<u>Region</u>	<u>State</u>	<u>Description</u>	<u>Additional Requirements</u>
EI	53-R		EPA INVESTIGATION STARTED	
EC	54-R		EPA INVESTIGATION CONDUCTED	
SI		41-R	STATE INVESTIGATION STARTED	
SC		42-R	STATE INVESTIGATION CONDUCTED	

PARTIAL COMPLIANCE EVALUATIONS (PCE) - (Note: reporting PCEs are currently an option.)

<u>Nat</u>	<u>Region</u>	<u>State</u>	<u>Description</u>	<u>Additional Requirements</u>
ES	L8-R		EPA PCE/ON-SITE	
PS		83-R	STATE PCE/ON-SITE	
EX	L5-R		EPA PCE/OFF-SITE	
EX	51-R		114 LETTER SENT	
PX		80-R	STATE PCE/OFF-SITE	

STACK TESTS

<u>Nat</u>	<u>Region</u>	<u>State</u>	<u>Description</u>	<u>Additional Requirements</u>
2A	18-R		EPA CONDUCTED STACK TEST	Results Code:Pass(PP) or Fail(FF). Action PLLT
TO	16-R		EPA REQ(O/O COND) STACK TEST/OBSERVED & REVIEWED	Results Code:Pass(PP) or Fail(FF). Action PLLT
TE	17-R		EPA REQ(O/O COND) STACK TEST/NOT OBSVD BUT REVWED	Results Code:Pass(PP) or Fail(FF). Action PLLT
6C		36-R	SOURCE TEST CONDUCTED	Results Code:Pass(PP) or Fail(FF). Action PLLT
3A		15-R	PERFORMANCE TEST	Results Code:Pass(PP) or Fail(FF). Action PLLT
3A		M3-R	EER SUBMISSION	Results Code:Pass(PP) or Fail(FF). Action PLLT
3A		37-R	SOURCE TEST OBSERVED	Results Code:Pass(PP) or Fail(FF). Action PLLT
TR		35-R	STATE REQ(O/O COND) STACK TEST/NOT OBSVD BUT REVWD	Results Code:Pass(PP) or Fail(FF). Action PLLT

NOTICE OF VIOLATIONS

<u>Nat</u>	<u>Region</u>	<u>State</u>	<u>Description</u>	<u>Additional Requirements</u>
6A	A1-R		FINDING OF VIOLATION ISSUED	
6A	63-R		NOTICE OF VIOLATION ISSUED	
6A	SC-R		EPA SHOW CAUSE LETTER	
7C		L1-R	NOV ISSUED BY STATE	
7C		D4-R	STATE WARNING LETTER	
7C		D5-R	LOCAL WARNING LETTER ISSUED	

FEDERAL ADMINISTRATIVE ORDERS AND PENALTY AMOUNTS

<u>Nat</u>	<u>Region</u>	<u>State</u>	<u>Description</u>	<u>Additional Requirements</u>
8A	98-R		EPA 113(A)ORDER ISSUED	PAM1
8A	E1-R		EPA 113(A)(5)STOP CONSTRUCTION ORDER ISSUED	PAM1
8A	E7-R		FEDERAL FACILITY COMPLIANCE AGREEMENT	PAM1
8A	E8-R		EPA UNILATERAL ADMINISTRATIVE ORDER	PAM1
7F	U1-R		113(D) ADMINISTRATIVE CIVIL COMPLAINT FILED	PAM1
C2	U2-R		113(D) APO RECALCULATED	PAM1
C3	ZB-R		113(D) ADMINISTRATIVE PENALTY COLLECTED	PAM1
6B	K8-R		DECREE ENTERED	PAM1
6B	D1-R		FEDERAL COURT ORDER ISSUED	PAM1
7E	L3-R		167 STOP CONSTRUCTION ORDER	PAM1

STATE ADMINISTRATIVE ORDERS AND PENALTY AMOUNTS

(note: Administrative Orders include Penalty Notices Compliance Notices, Agreed Orders, Compliance Administrative Orders, Consent Orders or Final Orders)

<u>Nat</u>	<u>Region</u>	<u>State</u>	<u>Description</u>	<u>Additional Requirements</u>
8C		08-R	STATE COMBINED (F/NF) APO ISSUED	PAM1(Total Assessed)
8C		CA-R	COMPLIANCE COMMITMENT AGREEMENT (CCA) ACCEPTED	PAM1(Total Assessed)
8C		07-R	STATE NONFORGIVABLE APO ISSUED	PAM1(Total Assessed)
8C		E9-R	STATE UNILATERAL ADMINISTRATIVE ORDER	PAM1(Total Assessed)
8C		66-R	STATE ORDER ISSUED	PAM1(Total Assessed)
8C		06-R	STATE FORGIVABLE APO ISSUED	PAM1(Total Assessed)
8C		68-R	LOCAL FINDINGS AND ORDERS ISSUED	PAM1(Total Assessed)
2D		X2-R	STATE COURT DECREE ISSUED	PAM1 (Total Assessed)

SUGGESTED ACTION TYPES FOR AFS REPORTING—REGION 5

CIVIL AND CRIMINAL REFERRALS AND PENALTY AMOUNTS

<u>Nat</u>	<u>Region</u>	<u>State</u>	<u>Description</u>	<u>Additional Requirements</u>
5B	69-R		EPA CRIMINAL ACTION	PAM1
4B	27-R		REF DOJ	PAM1
4B	26-R		REFERRAL TO DSSE(CIVIL)	PAM1
1E		X3-R	STATE REFERRAL (CIVIL)	PAM1
9C		X1-R	FILE BY STATE AG	Use appropriate admin order or Consent Decree
1D		XA-R	STATE REFERRAL (CRIMINAL)	PAM1

HIGH PRIORITY VIOLATOR (HPV) ACTION LINKING - DAY ZERO

(note: HPV cases contain a Day Zero action, Date Addressed, formal or informal action and Date Resolved. Any action can be linked. Comment on Day Zero documents the type of violation)

<u>Nat</u>	<u>Region</u>	<u>State</u>	<u>Description</u>	<u>Additional Requirements</u>
2Z	23-R		FEDERAL DAY ZERO	PLC1, Lead Agency
2E		38-R	STATE DAY ZERO	PLC1, Lead Agency
2B	2B-R	2B-R	DAY ZERO – SHARED ENFORCEMENT LEAD	
2U	2U-R	2U-R	DAY ZERO – ENFORCEMENT LEAD IS UNASSIGNED	

HIGH PRIORITY VIOLATOR (HPV) ACTION LINKING - ADDRESSING ACTIONS

<u>Nat</u>	<u>Region</u>	<u>State</u>	<u>Description</u>	<u>Additional Requirements</u>
8A	E8-R	E8-R	EPA UNILATERAL ADMINISTRATIVE ORDER	PAM1
8A	E7-R	E7-R	FEDERAL FACILITY COMPLIANCE AGREEMENT	PAM1
8A	98-R	98-R	EPA 113(A)ORDER ISSUED	PAM1
8A	E1-R	E1-R	EPA 113(A)(5)STOP CONSTRUCTION ORDER ISSUED	PAM1
7F	U1-R		113(D) ADMINISTRATIVE CIVIL COMPLAINT FILED	PAM1
2M	G4-R	G4-R	PROPOSED PERMIT/SIP/FIP REV WILL LEAD TO COMPLIANC	
6B	D1-R		FEDERAL COURT ORDER ISSUED	PAM1
6B	K8-R		DECREE ENTERED	PAM1
8C		CA-R	COMPLIANCE COMMITMENT AGREEMENT (CCA) ACCEPTED	PAM1 (Total Assessed)
8C		06-R	STATE FORGIVABLE APO ISSUED	PAM1 (Total Assessed)
8C		07-R	STATE NONFORGIVABLE APO ISSUED	PAM1 (Total Assessed)
8C		08-R	STATE COMBINED (F/NF) APO ISSUED	PAM1 (Total Assessed)
8C		68-R	LOCAL FINDINGS AND ORDERS ISSUED	PAM1 (Total Assessed)
8C		66-R	STATE ORDER ISSUED	PAM1 (Total Assessed)
8C		E9-R	STATE UNILATERAL ADMINISTRATIVE ORDER	PAM1 (Total Assessed)
2D		X2-R	STATE COURT DECREE ISSUED	PAM1 (Total Assessed)
OT	OT-R	OT-R	OTHER ADDRESSING ACTION	
7E	L3-R	L3-R	167 STOP CONSTRUCTION ORDER	
9C		X1-R	FILE BY STATE AG	
7A	Z1-R	Z1-R	NOTICE OF NON-COMPLIANCE	

HIGH PRIORITY VIOLATOR (HPV) ACTION LINKING - HPV RESOLVED

<u>Nat</u>	<u>Region</u>	<u>State</u>	<u>Description</u>	<u>Additional Requirements</u>
VR	44-R	44-R	VIOLATION RESOLVED	
2K		A4-R	SOURCE RETURNED TO COMPL BY THE STATE W/NO ACT RE	
7G	A3-R		SOURCE RETURNED TO COMPL BY THE EPA W/NO ACT RE	
C7	K9-R	K9-R	CLOSEOUT MEMO ISSUED	
WD	WD-R	WD-R	WITHDRAWN	
C3	ZB-R	ZB-R	113(D)ADMINISTRATIVE PENALTY COLLECTED	

TITLE V SELF CERTIFICATIONS AND COMPLIANCE STATUS (Note: contains new CMS requirements)

<u>Nat</u>	<u>Region</u>	<u>State</u>	<u>Description</u>	<u>Additional Requirements</u>
ER	ER-R		COMPLIANCE CERTIFICATION EPA REVIEW	Results Code: MV-in violation, MC-in violation, MU-Unknown. RDE8=Deviation, y-Yes or N-No.
SR		SR-R	COMPLIANCE CERTIFICATION STATE REVIEW	Results Code: MV-in violation, MC-in violation, MU-Unknown
CC	CC-R	CC-R	TITLE V COMPLIANCE CERT DUE/RECEIVED BY EPA	DTS1=Due Date. DTA1=Received Date.
CB		CB-R	TITLE V COMPLIANCE CERT DUE/RECEIVED BY ST/LOCAL	DTS1=Due Date. DTA1=Received Date.

SUGGESTED ACTION TYPES FOR AFS REPORTING—REGION 5

Title V Permit Program Data Elements and Events

<u>AFS Acronym</u>	<u>Region Use</u>	<u>State Use</u>	<u>Description-Data</u>	<u>Additional</u>
PAFS			Permitting Authority Name	FIPS Tables
PAPN			AFS Plant ID Number	
APC1			Permit Number	
PLAP			Permit Air Program Code	V
SCA1			Permit Pollutant Code	Facil
			Permit Program Compliance	Current
		DP	Draft Permit	Permit Number
		DQ	Draft Permit Modification	
		PP	Date Permit Recd By EPA	
		PM	Date Permit Mod Recd by EPA	
		PE	EPA 45-Day Review Period	System Generated
		IF	Date Permit Issued	
		IM	Date Permit Modification Issued	
		IR	Permit Renewal	
	PO		Date EPA Objects to Permit	
	PL		Date EPA Objects to Permit Mod	
	PG		Date EPA Denies Revised Permit	
	PH		Date EPA Denies Revised Mod	
	PT		Date Public Petitions EPA -	
	PD		Date Public Petitions EPA - Mod	
	PQ		Date EPA Action - Public Petition	

Data Elements for Subparts

<u>AFS</u>	<u>Region</u>	<u>State Use</u>	<u>Description-Data Elements</u>	<u>Additional</u>
SPT1 APC1=9	X	X (Optional)	40 CFR Part 60 (NSPS) Subparts- Air Program Code 9	Plant Level
SPT1 APC1=8	X	X (Optional)	40 CFR Part 61 (NonMACT NESHAP) Subparts-Air Program Code 8	Plant Level
SPT1 APC1=M	X	X (Optional)	40 CFR Part 63 (MACT NESHAP) Subparts-Air Program Code M	Plant Level
SPT1 APC1=0	X	X (Optional)	40 CFR Part 60 - Air Program Code 0	Plant Level
SPT1 APC1=1	X	X (Optional)	40 CFR Part 60 - Air Program Code 1	Plant Level

SUGGESTED ACTION TYPES FOR AFS REPORTING—REGION 6

FULL COMPLIANCE EVALUATIONS (FCE)

<u>Natl</u>	<u>Region</u>	<u>State</u>	<u>Regional Action Description</u>	<u>Additional Requirements</u>
FE	II-R		EPA CFC IMPORTER INSPECTION - LEVEL 2 OR GREATER	
FE	MM-R		EPA MULTI-MEDIA INSPECTION - LEVEL 2 OR GREATER	
FE	PI-R		EPA CFC PRODUCER INSPECTION - LEVEL 2 OR GREATER	
FE	R8-R		EPA OVERVIEW INSPECTION - LEVEL 2 OR GREATER	
FE	L8-R		PRIMACY INSPECTION - LEVEL 2 OR GREATER	
FE	L4-R		EPA CASE DEVELOPMENT INSPECTION: LEVEL 2 OR GREATER	
FE	EI-R		EPA CFC EXPORTER INSPECTION - LEVEL 2 OR GREATER	
FE	UI-R		EPA CFC USER INSPECTION - LEVEL 2 OR GREATER	
FE	FE-R		EPA CONDUCTED FCE/ ON-SITE	
FZ	FZ-R		EPA CONDUCTED FCE / OFF-SITE	
FS		S8-R	INSPECTION BY STATE - LEVEL 2 OR GREATER	
FS		07-R	STATE INSPECTION - LEVEL 2 OR GREATER	
FS		L9-R	STATE CASE DEVELOPMENT INSPECTION: LVL 2 OR GREATER	
FS		FS-R	STATE CONDUCTED FCE / ON-SITE	
FS		DH-R	EMISSION INVENTORY AUDIT (PLANT VISIT)	
FF		FF-R	STATE CONDUCTED FCE / OFF-SITE	

INVESTIGATIONS

<u>Natl</u>	<u>Region</u>	<u>State</u>	<u>Regional Action Description</u>	<u>Additional Requirements</u>
EI	E9-R		EPA INVESTIGATION INITIATED	
EC	EC-R		EPA INVESTIGATION CONDUCTED	
SI		SI-R	STATE INVESTIGATION INITIATED	
SC		SE-R	STATE INVESTIGATION CONDUCTED	

PARTIAL COMPLIANCE EVALUATIONS (PCE) - (Note: reporting State PCEs are currently an option.)

<u>Natl</u>	<u>Region</u>	<u>State</u>	<u>Regional Action Description</u>	<u>Additional Requirements</u>
ES	PE-R		EPA PCE ON-SITE REVIEW	
PS		43-R	STATE COMPLAINT INSPECTION	
PS		PS-R	STATE PCE ON-SITE REVIEW	
PS		44-R	STATE POINT INSPECTION	
PS		13-R	STATE OPACITY READING	
EX	R5-R		PUBLIC NOTICE BY EPA	
EX	59-R		EPA CONFERENCE WITH FACILITY	
EX	51-R		EPA 114 LETTER	
EX	R6-R		PUBLIC HEARING BY EPA	
EX	MB-R		PART 63 NOTIFICATION OF COMPLIANCE STATUS	
EX	EX-R		EPA PCE/OFF-SITE	
EX	MJ-R		PART 63 APPLICABILITY DETERMINATION	
EX	MG-R		PART 63 GRANTED COMPLIANCE DATE	
PX		S5-R	PUBLIC NOTICE BY STATE	
PX		S6-R	PUBLIC HEARING BY STATE	
PX		DJ-R	EMISSION INVENTORY SUBMITTED	
PX		K2-R	REPORT REVIEWED	
PX		P8-R	HEARING	
PX		PX-R	STATE PCE OFF-SITE REVIEW	
PX		15-R	STATE CONFERENCE WITH FACILITY	
PX	M8-R	M8-R	CEM WAIVER/ALTERNATE METHOD GRANTED	
PX		M7-R	CEM AUDIT	
PX	M6-R	M6-R	REVIEW CEM DATA	
PX		42-R	STATE 114 LETTER	
PX	M2-R	M2-R	EXECUTION AND SUBMISSION OF CM SPEC TEST RESULT	
PX	M5-R	M5-R	REVIEW OF CEM TEST RESULTS	

STACK TESTS

<u>Natl</u>	<u>Region</u>	<u>State</u>	<u>Regional Action Description</u>	<u>Additional Requirements</u>
2A	R9-R		SOURCE TEST CONDUCTED BY EPA	Results Code:Pass(PP) or Fail(FF). Action PLLT
TO	53-R		EPA REQ (O/O COND) STACK TEST/OBSV & REVIEWED	Results Code:Pass(PP) or Fail(FF). Action PLLT
TE	TE-R		EPA REQ (O/O COND) STACK TEST/NOT OBSV BUT REVWD	Results Code:Pass(PP) or Fail(FF). Action PLLT
6C		S9-R	PERFORMANCE TEST CONDUCTED BY STATE	Results Code:Pass(PP) or Fail(FF).
3A		21-R	NESHAPS EMISSION TEST OBSERVED BY STATE	Results Code:Pass(PP) or Fail(FF).
3A		16-R	NSPS PERFORMANCE TEST OBSERVED BY STATE	Results Code:Pass(PP) or Fail(FF).
3A		92-R	NSPS PERFORMANCE TEST NOT OBSERVED	Results Code:Pass(PP) or Fail(FF).
3A		91-R	NESHAPS EMISSION TEST NOT OBSERVED	Results Code:Pass(PP) or Fail(FF).
3A		75-R	NESHAPS EMISSION TEST OBSERVED BY EPA	Results Code:Pass(PP) or Fail(FF).
3A		09-R	STATE REQ (O/O COND) STACK TEST OBSV & REVIEWED	Results Code:Pass(PP) or Fail(FF).

SUGGESTED ACTION TYPES FOR AFS REPORTING—REGION 6

3A	63-R	SOURCE TEST(NOT OBSERVED)	Results Code:Pass(PP) or Fail(FF).
3A	W4-R	STACK TEST BY COMPANY	Results Code:Pass(PP) or Fail(FF).
3A	65-R	NSPS PERFORMANCE TEST OBSERVED BY EPA	Results Code:Pass(PP) or Fail(FF).
3A	MD-R	PART 63 PERFORMANCE TEST (OWNER/OPERATOR)	Results Code:Pass(PP) or Fail(FF).
TR	39-R	STATE REQ (O/O COND) STACK TEST NOT OBSV BUT REVED	Results Code:Pass(PP) or Fail(FF).

NOTICE OF VIOLATIONS

<u>Natl</u>	<u>Region</u>	<u>State</u>	<u>Regional Action Description</u>	<u>Additional Requirements</u>
6A	E4-R		NSPS VIOLATION LETTER	
6A	L5-R		NOV ISSUED BY EPA	
6A	C1-R		EPA STAGE I NOV	
6A	A9-R		NESHAPS VIOLATION LETTER	
6A	55-R		EPA NOV ISSUED	
7C		28-R	STATE NOTICE OF VIOLATION	
7C		40-R	LETTER OF VIOLATION BY STATE	
7C		L1-R	NOV ISSUED BY STATE	

FEDERAL ADMINISTRATIVE ORDERS AND PENALTY AMOUNTS

<u>Natl</u>	<u>Region</u>	<u>State</u>	<u>Regional Action Description</u>	<u>Additional Requirements</u>
8A	K4-R		EPA ADMINISTRATIVE ORDER	PAM1
8A	56-R		113A ORDER ISSUED	PAM1
8A	Y1-R		EPA 113A SIP VIOLATION ORDER ISSUED	PAM1
8A	Y2-R		EPA 113A NON-SIP VIOLATION ORDER ISSUED	PAM1
8A	Y3-R		EPA 113A PROHIBIT CONSTRUCTION ORDER ISSUED	PAM1
8A	69-R		NSPS ORDER BY EPA	PAM1
8A	77-R		NESHAPS ORDER BY EPA	PAM1
7F	AA-R		113(D) APO COMPLAINT FILED	PAM1
C2	P1-R		113(D) APO RECALCULATED	PAM1
C3	P6-R		113(D) ADMINISTRATIVE PENALTY COLLECTED	PAM1
6B	X3-R		FEDERAL CONSENT DECREE ISSUED	PAM1
7E	N1-R		EPA SECTION 167 ORDER ISSUED	PAM1

STATE ADMINISTRATIVE ORDERS AND PENALTY AMOUNTS

(note: Administrative Orders include Penalty Notices Compliance Notices, Agreed Orders, Compliance Administrative Orders, Consent Orders or Final Orders)

<u>Natl</u>	<u>Region</u>	<u>State</u>	<u>Regional Action Description</u>	<u>Additional Requirements</u>
8C		CN-R	COMPLIANCE ORDER AND NOTICE OF POTENTIAL PENALTY	PAM1(Total Assessed)
8C		29-R	STATE ADMINISTRATIVE ORDER	PAM1(Total Assessed)
8C		X1-R	STATE ORDER ISSUED	PAM1(Total Assessed)
8C		Z3-R	STATE ADMINISTRATIVE ORDER (W/ PENALTY)	PAM1(Total Assessed)
8C		18-R	NSPS ORDER BY STATE	PAM1(Total Assessed)
8C		23-R	NESHAPS ORDER BY STATE	PAM1(Total Assessed)
2D		X2-R	STATE CONSENT DECREE ISSUED	PAM1 (Total Assessed)

CIVIL AND CRIMINAL REFERRALS AND PENALTY AMOUNTS

<u>Natl</u>	<u>Region</u>	<u>State</u>	<u>Regional Action Description</u>	<u>Additional Requirements</u>
5B	L7-R		EPA CRIMINAL ACTION	PAM1
5B	17-R		EPA CRIMINAL REFERRAL	PAM1
4B	K3-R		EPA CIVIL ACTION	PAM1
4B	K7-R		PRE-CIVIL ACTION REQUEST	PAM1
4B	57-R		EPA SIP CIVIL ACTION	PAM1
1E		N2-R	CIVIL REFERRAL TO STATE AG	PAM1
9C		19-R	NSPS CIVIL ACTION BY STATE	Use appropriate admin order or Consent Decree
9C		11-R	STATE CIVIL ACTION	Use appropriate admin order or Consent Decree
9C		L2-R	STATE CIVIL ACTION	Use appropriate admin order or Consent Decree
9C		24-R	NESHAPS CIVIL ACTION BY STATE	Use appropriate admin order or Consent Decree
1D		L3-R	CRIMINAL REFERRAL	PAM1

HIGH PRIORITY VIOLATOR (HPV) ACTION LINKING - DAY ZERO

(note: HPV cases contain a Day Zero action, Date Addressed, formal or informal action and Date Resolved. Any action can be linked. Comment on Day Zero documents the type of violation)

<u>Natl</u>	<u>Region</u>	<u>State</u>	<u>Regional Action Description</u>	<u>Additional Requirements</u>
2Z	N4-R		FEDERAL DAY ZERO	PLC1, Lead Agency
2E		N6-R	STATE DAY ZERO	PLC1, Lead Agency
2B	2B-R	2B-R	DAY ZERO – SHARED LEAD ENFORCEMENT	
2U	2U-R	2U-R	DAY ZERO – LEAD ENFORCEMENTS IS UNASSIGNED	

SUGGESTED ACTION TYPES FOR AFS REPORTING—REGION 6
HIGH PRIORITY VIOLATOR (HPV) ACTION LINKING - ADDRESSING ACTIONS

<u>Natl</u>	<u>Region</u>	<u>State</u>	<u>Regional Action Description</u>	<u>Additional Requirements</u>
8A	56-R	56-R	113A ORDER ISSUED	PAM1
8A	Y3-R	Y3-R	EPA 113A PROHIBIT CONSTRUCTION ORDER ISSUED	PAM1
8A	Y2-R	Y2-R	EPA 113A NON-SIP VIOLATION ORDER ISSUED	PAM1
8A	Y1-R	Y1-R	EPA 113A SIP VIOLATION ORDER ISSUED	PAM1
8A	69-R	69-R	NSPS ORDER BY EPA	PAM1
8A	77-R	77-R	NESHAPS ORDER BY EPA	PAM1
8A	K4-R	K4-R	EPA ADMINISTRATIVE ORDER	PAM1
7F	AA-R		113(D) APO COMPLAINT FILED	PAM1
2M	V4-R		PROP SIP OR FIP REVISION WILL LEAD TO COMPLIANCE	
2M	V6-R		PROP SIP OR FIP REVISION WILL LEAD TO COMPLIANCE	
6B	X3-R	X3-R	FEDERAL CONSENT DECREE ISSUED	PAM1
8C		Z3-R	STATE ADMINISTRATIVE ORDER (W/ PENALTY)	PAM1(Total Assessed)
8C		CN-R	COMPLIANCE ORDER AND NOTICE OF POTENTIAL PENALTY	PAM1(Total Assessed)
8C		29-R	STATE ADMINSTRATIVE ORDER	PAM1(Total Assessed)
8C		X1-R	STATE ORDER ISSUED	PAM1(Total Assessed)
8C		23-R	NESHAPS ORDER BY STATE	PAM1(Total Assessed)
8C		18-R	NSPS ORDER BY STATE	PAM1(Total Assessed)
2D		X2-R	STATE CONSENT DECREE ISSUED	PAM1 (Total Assessed)
7E		N1-R	EPA SECTION 167 ORDER ISSUED	
OT	OT-R	OT-R	OTHER ADDRESSING ACTION	

HIGH PRIORITY VIOLATOR (HPV) ACTION LINKING - HPV RESOLVED

<u>Natl</u>	<u>Region</u>	<u>State</u>	<u>Regional Action Description</u>	<u>Additional Requirements</u>
VR	V2-R	V2-R	VIOLATION RESOLVED	
2K		V5-R	SOURCE RETURNED TO COMPL BY STATE W/NO ACT REQ	
7G	V3-R		SOURCE RETURNED TO COMPL BY USEPA W/NO ACT REQ	
WD	P9-R	P9-R	WITHDRAWN	
C7	Q5-R	Q5-R	CLOSEOUT MEMO ISSUED	

TITLE V SELF CERTIFICATIONS AND COMPLIANCE STATUS (Note: contains new CMS requirements)

<u>Natl</u>	<u>Region</u>	<u>State</u>	<u>Regional Action Description</u>	<u>Additional Requirements</u>
ER	ER-R		COMPLIANCE CERTIFICATION EPA REVIEW	Results Code: MV-in violation, MC-in Compliance, MU-Unknown. RDE8=Deviation, Y-Yes or N-No
SR		SR-R	COMPLIANCE CERTIFICATION STATE REVIEW	Results Code: MV-in violation, MC-in Compliance, MU-Unknown.
CC	CC-R	CC-R	TITLE V ANNUAL COMPLIANCE CERT. DUE/RCVD BY EPA	DTS1=Due Date. DTA1=Received Date.

Title V Permit Program Data Elements and Events

<u>AFS Acronym</u>	<u>Region Use</u>	<u>State Use</u>	<u>Description-Data</u>	<u>Additional</u>
PAFS			Permitting Authority Name	FIPS Tables
PAPN			AFS Plant ID Number	
APC1			Permit Number	V
PLAP			Permit Air Program Code	Facil
SCA1			Permit Pollutant Code	Current
			Permit Program Compliance	Permit Number
		DP	Draft Permit	
		DQ	Draft Permit Modification	
		PP	Date Permit Recd By EPA	
		PM	Date Permit Mod Recd by EPA	
		PE	EPA 45-Day Review Period	System Generated
		IF	Date Permit Issued	
		IM	Date Permit Modification Issued	
		IR	Permit Renewal	
	PO		Date EPA Objects to Permit	
	PL		Date EPA Objects to Permit Mod	
	PG		Date EPA Denies Revised Permit	
	PH		Date EPA Denies Revised Mod	
	PT		Date Public Petitions EPA -	
	PD		Date Public Petitions EPA - Mod	
	PQ		Date EPA Action - Public Petition	

Note: please reference <http://www.epa.gov/compliance/planning/data/air/pmtpolcy.pdf> for more information on the reporting of Title V data.

SUGGESTED ACTION TYPES FOR AFS REPORTING—REGION 6

Data Elements for Subparts

<u>AFS Acronym</u>	<u>Region</u>	<u>State Use</u>	<u>Description-Data Elements</u>	<u>Additional</u>
SPT1 APC1=9	X	X (Optional)	40 CFR Part 60 (NSPS) Subparts- Air Program Code 9	Plant Level
SPT1 APC1=8	X	X (Optional)	40 CFR Part 61 (NonMACT NESHAP) Subparts-Air Program Code 8	Plant Level
SPT1 APC1=M	X	X (Optional)	40 CFR Part 63 (MACT NESHAP) Subparts-Air Program Code M	Plant Level
SPT1 APC1=0	X	X (Optional)	40 CFR Part 60 - Air Program Code 0	Plant Level
SPT1 APC1=1	X	X (Optional)	40 CFR Part 60 - Air Program Code 1	Plant Level

SUGGESTED ACTION TYPES FOR AFS REPORTING—REGION 7

FULL COMPLIANCE EVALUATIONS (FCE)

<u>Natl</u>	<u>Region</u>	<u>State</u>	<u>Regional Action Description</u>	<u>Additional Requirements</u>
FE	AE-R		EPA CONDUCTED FCE/ ON-SITE	
FZ	AZ-R		EPA CONDUCTED FCE/ OFF-SITE	
FS		AS-R	STATE CONDUCTED FCE/ ON-SITE	
FF		AF-R	STATE CONDUCTED FCE/ OFF-SITE	

INVESTIGATIONS

<u>Natl</u>	<u>Region</u>	<u>State</u>	<u>Regional Action Description</u>	<u>Additional Requirements</u>
EI	IE-R		EPA INVESTIGATION STARTED	
EC	II-R		EPA INVESTIGATION CONDUCTED	
SI		IS-R	STATE INVESTIGATION STARTED	
SC		IC-R	STATE INVESTIGATION CONDUCTED	

PARTIAL COMPLIANCE EVALUATIONS (PCE) - (Note: reporting State PCEs are currently an option.)

<u>Natl</u>	<u>Region</u>	<u>State</u>	<u>Regional Action Description</u>	<u>Additional Requirements</u>
ES	AC-R		EPA CONDUCTED PCE/ ON-SITE	
PS		AP-R	STATE CONDUCTED PCE/ ON-SITE	
EX	AD-R		EPA CONDUCTED PCE/ OFF-SITE	
PX		AX-R	STATE CONDUCTED PCE/ OFF-SITE	

STACK TESTS

<u>Natl</u>	<u>Region</u>	<u>State</u>	<u>Regional Action Description</u>	<u>Additional Requirements</u>
2A	A8-R		EPA SOURCE TEST	Results Code:Pass(PP) or Fail(FF). Action PLLT
2A	M6-R		ACID RAIN CEM SYSTEM REVIEW	Results Code:Pass(PP) or Fail(FF). Action PLLT
TO	AO-R		EPA REQ (O/O COND) STACK TEST/OBSERVED & REVIEWED	Results Code:Pass(PP) or Fail(FF). Action PLLT
TE	AN-R		EPA REQ (O/O COND) STACK TEST/NOT OBSVD BUT REVWD	Results Code:Pass(PP) or Fail(FF). Action PLLT
6C		A7-R	STATE STACK TEST	Results Code:Pass(PP) or Fail(FF).
3A		A9-R	SOURCE TEST OBSERVED BY EPA	Results Code:Pass(PP) or Fail(FF).
3A		B1-R	SOURCE TEST OBSERVED BY STATE	Results Code:Pass(PP) or Fail(FF).
TR		AR-R	STATE REQ (O/O COND) STACK TEST/NOT OBSVD BUT REVD	Results Code:Pass(PP) or Fail(FF).

NOTICE OF VIOLATIONS

<u>Natl</u>	<u>Region</u>	<u>State</u>	<u>Regional Action Description</u>	<u>Additional Requirements</u>
6A	LV-R		LETTER OF VIOLATION	
6A	L4-R		NOV ISSUED BY EPA	
6A	X9-R		FINDING OF VIOLATION-EPA	
7C		WE-R	OPEN BURNING -NOTICE OF VIOLATION ISSUED	
7C		G5-R	NOV ISSUED BY STATE	
7C		GG-R	LOCAL NOV	
7C		WF-R	NOTICE OF VIOLATION ISSUED, ERP REQUESTED	
7C		XB-R	LETTER OF VIOLATION-STATE	

FEDERAL ADMINISTRATIVE ORDERS AND PENALTY AMOUNTS

<u>Natl</u>	<u>Region</u>	<u>State</u>	<u>Regional Action Description</u>	<u>Additional Requirements</u>
8A	E5-R		EPA 113A NON-SIP VIOLATION ORDER ISSUED	PAM1
8A	E3-R		113 ORDER REVISED	PAM1
8A	E1-R		113 ORDER ISSUED	PAM1
8A	E4-R		EPA 113A SIP VIOLATION ORDER ISSUED	PAM1
7F	LP-R		113(D) ADMINISTRATIVE CIVIL COMPLAINT FILED	PAM1
C2	LG-R		113(D) PENALTY RECALCULATED	PAM1
C3	LK-R		113(D) ADMINISTRATIVE PENALTY COLLECTED	PAM1
6B	L6-R		FEDERAL COURT DECREE ISSUED	PAM1
7E	EA-R		EPA SECTION 167 ORDER	PAM1

STATE ADMINISTRATIVE ORDERS AND PENALTY AMOUNTS

(note: Administrative Orders include Penalty Notices Compliance Notices, Agreed Orders, Compliance Administrative Orders, Consent Orders or Final Orders)

<u>Natl</u>	<u>Region</u>	<u>State</u>	<u>Regional Action Description</u>	<u>Additional Requirements</u>
8C		G7-R	REVISED STATE ORDER	PAM1(Total Assessed)
8C		G6-R	STATE ORDER ISSUED	PAM1(Total Assessed)
8C		GE-R	SETTLEMENT AGREEMENT	PAM1(Total Assessed)
8C		WD-R	ENFORCEMENT ORDER ISSUED BY COUNTY LOCAL PROGRAM	PAM1(Total Assessed)
2D		G9-R	STATE CONSENT AGREEMENT ISSUED	PAM1 (Total Assessed)
2D		H3-R	STATE COURT DECREE ISSUED	PAM1 (Total Assessed)

**SUGGESTED ACTION TYPES FOR AFS REPORTING—REGION 7
CIVIL AND CRIMINAL REFERRALS AND PENALTY AMOUNTS**

<u>Natl</u>	<u>Region</u>	<u>State</u>	<u>Regional Action Description</u>	<u>Additional Requirements</u>
5B	L8-R		EPA CRIMINAL REFERRAL	PAM1
4B	L5-R		EPA CIVIL ACTION	PAM1
1E		GL-R	CIVIL REFERRAL TO CITY/LOCAL GOVERNMENT ATTORNEY	PAM1
1E		CK-R	MACC PERMISSION TO REFER TO MO AG	PAM1
1E		GA-R	STATE CIVIL REFERRAL TO ST ATTORNEY GENERAL	PAM1
9C		H2-R	CIVIL ACTION BY STATE	Use appropriate admin order or Consent Decree
1D		H5-R	STATE CRIMINAL ACTION	PAM1

HIGH PRIORITY VIOLATOR (HPV) ACTION LINKING - DAY ZERO

(note: HPV cases contain a Day Zero action, Date Addressed, formal or informal action and Date Resolved. Any action can be linked. Comment on Day Zero documents the type of violation)

<u>Natl</u>	<u>Region</u>	<u>State</u>	<u>Regional Action Description</u>	<u>Additional Requirements</u>
2Z	D0-R		FEDERAL DAY ZERO	PLC1, Lead Agency
2E		CO-R	STATE DAY ZERO	PLC1, Lead Agency
2U	2U-R	2U-R	DAY ZERO – LEAD ENFORCEMENT IS UNASSIGNED	
2B	2B-R	2B-R	DAY ZERO – SHARED ENFORCEMENT LEAD	PAM1

HIGH PRIORITY VIOLATOR (HPV) ACTION LINKING - ADDRESSING ACTIONS

<u>Natl</u>	<u>Region</u>	<u>State</u>	<u>Regional Action Description</u>	<u>Additional Requirements</u>
8A	E1-R	E1-R	113 ORDER ISSUED	PAM1
8A	E3-R	E3-R	113 ORDER REVISED	PAM1
8A	E5-R	E5-R	EPA 113A NON-SIP VIOLATION ORDER ISSUED	PAM1
8A	E4-R	E4-R	EPA 113A SIP VIOLATION ORDER ISSUED	PAM1
7F	LP-R		113(D) ADMINISTRATIVE CIVIL COMPLAINT FILED	PAM1
2M	CF-R		PROP SIP OR FIP REVISION WILL LEAD TO COMPLIANCE	
6B	L6-R	L6-R	FEDERAL COURT DECREE ISSUED	PAM1
8C		G7-R	REVISED STATE ORDER	PAM1(Total Assessed)
8C		WD-R	ENFORCEMENT ORDER ISSUED BY COUNTY LOCAL PROGRAM	PAM1(Total Assessed)
8C		G6-R	STATE ORDER ISSUED	PAM1(Total Assessed)
8C		GE-R	SETTLEMENT AGREEMENT	PAM1(Total Assessed)
2D		H3-R	STATE COURT DECREE ISSUED	PAM1 (Total Assessed)
2D		G9-R	STATE CONSENT AGREEMENT ISSUED	PAM1 (Total Assessed)
7E	EA-R		EPA SECTION 167 ORDER	
OT	OT	OT-R	OTHER ADDRESSING ACTION	

HIGH PRIORITY VIOLATOR (HPV) ACTION LINKING - HPV RESOLVED

<u>Natl</u>	<u>Region</u>	<u>State</u>	<u>Regional Action Description</u>	<u>Additional Requirements</u>
VR	CD-R	CD-R	VIOLATION RESOLVED	
2K	HB-R	HB-R	SOURCE RETURNED TO COMPL BY STATE W/NO FURTHER ACT REQ	
7G	CE-R	CE-R	SOURCE RETURNED TO COMPL BY USEPA W/NO FURTHER ACT REQ	
WD	CB-R	CB-R	WITHDRAWN	
C7	C7-R	C7-R	CLOUSEOUT MEMO ISSUED	
C3	LK-R		113(D) PENALTY COLLECTED	

TITLE V SELF CERTIFICATIONS AND COMPLIANCE STATUS (Note: contains new CMS requirements)

<u>Natl</u>	<u>Region</u>	<u>State</u>	<u>Regional Action Description</u>	<u>Additional Requirements</u>
ER	UE-R		COMPLIANCE CERTIFICATION EPA REVIEW	Results Code: MV-in violation, MC-in Compliance, MU-Unknown. RDE8=Deviation, Y-Yes or N-No.
SR		US-R	COMPLIANCE CERTIFICATION STATE REVIEW	Results Code: MV-in violation, MC-in Compliance, MU-Unknown.
SR		SR-R	TITLE V COMPLIANCE CERTIFICATION REVIEW BY STATE	Results Code: MV-in violation, MC-in Compliance, MU-Unknown.
CC	UC-R		TITLE V COMPLIANCE CERT DUE/RECEIVED BY EPA	DTS1=Due Date. DTA1=Received Date.

SUGGESTED ACTION TYPES FOR AFS REPORTING—REGION 7

Title V Permit Program Data Elements and Events

<u>AFS Acronym</u>	<u>Region Use</u>	<u>State Use</u>	<u>Description-Data</u>	<u>Additional</u>
PAFS			Permitting Authority Name	FIPS Tables
PAPN			AFS Plant ID Number	
APC1			Permit Number	
PLAP			Permit Air Program Code	V
SCA1			Permit Pollutant Code	Facil
			Permit Program Compliance	Current
		DP	Draft Permit	Permit Number
		DQ	Draft Permit Modification	
		PP	Date Permit Recd By EPA	
		PM	Date Permit Mod Recd by EPA	
		PE	EPA 45-Day Review Period	System Generated
		IF	Date Permit Issued	
		IM	Date Permit Modification Issued	
		IR	Permit Renewal	
	PO		Date EPA Objects to Permit	
	PL		Date EPA Objects to Permit Mod	
	PG		Date EPA Denies Revised Permit	
	PH		Date EPA Denies Revised Mod	
	PT		Date Public Petitions EPA -	
	PD		Date Public Petitions EPA - Mod	
	PQ		Date EPA Action - Public Petition	

Note: please reference <http://www.epa.gov/compliance/planning/data/air/pmpolcy.pdf> for more information on the reporting of Title V data.

Data Elements for Subparts

<u>AFS Acronym</u>	<u>Region</u>	<u>State Use</u>	<u>Description-Data Elements</u>	<u>Additional</u>
SPT1 APC1=9	X	X (Optional)	40 CFR Part 60 (NSPS) Subparts- Air Program Code 9	Plant Level
SPT1 APC1=8	X	X (Optional)	40 CFR Part 61 (NonMACT NESHAP) Subparts-Air Program Code 8	Plant Level
SPT1 APC1=M	X	X (Optional)	40 CFR Part 63 (MACT NESHAP) Subparts-Air Program Code M	Plant Level
SPT1 APC1=0	X	X (Optional)	40 CFR Part 60 - Air Program Code 0	Plant Level
SPT1 APC1=1	X	X (Optional)	40 CFR Part 60 - Air Program Code 1	Plant Level

SUGGESTED ACTION TYPES FOR AFS REPORTING—REGION 8

FULL COMPLIANCE EVALUATIONS (FCE)

<u>Natl</u>	<u>Region</u>	<u>State</u>	<u>Regional Action Description</u>	<u>Additional Requirements</u>
FE	FE-R		EPA CONDUCTED FCE/ON-SITE	
FZ	FZ-R		EPA CONDUCTED FCE/OFF-SITE	
FS		FS-R	STATE CONDUCTED FCE/ON-SITE	
FF		FF-R	STATE CONDUCTED FCE/OFF-SITE	

INVESTIGATIONS

<u>Natl</u>	<u>Region</u>	<u>State</u>	<u>Regional Action Description</u>	<u>Additional Requirements</u>
EI	IE-R		EPA INVESTIGATION STARTED	
EC	EC-R		EPA INVESTIGATION CONDUCTED	
SI		SI-R	STATE INVESTIGATION STARTED	
SC		SC-R	STATE INVESTIGATION CONDUCTED	

PARTIAL COMPLIANCE EVALUATIONS (PCE) - (Note: reporting State PCEs are currently an option.)

<u>Natl</u>	<u>Region</u>	<u>State</u>	<u>Regional Action Description</u>	<u>Additional Requirements</u>
ES	ES-R		EPA PCE/ON-SITE	
PS		PS-R	STATE PCE/ON-SITE	
EX	EX-R		EPA PCE/OFF-SITE	
PX		PX-R	STATE PCE/OFF-SITE	

STACK TESTS

<u>Natl</u>	<u>Region</u>	<u>State</u>	<u>Regional Action Description</u>	<u>Additional Requirements</u>
2A	53-R		EPA SOURCE TEST	Results Code:Pass(PP) or Fail(FF). Action PLLT
TO	TO-R		EPA REQ (O/O COND) STACK TEST/OBSERVED & REVIEWED	Results Code:Pass(PP) or Fail(FF). Action PLLT
TE	TE-R		EPA REQ (O/O COND) STACK TEST/NOT OBSV BUT REVWD	Results Code:Pass(PP) or Fail(FF). Action PLLT
6C		22-R	STATE SOURCE TEST	Results Code:Pass(PP) or Fail(FF).
3A		3A-R	STATE REQ (O/O COND) STACK TEST/OBSV & REVIEWED	Results Code:Pass(PP) or Fail(FF).
TR		TR-R	STATE REQ (O/O COND) STACK TEST/NOT OBSV BUT REVWD	Results Code:Pass(PP) or Fail(FF).

NOTICE OF VIOLATIONS

<u>Natl</u>	<u>Region</u>	<u>State</u>	<u>Regional Action Description</u>	<u>Additional Requirements</u>
6A	L5-R		NOV ISSUED BY EPA	
7C		L1-R	NOV ISSUED BY STATE	

FEDERAL ADMINISTRATIVE ORDERS AND PENALTY AMOUNTS

<u>Natl</u>	<u>Region</u>	<u>State</u>	<u>Regional Action Description</u>	<u>Additional Requirements</u>
8A	57-R		EPA ABATEMENT ORDER ISSUED	PAM1
8A	Y2-R		EPA 113A NON-SIP VIOLATION ORDER ISSUED	PAM1
8A	Y1-R		EPA ADMINISTRATIVE ORDER ISSUED	PAM1
7F	AF-R		113 (D) ADMINISTRATIVE COMPLAINT FILED	PAM1
C3	A3-R		113(D) ADMINISTRATIVE PENALTY COLLECTED	PAM1
6B	X3-R		FEDERAL CONSENT DECREE ISSUED	PAM1
7E	K1-R		EPA 167 PSD ORDER	PAM1

STATE ADMINISTRATIVE ORDERS AND PENALTY AMOUNTS

(note: Administrative Orders include Penalty Notices Compliance Notices, Agreed Orders, Compliance Administrative Orders, Consent Orders or Final Orders)

<u>Natl</u>	<u>Region</u>	<u>State</u>	<u>Regional Action Description</u>	<u>Additional Requirements</u>
8C		X1-R	STATE ORDER ISSUED	PAM1(Total Assessed)
2D		X2-R	STATE COURT DECREE ISSUED	PAM1 (Total Assessed)

CIVIL AND CRIMINAL REFERRALS AND PENALTY AMOUNTS

<u>Natl</u>	<u>Region</u>	<u>State</u>	<u>Regional Action Description</u>	<u>Additional Requirements</u>
5B	L7-R		EPA CRIMINAL ACTION	PAM1
4B	L6-R		EPA CIVIL ACTION	PAM1
1E		K2-R	CIVIL REFERRAL TO STATE ATT. GENERAL	PAM1
9C		L2-R	CIVIL ACTION BY STATE	Use appropriate admin order or Consent Decree
1D		L3-R	STATE CRIMINAL ACTION	PAM1

HIGH PRIORITY VIOLATOR (HPV) ACTION LINKING - DAY ZERO

(note: HPV cases contain a Day Zero action, Date Addressed, formal or informal action and Date Resolved. Any action can be linked. Comment on Day Zero documents the type of violation)

<u>Natl</u>	<u>Region</u>	<u>State</u>	<u>Regional Action Description</u>	<u>Additional Requirements</u>
2Z	60-R		FEDERAL DAY ZERO	PLC1, Lead Agency
2E		64-R	STATE DAY ZERO	PLC1, Lead Agency
2B	2B-R	2B-R	DAY ZERO – SHARED ENFORCEMENT LEAD	
2U	2U-R	2U-R	DAY ZERO – ENFORCEMENT LEAD IS UNASSIGNED	

SUGGESTED ACTION TYPES FOR AFS REPORTING—REGION 8
HIGH PRIORITY VIOLATOR (HPV) ACTION LINKING - ADDRESSING ACTIONS

<u>Natl</u>	<u>Region</u>	<u>State</u>	<u>Regional Action Description</u>	<u>Additional Requirements</u>
8A	57-R		EPA ABATEMENT ORDER ISSUED	PAM1
8A	Y2-R		EPA 113A NON-SIP VIOLATION ORDER ISSUED	PAM1
8A	Y1-R		EPA ADMINISTRATIVE ORDER ISSUED	PAM1
7F	AF-R		113 (D) ADMINISTRATIVE COMPLAINT FILED	PAM1
2M	V5-R		PROPOSED SIP OR FIP REVISE WILL LEAD TO COMPLIANCE	
6B	X3-R		FEDERAL CONSENT DECREE ISSUED	PAM1
8C		X1-R	STATE ORDER ISSUED	PAM1 (Total Assessed)
2D		X2-R	STATE COURT DECREE ISSUED	PAM1 (Total Assessed)
7E	K1-R		EPA 167 PSD ORDER	
OT	OT-R	OT-R	OTHER ADDRESSING ACTION	

HIGH PRIORITY VIOLATOR (HPV) ACTION LINKING - HPV RESOLVED

<u>Natl</u>	<u>Region</u>	<u>State</u>	<u>Regional Action Description</u>	<u>Additional Requirements</u>
VR	V2-R	V2-R	VIOLATION RESOLVED	
WD	AW-R	AW-R	WITHDRAWAL	
C3	A3-R	A3-R	113(D) ADMINISTRATIVE PENALTY COLLECTED	
C7	DC-R	DC-R	CLOSEOUT MEMO ISSUED	
7G	V3-R	V3-R	SOURCE RET TO COMPL BY USEPA W/NO FURTHER ACT REQ	
2K	V4-R	V4-R	SOURCE RET TO COMPL BY STATE W/NO FURTHER ACT REQ	

TITLE V SELF CERTIFICATIONS AND COMPLIANCE STATUS (Note: contains new CMS requirements)

<u>Natl</u>	<u>Region</u>	<u>State</u>	<u>Regional Action Description</u>	<u>Additional Requirements</u>
ER	VE-R		COMPLIANCE CERTIFICATION EPA REVIEW	Results Code: MV-in violation, MC-in Compliance, MU-Unknown. RDE8=Deviation, Y-Yes or N-No.
SR		VS-R	COMPLIANCE CERTIFICATION STATE REVIEW	Results Code: MV-in violation, MC-in Compliance, MU-Unknown.
CC	CC-R		TITLE V COMPLIANCE CERT. DUE/RECEIVED BY EPA	DTS1=Due Date. DTA1=Received Date.
CB		CB-R	TITLE V COMPLIANCE CERT. DUE/RECEIVED BY STATE/LOCAL	DTS1=Due Date. DTA1=Received Date.

Title V Permit Program Data Elements and Events

<u>AFS Acronym</u>	<u>Region Use</u>	<u>State Use</u>	<u>Description-Data</u>	<u>Additional</u>
PAFS			Permitting Authority Name	FIPS Tables
PAPN			AFS Plant ID Number	
APC1			Permit Number	
PLAP			Permit Air Program Code	V
SCA1			Permit Pollutant Code	Facil
			Permit Program Compliance	Current
		DP	Draft Permit	Permit Number
		DQ	Draft Permit Modification	
		PP	Date Permit Recd By EPA	
		PM	Date Permit Mod Recd by EPA	
		PE	EPA 45-Day Review Period	System Generated
		IF	Date Permit Issued	
		IM	Date Permit Modification Issued	
		IR	Permit Renewal	
	PO		Date EPA Objects to Permit	
	PL		Date EPA Objects to Permit Mod	
	PG		Date EPA Denies Revised Permit	
	PH		Date EPA Denies Revised Mod	
	PT		Date Public Petitions EPA -	
	PD		Date Public Petitions EPA - Mod	
	PQ		Date EPA Action - Public Petition	

Note: please reference <http://www.epa.gov/compliance/planning/data/air/pmtpole.pdf> for more information on the reporting of Title V data.

Data Elements for Subparts

<u>AFS Acronym</u>	<u>Region</u>	<u>State Use</u>	<u>Description-Data Elements</u>	<u>Additional</u>
SPT1 APC1=9	X	X (Optional)	40 CFR Part 60 (NSPS) Subparts- Air Program Code 9	Plant Level
SPT1 APC1=8	X	X (Optional)	40 CFR Part 61 (NonMACT NESHAP) Subparts-Air Program Code 8	Plant Level
SPT1 APC1=M	X	X (Optional)	40 CFR Part 63 (MACT NESHAP) Subparts-Air Program Code M	Plant Level
SPT1 APC1=0	X	X (Optional)	40 CFR Part 60 - Air Program Code 0	Plant Level
SPT1 APC1=1	X	X (Optional)	40 CFR Part 60 - Air Program Code 1	Plant Level

SUGGESTED ACTION TYPES FOR AFS REPORTING—REGION 9

FULL COMPLIANCE EVALUATIONS (FCE)

<u>Natl</u>	<u>Region</u>	<u>State</u>	<u>Regional Action Description</u>	<u>Additional Requirements</u>
FE	BX-R		FULL COMPLIANCE EVALUATION BY EPA	
FZ	BY-R		FULL COMPLIANCE EVALUATION OFFSITE BY EPA	
FS		JY-R	FULL COMPLIANCE EVALUATION BY STATE	
FS		RY-R	FULL COMPLIANCE EVALUATION BY LOCAL - ONSITE	
FF		RX-R	FULL COMPLIANCE EVALUATION OFFSITE BY LOCAL	
FF		JX-R	FULL COMPLIANCE EVALUATION OFFSITE BY STATE	

INVESTIGATIONS

<u>Natl</u>	<u>Region</u>	<u>State</u>	<u>Regional Action Description</u>	<u>Additional Requirements</u>
EI	CD-R		EPA INVESTIGATION STARTED	
EC	CE-R		EPA INVESTIGATION CONDUCTED	
SI		LC-R	STATE INVESTIGATION STARTED	
SI		UC-R	LOCAL INVESTIGATION STARTED	
SC		LD-R	STATE INVESTIGATION CONDUCTED	
SC		UD-R	LOCAL INVESTIGATION CONDUCTED	

PARTIAL COMPLIANCE EVALUATIONS (PCE) - (Note: reporting State PCEs are currently an option.)

<u>Natl</u>	<u>Region</u>	<u>State</u>	<u>Regional Action Description</u>	<u>Additional Requirements</u>
ES	CJ-R		EPA PARTIAL INSPECTION ON-SITE	
PS		JV-R	PARTIAL ONSITE VISIT BY STATE	
PS		RV-R	PARTIAL ONSITE VISIT BY LOCAL	
EX	CK-R		EPA PARTIAL INSPECTION OFF-SITE	
PX		RK-R	DISTRICT REVIEW OF CEM, STEST, EER DATA	
PX		RW-R	PARTIAL OFFSITE REVIEW BY LOCAL	
PX		IL-R	STATE CEM, EER, TEST DATA REVIEW	
PX		JW-R	PARTIAL OFFSITE REVIEW BY STATE	
PX		JZ-R	RATA – STATE	
PX		SQ-R	RARA - DISTRICT	

STACK TESTS

<u>Natl</u>	<u>Region</u>	<u>State</u>	<u>Regional Action Description</u>	<u>Additional Requirements</u>
2A	AL-R		SOURCE TEST WITNESSED BY EPA	Results Code:Pass(PP) or Fail(FF). Action PLLT
TO	CH-R		EPA OBSERVED SOURCE TEST	Results Code:Pass(PP) or Fail(FF). Action PLLT
TE	CI-R		EPA REVIEWS A SOURCE TEST	Results Code:Pass(PP) or Fail(FF). Action PLLT
6C		JU-R	SOURCE TEST CONDUCTED BY STATE	Results Code:Pass(PP) or Fail(FF).
6C		RJ-R	DISTRICT SOURCE TEST CONDUCTED	Results Code:Pass(PP) or Fail(FF).
6C		RU-R	LOCAL SOURCE TEST CONDUCTED	Results Code:Pass(PP) or Fail(FF).
3A		IK-R	STATE SOURCE TEST OBSERVED	Results Code:Pass(PP) or Fail(FF).
3A		RZ-R	DISTRICT SOURCE TEST OBSERVED & REVIEWED	Results Code:Pass(PP) or Fail(FF).
TR		JT-R	SOURCE TEST REVIEW BY STATE	Results Code:Pass(PP) or Fail(FF).
TR		RT-R	SOURCE TEST REVIEW BY LOCAL	Results Code:Pass(PP) or Fail(FF).

NOTICE OF VIOLATIONS

<u>Natl</u>	<u>Region</u>	<u>State</u>	<u>Regional Action Description</u>	<u>Additional Requirements</u>
6A	BB-R		EPA NOV ISSUED	
7C		J8-R	STATE NOV ISSUED	
7C		S8-R	DISTRICT NOV ISSUED	

FEDERAL ADMINISTRATIVE ORDERS AND PENALTY AMOUNTS

<u>Natl</u>	<u>Region</u>	<u>State</u>	<u>Regional Action Description</u>	<u>Additional Requirements</u>
8A	BC-R		EPA 113 ORDER ISSUED	PAM1
7F	BE-R		EPA SECTION (D) PENALTY ORDER FILED	PAM1
7F	C4-R		NOTICE OF DETERMINATION ISSUED	PAM1
7F	BF-R		EPA 113(D) ADMINISTRATIVE COMPLAINT	PAM1
C2	BK-R		113D APO PENALTY RECALCULATED	PAM1
C3	BL-R		113D APO PENALTY COLLECTED	PAM1
6B	BT-R		EPA CONSENT DECREE	PAM1
7E	BO-R		EPA SECTION 167 ORDER ISSUED	PAM1

SUGGESTED ACTION TYPES FOR AFS REPORTING—REGION 9

STATE ADMINISTRATIVE ORDERS AND PENALTY AMOUNTS

(note: Administrative Orders include Penalty Notices Compliance Notices, Agreed Orders, Compliance Administrative Orders, Consent Orders or Final Orders)

<u>Natl</u>	<u>Region</u>	<u>State</u>	<u>Regional Action Description</u>	<u>Additional Requirements</u>
8C		JP-R	STATE LETTER ORDERS COMPLIANCE	PAM1(Total Assessed)
8C		J4-R	STATE NON ORDER REQUIRES COMPLIANCE	PAM1(Total Assessed)
8C		SP-R	LOCAL LETTER ORDERS COMPLIANCE	PAM1(Total Assessed)
8C		JE-R	STATE ADMINISTRATIVE COMPLAINT ORDER	PAM1(Total Assessed)
8C		JH-R	STATE ABATEMENT ORDER	PAM1(Total Assessed)
8C		SE-R	LOCAL ADMINISTRATIVE COMPLAINT ORDER	PAM1(Total Assessed)
8C		SG-R	LOCAL MS HEARING ORDERS COMPLIANCE	PAM1(Total Assessed)
8C		SH-R	DISTRICT ABATEMENT ORDER	PAM1(Total Assessed)
8C		S4-R	LOCAL NON ORDER REQUIRES COMPLIANCE	PAM1(Total Assessed)
8C		JG-R	STATE MS HEARING ORDERS COMPLIANCE	PAM1(Total Assessed)
2D		SK-R	DISTRICT CONSENT DECREE	PAM1 (Total Assessed)
2D		JK-R	STATE CONSENT DECREE	PAM1 (Total Assessed)

CIVIL AND CRIMINAL REFERRALS AND PENALTY AMOUNTS

<u>Natl</u>	<u>Region</u>	<u>State</u>	<u>Regional Action Description</u>	<u>Additional Requirements</u>
5B	BS-R		EPA CRIMINAL ACTION	PAM1
4B	BQ-R		EPA CIVIL ACTION	PAM1
1E		JN-R	STATE REFERRAL TO ATTORNEY GENERAL	PAM1
1E		SN-R	DISTRICT CIVIL REFERRAL TO AG	PAM1
9C		JI-R	STATE CIVIL ACTION	Use appropriate admin order or Consent Decree
9C		SI-R	DISTRICT CIVIL ACTION	Use appropriate admin order or Consent Decree
1D		SJ-R	DISTRICT CRIMINAL ACTION	PAM1
1D		JJ-R	STATE CRIMINAL ACTION	PAM1

HIGH PRIORITY VIOLATOR (HPV) ACTION LINKING - DAY ZERO

(note: HPV cases contain a Day Zero action, Date Addressed, formal or informal action and Date Resolved. Any action can be linked. Comment on Day Zero documents the type of violation)

<u>Natl</u>	<u>Region</u>	<u>State</u>	<u>Regional Action Description</u>	<u>Additional Requirements</u>
2Z	B5-R		EPA "DAY ZERO" (STARTS SV CLOCK)	PLC1, Lead Agency
2E		J9-R	DAY ZERO BY STATE FOR AN SV	PLC1, Lead Agency
2E		S9-R	DISTRICT DAY 0 FOR SV	PLC1, Lead Agency
2B	CB-R	CB-R	DAY ZERO – SHARED LEAD ENFORCEMENT	
2U	2U-R	2U-R	DAY ZERO – LEAD ENFORCEMENT IS UNASSIGNED	

HIGH PRIORITY VIOLATOR (HPV) ACTION LINKING - ADDRESSING ACTIONS

<u>Natl</u>	<u>Region</u>	<u>State</u>	<u>Regional Action Description</u>	<u>Additional Requirements</u>
8A	BC-R		EPA 113 ORDER ISSUED	PAM1
7F	C4-R		NOTICE OF DETERMINATION ISSUED	PAM1
7F	BE-R		EPA SECTION (D) PENALTY ORDER FILED	PAM1
2M	MO-R	MO-R	STATE SIP REVISION	
2M	DP-R	DP-R	FIP REVISION	
2M	AS-R	AS-R	PROPOSED SIP/FIP REVISION TO COMPLIANCE	
8C		SP-R	LOCAL LETTER ORDERS COMPLIANCE	PAM1(Total Assessed)
8C		S4-R	LOCAL NON ORDER REQUIRES COMPLIANCE	PAM1(Total Assessed)
8C		SH-R	DISTRICT ABATEMENT ORDER	PAM1(Total Assessed)
8C		SG-R	LOCAL MS HEARING ORDERS COMPLIANCE	PAM1(Total Assessed)
8C		J4-R	STATE NON ORDER REQUIRES COMPLIANCE	PAM1(Total Assessed)
8C		JE-R	STATE ADMINISTRATIVE COMPLAINT ORDER	PAM1(Total Assessed)
8C		JG-R	STATE MS HEARING ORDERS COMPLIANCE	PAM1(Total Assessed)
8C		JH-R	STATE ABATEMENT ORDER	PAM1(Total Assessed)
8C		SE-R	LOCAL ADMINISTRATIVE COMPLAINT ORDER	PAM1(Total Assessed)
8C		JP-R	STATE LETTER ORDERS COMPLIANCE	PAM1(Total Assessed)
2D		SK-R	DISTRICT CONSENT DECREE	PAM1 (Total Assessed)
2D		JK-R	STATE CONSENT DECREE	PAM1 (Total Assessed)
OT	C3-R		OTHER EPA ADDRESSING ACTION	
2L	DO-R		EPA ISSUES SOURCE SPECIFIC REV	
2L		IO-R	STATE PROPOSED SIP REVISION	
OT		JR-R	OTHER STATE ADDRESSING ACTION	
2L		RN –R	DISTRICT SIP REVISION LEADS TO COMPLIANCE	
OT		SR-R	OTHER DISTRICT ADDRESSING ACTION	

SUGGESTED ACTION TYPES FOR AFS REPORTING—REGION 9

HIGH PRIORITY VIOLATOR (HPV) ACTION LINKING - HPV RESOLVED

<u>Natl</u>	<u>Region</u>	<u>State</u>	<u>Regional Action Description</u>	<u>Additional Requirements</u>
VR		SO-R	DISTRICT SV RESOLVED	
VR	BV-R	BV-R	EPA SV RESOLVED	
VR		JO-R	STATE SV RESOLVED	
7G	A8-R		SOURCE RETURNED TO COMPLIANCE NFA (EPA)	
2K		I7-R	STATE COMPLIANCE NFA	
2K		R7-R	LOCAL COMPLIANCE NFA	
C3	BL-R		113D APO PENALTY COLLECTED	

TITLE V SELF CERTIFICATIONS AND COMPLIANCE STATUS (Note: contains new CMS requirements)

<u>Natl</u>	<u>Region</u>	<u>State</u>	<u>Regional Action Description</u>	<u>Additional Requirements</u>
ER	CF-R		EPA TITLE V CERTIFICATION REVIEW	Results Code: MV-in violation, MC-in Compliance, MU-Unknown. RDE8=Deviation. Y-Yes or N-No.
SR		JS-R	TITLE V CERTIFICATION REVIEW - STATE	Results Code: MV-in violation, MC-in Compliance, MU-Unknown.
SR		RS-R	TITLE V CERTIFICATION REVIEW - LOCAL	Results Code: MV-in violation, MC-in Compliance, MU-Unknown.
CC	CG-R		EPA TITLE V CERTIFICATION DUE/RECEIVED	DTS1=Due Date. DTA1=Received Date.
CB		JQ-R	TITLE V CERTIFICATION DUE/RECEIVED BY STATE	DTS1=Due Date. DTA1=Received Date.
CC		RR-R	TITLE V CERTIFICATION DUE/RECEIVED BY DISTRICT	DTS1=Due Date. DTA1=Received Date.

Title V Permit Program Data Elements and Events

<u>AFS Acronym</u>	<u>Region Use</u>	<u>State Use</u>	<u>Description-Data</u>	<u>Additional</u>
PAFS			Permitting Authority Name	FIPS Tables
PAPN			AFS Plant ID Number	
APC1			Permit Number	V
PLAP			Permit Air Program Code	Facil
SCA1			Permit Pollutant Code	Current
			Permit Program Compliance	Permit Number
		DP	Draft Permit	
		DQ	Draft Permit Modification	
		PP	Date Permit Recd By EPA	
		PM	Date Permit Mod Recd by EPA	
		PE	EPA 45-Day Review Period	System Generated
		IF	Date Permit Issued	
		IM	Date Permit Modification Issued	
		IR	Permit Renewal	
	PO		Date EPA Objects to Permit	
	PL		Date EPA Objects to Permit Mod	
	PG		Date EPA Denies Revised Permit	
	PH		Date EPA Denies Revised Mod	
	PT		Date Public Petitions EPA -	
	PD		Date Public Petitions EPA - Mod	
	PQ		Date EPA Action - Public Petition	

Note: please reference <http://www.epa.gov/compliance/planning/data/air/pmtpolcy.pdf> for more information on the reporting of Title V data.

Data Elements for Subparts

<u>AFS Acronym</u>	<u>Region</u>	<u>State Use</u>	<u>Description-Data Elements</u>	<u>Additional</u>
SPT1 APC1=9	X	X (Optional)	40 CFR Part 60 (NSPS) Subparts- Air Program Code 9	Plant Level
SPT1 APC1=8	X	X (Optional)	40 CFR Part 61 (NonMACT NESHAP) Subparts-Air Program Code 8	Plant Level
SPT1 APC1=M	X	X (Optional)	40 CFR Part 63 (MACT NESHAP) Subparts-Air Program Code M	Plant Level
SPT1 APC1=0	X	X (Optional)	40 CFR Part 60 - Air Program Code 0	Plant Level
SPT1 APC1=1	X	X (Optional)	40 CFR Part 60 - Air Program Code 1	Plant Level

SUGGESTED ACTION TYPES FOR REPORTING TO AFS—REGION 10

FULL COMPLIANCE EVALUATIONS (FCE)

<u>Natl</u>	<u>Region</u>	<u>State</u>	<u>Regional Action Description</u>	<u>Additional Requirements</u>
FE	2Y-R		EPA MULTIMEDIA FCE - ONSITE	
FE	FE-R		EPA CONDUCTED FULL COMPLIANCE EVALUATION / ON-SITE	
FZ	FZ-R		EPA CONDUCTED FULL COMPLIANCE EVALUATION // OFF-SITE	
FS		FS-R	STATE/LOCAL CONDUCTED FCE - ONSITE	
FS		CC-R	OR STATE INSPEC-COMMITTED COMPREHEN. COMP. ON-SITE	
FS		2C-R	AK-CONDUCTED FULL COMPLIANCE EVALUATION ONSITE	
FF		1A-R	AK-CONDUCTED FULL COMPLIANCE EVALUATION OFFSITE	
FF		FF-R	STATE/LOCAL CONDUCTED FCE / OFF-SITE	

INVESTIGATIONS

<u>Natl</u>	<u>Region</u>	<u>State</u>	<u>Regional Action Description</u>	<u>Additional Requirements</u>
EI	EI-R		EPA INVESTIGATION INITIATED	
EC	EC-R		EPA INVESTIGATION CONDUCTED	
SI		SI-R	STATE/LOCAL INVESTIGATION INITIATED	
SC		SC-R	STATE/LOCAL INVESTIGATION CONDUCTED	

PARTIAL COMPLIANCE EVALUATIONS (PCE) - (Note: reporting State PCEs are currently an option.)

<u>Natl</u>	<u>Region</u>	<u>State</u>	<u>Regional Action Description</u>	<u>Additional Requirements</u>
ES	ES-R		EPA PARTIAL COMPLIANCE EVALUATION/ON-SITE	
ES	2F-R		EPA-MULTIMEDIA PCE/ON-SITE	
PS		PS-R	STATE/LOCAL PARTIAL COMPLIANCE EVALUATION – ON-SITE	
PX		99-R	AK-ANNUAL COMPLIANCE CERTIFICATION - PCE/OFFSITE	
EX	EX-R		EPA PARTIAL COMPLIANCE EVALUATION/OFF-SITE	
PX		6A-R	AK-FACILITY OPRPT-THOROUGH REVIEW PCE/OFFSITE	
PX		PX-R	STAE/LOCAL PARTIAL COMPLIANCE EVALUATION OFFSITE	
PX		81-R	AK-ORL ANNUAL COMPLIANCE CERT - PCE/OFFSITE	
PX		80-R	AK-PREAPP. LIMIT FUEL USAGE REPORT - PCE/OFFSITE	
PX		83-R	AK-SEMI-ANN. OPRPT/GENERAL PERMIT/PCE/OFFSITE	

STACK TESTS

<u>Natl</u>	<u>Region</u>	<u>State</u>	<u>Regional Action Description</u>	<u>Additional Requirements</u>
TO	TO-R		EPA REQD (/O COND) STACK TEST/OBSERVED & REVIEWED	Results Code:Pass(PP) or Fail(FF). Action PLLT
TE	TE-R		EPA REQ (O/O COND) STACK TEST/NOT OBSV BUT REVWD	Results Code:Pass(PP) or Fail(FF). Action PLLT
3A		23-R	S/L REQD (O/O CONDUCTED) STACK TEST OBSV & REVIEWD	Results Code:Pass(PP) or Fail(FF).
TR		TR-R	S/L REQ (O/O COND) STACK TEST/NOT OBSV BUT REVWD	Results Code:Pass(PP) or Fail(FF).
TR		59-R	AK-STACK TEST REQ (O/O COND) NOT OBSV BUT REVIEWED	Results Code:Pass(PP) or Fail(FF).

NOTICE OF VIOLATIONS

<u>Natl</u>	<u>Region</u>	<u>State</u>	<u>Regional Action Description</u>	<u>Additional Requirements</u>
6A	48-R		FINDING A VIOLATION	
6A	L5-R		NOV ISSUED BY EPA	
7C		58-R	PSCAA/WA-WARNING LETTER	
7C		96-R	OR - NOTICE OF NON-COMPLIANCE	
7C		91-R	LOCAL AGENCY NOTICE OF VIOLATION	
7C		75-R	IDAHO - STATE WARNING LETTER (7C STATE NOV ISSUED)	
7C		84-R	IDAHO - NOV ISSUED	
7C		L1-R	NOV ISSUED BY STATE	
7C		2N-R	NWAPA/WA - WARNING LETTER	

FEDERAL ADMINISTRATIVE ORDERS AND PENALTY AMOUNTS

<u>Natl</u>	<u>Region</u>	<u>State</u>	<u>Regional Action Description</u>	<u>Additional Requirements</u>
8A	78-R		COMPLIANCE ORDER 113 FINAL/ISSUED	PAM1
7F	7F-R		113D APO COMPLAINT FILED.	PAM1
6B	12-R		FEDERAL CONSENT AGREEMENT SIGNED	PAM1
6B	X3-R		FEDERAL COURT DECREE ISSUED	PAM1
7E	60-R		167 STOP CONSTRUCTION ORDER	PAM1

STATE ADMINISTRATIVE ORDERS AND PENALTY AMOUNTS

(note: Administrative Orders include Penalty Notices Compliance Notices, Agreed Orders, Compliance Administrative Orders, Consent Orders or Final Orders)

<u>Natl</u>	<u>Region</u>	<u>State</u>	<u>Regional Action Description</u>	<u>Additional Requirements</u>
8C		2R-R	SCAPCA/WA-NOTICE & ORDER OF ASSESSMENT	PAM1(Total Assessed)
8C		2Q-R	NWAPA/WA - ASSURANCE OF DISCONTINUANCE	PAM1(Total Assessed)
8C		37-R	AK - COMPLIANCE ORDERS BY CONSENT (COBC)	PAM1(Total Assessed)
8C		2G-R	WA/CRO - NOTICE OF PENALTY	PAM1(Total Assessed)

SUGGESTED ACTION TYPES FOR REPORTING TO AFS—REGION 10

8C	13-R	PSCAA/WA - ASSURANCE OF DISCONTINUANCE	PAM1(Total Assessed)
8C	2P-R	NWAPA/WA - IMPOSITION OF PENALTY	PAM1(Total Assessed)
8C	X1-R	STATE ORDER ISSUED	PAM1(Total Assessed)
8C	X1-R	113(A)(1)SIP ORDER ISSUED BY STATE	PAM1(Total Assessed)
8C	94-R	OREGON DEPARTMENT ORDER	PAM1(Total Assessed)
8C	09-R	PSCAA/WA - CIVIL PENALTIES	PAM1(Total Assessed)
8C	X4-R	ID-NOV W/PENALTY-RESOLVES W/O CONCENT ORDER OR CIV	PAM1(Total Assessed)
8C	97-R	OREGON CIVIL PENALTY	PAM1(Total Assessed)
8C	93-R	MUTUAL AGREEMENT & ORDER (MAO)	PAM1(Total Assessed)
8C	7D-R	ALASKA-SETTLEMENT AGREEMENT	PAM1(Total Assessed)
8C	61-R	IDAHO - CONSENT ORDER ISSUED	PAM1(Total Assessed)
2D	9A-R	PSCAA/WA - JUDICIAL REFERRAL	PAM1 (Total Assessed)
2D	LA-R	STATE CONSENT AGREEMENT ISSUED	PAM1 (Total Assessed)
2D	X2-R	STATE COURT ORDER ISSUED	PAM1 (Total Assessed)

CIVIL AND CRIMINAL REFERRALS AND PENALTY AMOUNTS

<u>Natl</u>	<u>Region</u>	<u>State</u>	<u>Regional Action Description</u>	<u>Additional Requirements</u>
5B	L7-R		EPA CRIMINAL ACTION	PAM1
4B	L6-R		EPA CIVIL ACTION	PAM1
4B	22-R		REFER TO JUSTICE DEPARTMENT	PAM1
1E		LB-R	STATE CIVIL REFERAL TO ST ATTORNEY GENERAL	PAM1
9C		11-R	PSCAA/WA-INJUNCTIVE RELIEF	Use appropriate admin order or Consent Decree
9C		L2-R	CIVIL ACTION BY STATE	Use appropriate admin order or Consent Decree
1D		L3-R	STATE CRIMINAL ACTION	PAM1

HIGH PRIORITY VIOLATOR (HPV) ACTION LINKING - DAY ZERO

(note: HPV cases contain a Day Zero action, Date Addressed, formal or informal action and Date Resolved. Any action can be linked. Comment on Day Zero documents the type of violation)

<u>Natl</u>	<u>Region</u>	<u>State</u>	<u>Regional Action Description</u>	<u>Additional Requirements</u>
2Z	2Z-R		FEDERAL DAY ZERO	PLC1, Lead Agency
2E		SV-R	SV STATE DAY 0	PLC1, Lead Agency
2B	2B-R	2B-R	DAY ZERO – SHARED ENFORCEMENT LEAD	
2U	2U-R	2U-R	DAY ZERO – ENFORCEMENT LEAD IS UNASSIGNED	

HIGH PRIORITY VIOLATOR (HPV) ACTION LINKING - ADDRESSING ACTIONS

<u>Natl</u>	<u>Region</u>	<u>State</u>	<u>Regional Action Description</u>	<u>Additional Requirements</u>
8A	Y3-R		EPA 113A PROHIBIT CONSTRUCTION ORDER ISSUED	PAM1
8A	78-R		COMPLIANCE ORDER 113 FINAL/ISSUED	PAM1
8A	Y2-R		EPA 113A NON-SIP VIOLATION ORDER ISSUED	PAM1
8A	Y1-R		EPA 113A SIP VIOLATION ORDER ISSUED	PAM1
7F	7F-R		113D APO COMPLAINT FILED.	PAM1
6B	12-R		FEDERAL CONSENT AGREEMENT SIGNED	PAM1
6B	X3-R		FEDERAL COURT DECREE ISSUED	PAM1
8C		94-R	OREGON DEPARTMENT ORDER	PAM1(Total Assessed)
8C		93-R	MUTUAL AGREEMENT & ORDER (MAO)	PAM1(Total Assessed)
8C		7D-R	ALASKA-SETTLEMENT AGREEMENT	PAM1(Total Assessed)
8C		61-R	IDAHO - CONSENT ORDER ISSUED	PAM1(Total Assessed)
8C		37-R	AK - COMPLIANCE ORDERS BY CONSENT (COBC)	PAM1(Total Assessed)
8C		2R-R	SCAPCA/WA-NOTICE & ORDER OF ASSESSMENT	PAM1(Total Assessed)
8C		09-R	PSCAA/WA - CIVIL PENALTIES	PAM1(Total Assessed)
8C		X4-R	ID-NOV W/PENALTY-RESOLVES W/O CONCENT ORDER OR CIV	PAM1(Total Assessed)
8C		X1-R	STATE ORDER ISSUED	PAM1(Total Assessed)
8C		X1-R	113(A)(1)SIP ORDER ISSUED BY STATE	PAM1(Total Assessed)
8C		2G-R	WA/CRO - NOTICE OF PENALTY	PAM1(Total Assessed)
8C		13-R	PSCAA/WA - ASSURANCE OF DISCONTINUANCE	PAM1(Total Assessed)
2D		LA-R	STATE CONSENT AGREEMENT ISSUED	PAM1 (Total Assessed)
2D		X2-R	STATE COURT ORDER ISSUED	PAM1 (Total Assessed)
OT	OT-R	OT-R	OTHER ADDRESSING ACTION	
RT		RT-R	SV REPORTED AS ADDRESSED	
9C		11-R	PSCAA/WA-INJUNCTIVE RELIEF	
7E	60-R		167 STOP CONSTRUCTION ORDER	

HIGH PRIORITY VIOLATOR (HPV) ACTION LINKING - HPV RESOLVED

<u>Natl</u>	<u>Region</u>	<u>State</u>	<u>Regional Action Description</u>	<u>Additional Requirements</u>
VR	VR-R	VR-R	VIOLATION RESOLVED	
2K		2K-R	SOURCE RETURNED TO COMPLIANCE W/NO FURTHER ACTION	

SUGGESTED ACTION TYPES FOR REPORTING TO AFS—REGION 10

TITLE V SELF CERTIFICATIONS AND COMPLIANCE STATUS (Note: contains new CMS requirements)

<u>Natl</u>	<u>Region</u>	<u>State</u>	<u>Regional Action Description</u>	<u>Additional Requirements</u>
ER	41-R		T-V ANNUAL COMPLIANCE CERT REVIEWED BY EPA	Results Code: MV-in violation, MC-in Compliance, MU-Unknown. RDE8=Deviation, Y-Yes or N-No.
SR		SR-R	TV ANNUAL COMPL. CERT REVIEW BY PERMIT AUTHORITY	Results Code: MV-in violation, MC-in Compliance, MU-Unknown.
CC	C1-R		TITLE V ANNUAL CERT DUE/RECEIVED BY EPA	DTS1=Due Date. DTA1=Received Date.
CB		DA-R	TITLE V ANNUAL CERT DUE/RECEIVED PERMIT AUTHORITY	DTS1=Due Date. DTA1=Received Date.

Title V Permit Program Data Elements and Events

<u>AFS Acronym</u>	<u>Region Use</u>	<u>State Use</u>	<u>Description-Data</u>	<u>Additional</u>
PAFS			Permitting Authority Name	FIPS Tables
PAPN			AFS Plant ID Number	
APC1			Permit Number	
PLAP			Permit Air Program Code	V
SCA1			Permit Pollutant Code	Facil
			Permit Program Compliance	Current
		DP	Draft Permit	Permit Number
		DQ	Draft Permit Modification	
		PP	Date Permit Recd By EPA	
		PM	Date Permit Mod Recd by EPA	
		PE	EPA 45-Day Review Period	System Generated
		IF	Date Permit Issued	
		IM	Date Permit Modification Issued	
		IR	Permit Renewal	
	PO		Date EPA Objects to Permit	
	PL		Date EPA Objects to Permit Mod	
	PG		Date EPA Denies Revised Permit	
	PH		Date EPA Denies Revised Mod	
	PT		Date Public Petitions EPA -	
	PD		Date Public Petitions EPA - Mod	
	PQ		Date EPA Action - Public Petition	

Note: please reference <http://www.epa.gov/compliance/planning/data/air/pmtpolicy.pdf> for more information on the reporting of Title V data.

Data Elements for Subparts

<u>AFS Acronym</u>	<u>Region</u>	<u>State Use</u>	<u>Description-Data Elements</u>	<u>Additional</u>
SPT1 APC1=9	X	X (Optional)	40 CFR Part 60 (NSPS) Subparts- Air Program Code 9	Plant Level
SPT1 APC1=8	X	X (Optional)	40 CFR Part 61 (NonMACT NESHAP) Subparts-Air Program Code 8	Plant Level
SPT1 APC1=M	X	X (Optional)	40 CFR Part 63 (MACT NESHAP) Subparts-Air Program Code M	Plant Level
SPT1 APC1=0	X	X (Optional)	40 CFR Part 60 - Air Program Code 0	Plant Level
SPT1 APC1=1	X	X (Optional)	40 CFR Part 60 - Air Program Code 1	Plant Level